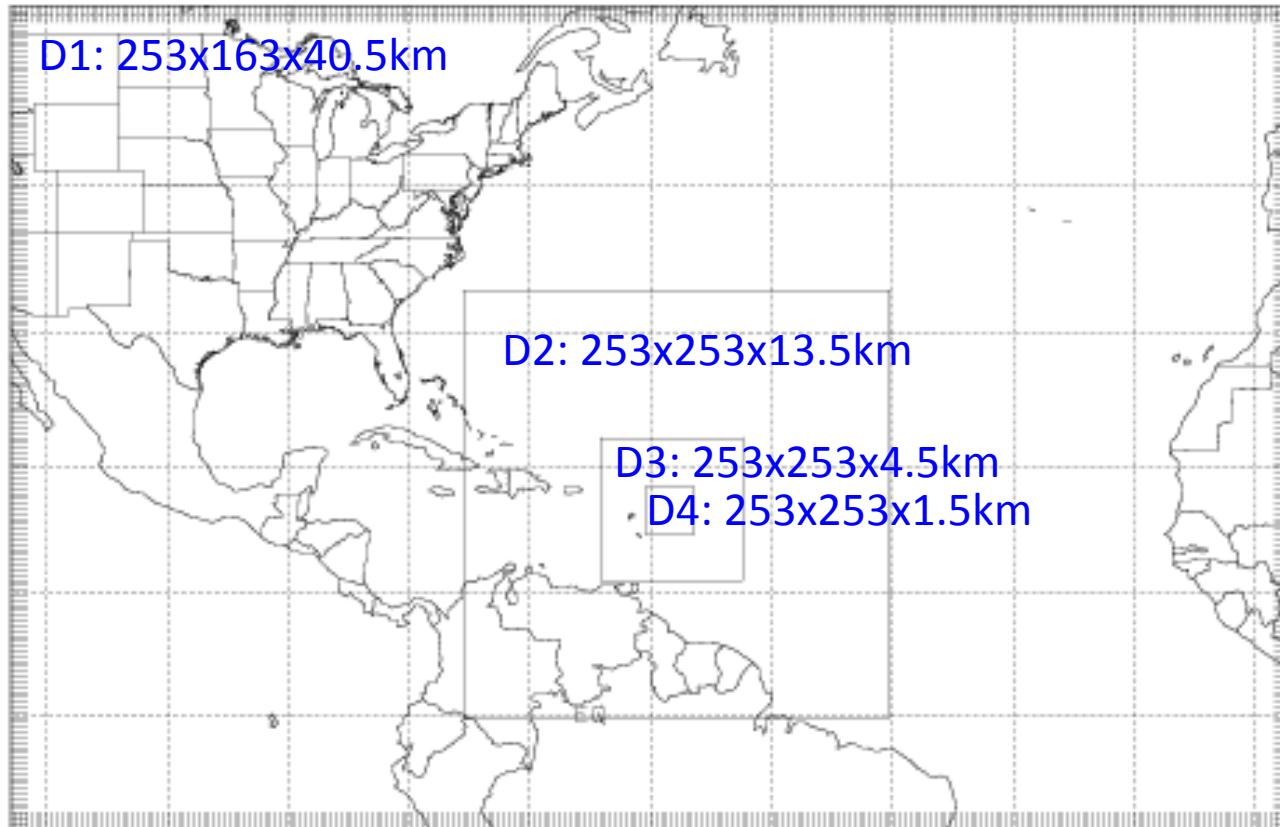


# **Dynamics and Predictability of the Formation of Hurricane Karl (2010) Revealed from Convection-Permitting Ensemble Analysis and Forecasting**

**Fuqing Zhang  
Penn State University**

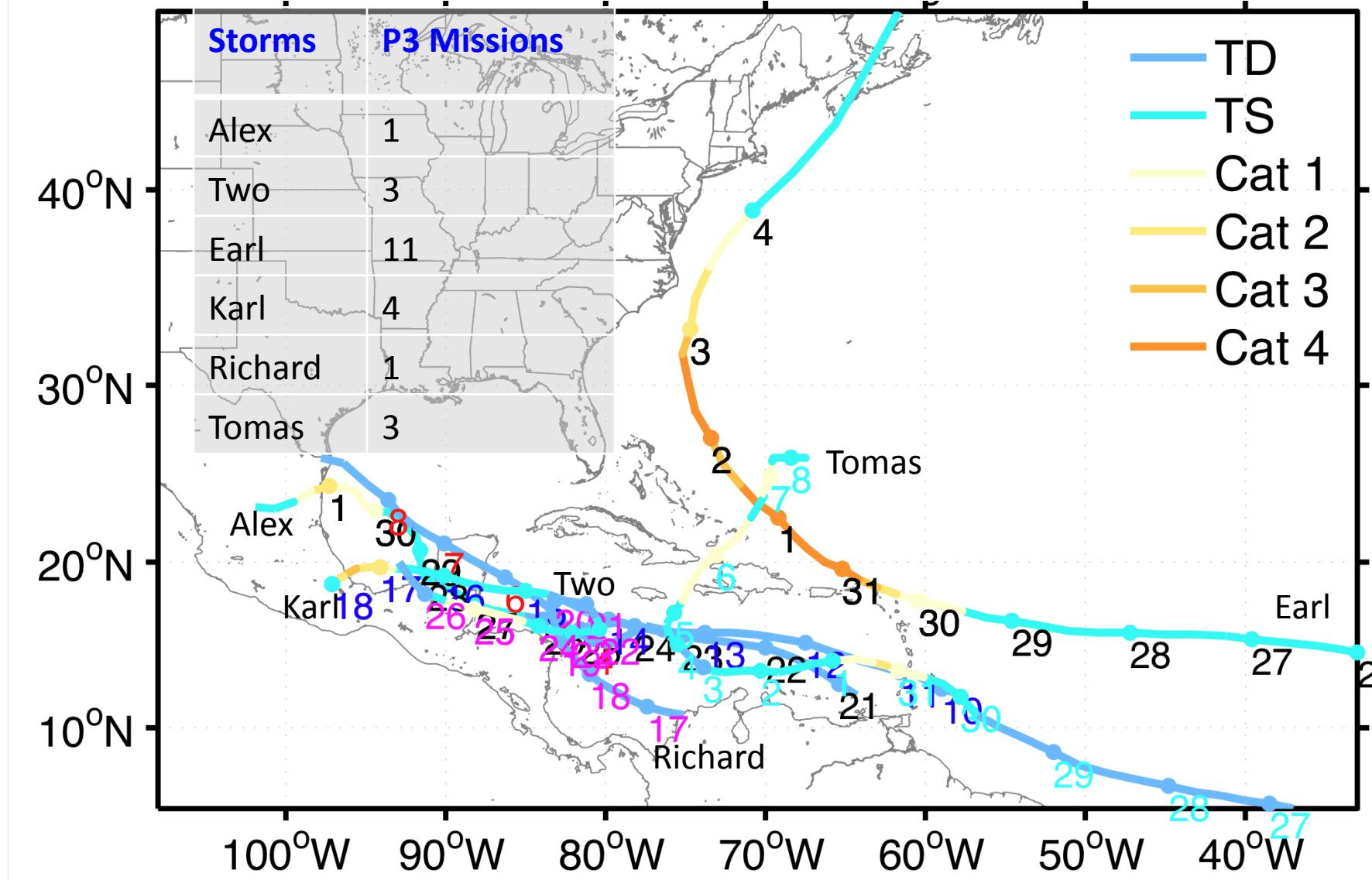
**Contributors: Xiaqiong Zhou, Bin Wang, Yonghui Weng,  
Xuyang Ge, Jon Poterjoy and Dandan Tao**

# PSU ARW-EnKF Real-time Configurations



- The inner domains are centered with the storm's center
- Two realtime runs:
  - One every 12 h assimilating conventional obs in D1-D2 EnKF hybrid with GFS
  - One assimilation is performed over D1-3 where there is P3 airborne Doppler obs.
- 35 vertical levels;
- WSM 6-class microphysics;
- YSU PBL;
- Grell-Devenyi CPS
- 30-member ensemble;
- Gaspaiari&Cohn 99' covariance localization with varying R<sub>01</sub>
- IC & BC: GFS using 3DVAR background uncertainty
- Assimilation is performed over D1-3. D4 is used only for high-res forecast.

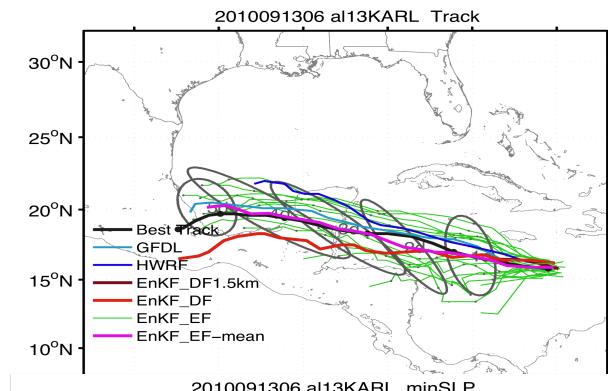
# AL2010: 23 Missions/Cases Total



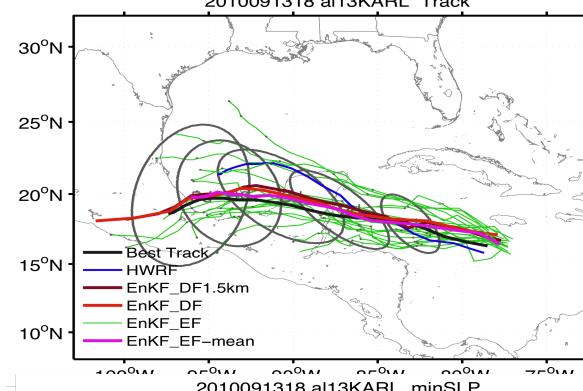
NOAA P3 Airborne Doppler Missions for the 2010 Atlantic Hurricane Season

# Assimilation Airborne Doppler Observations during the Formation of Karl

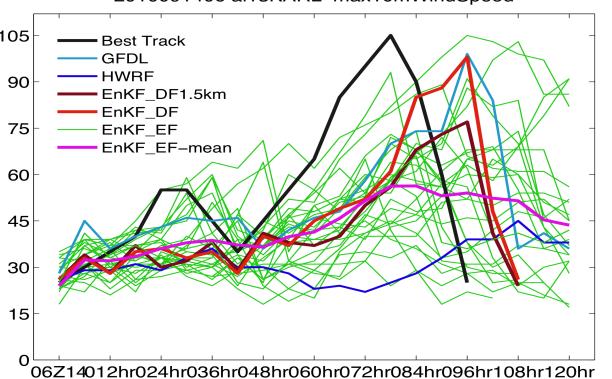
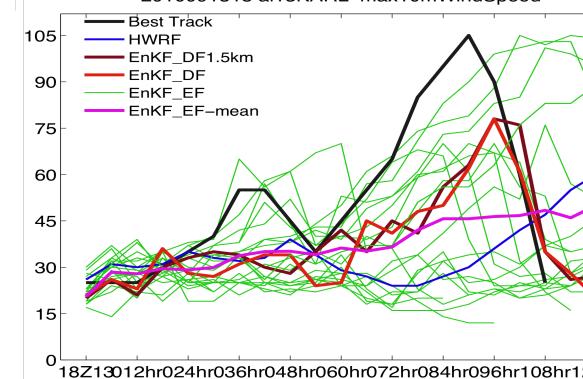
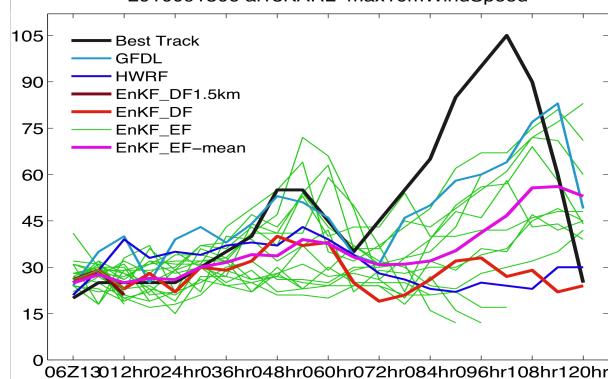
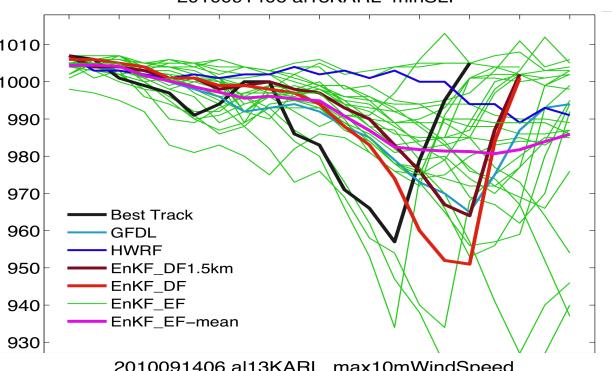
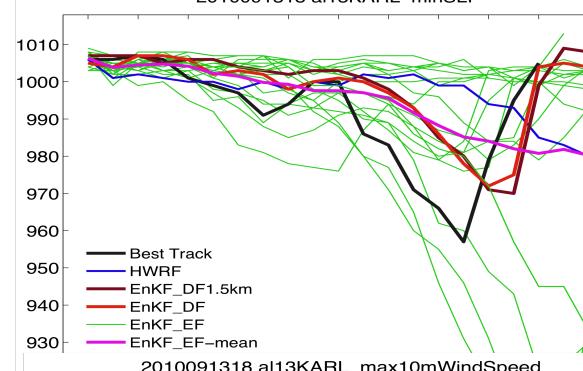
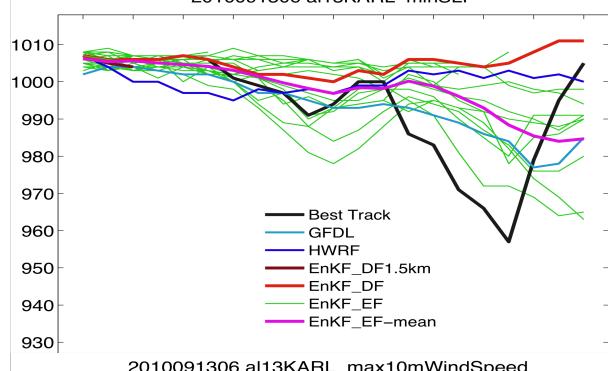
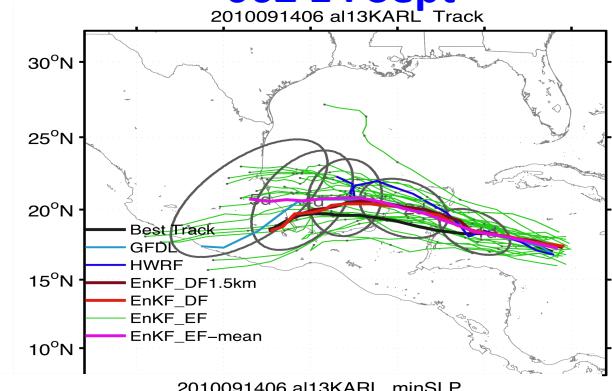
**06Z 13 Sept**



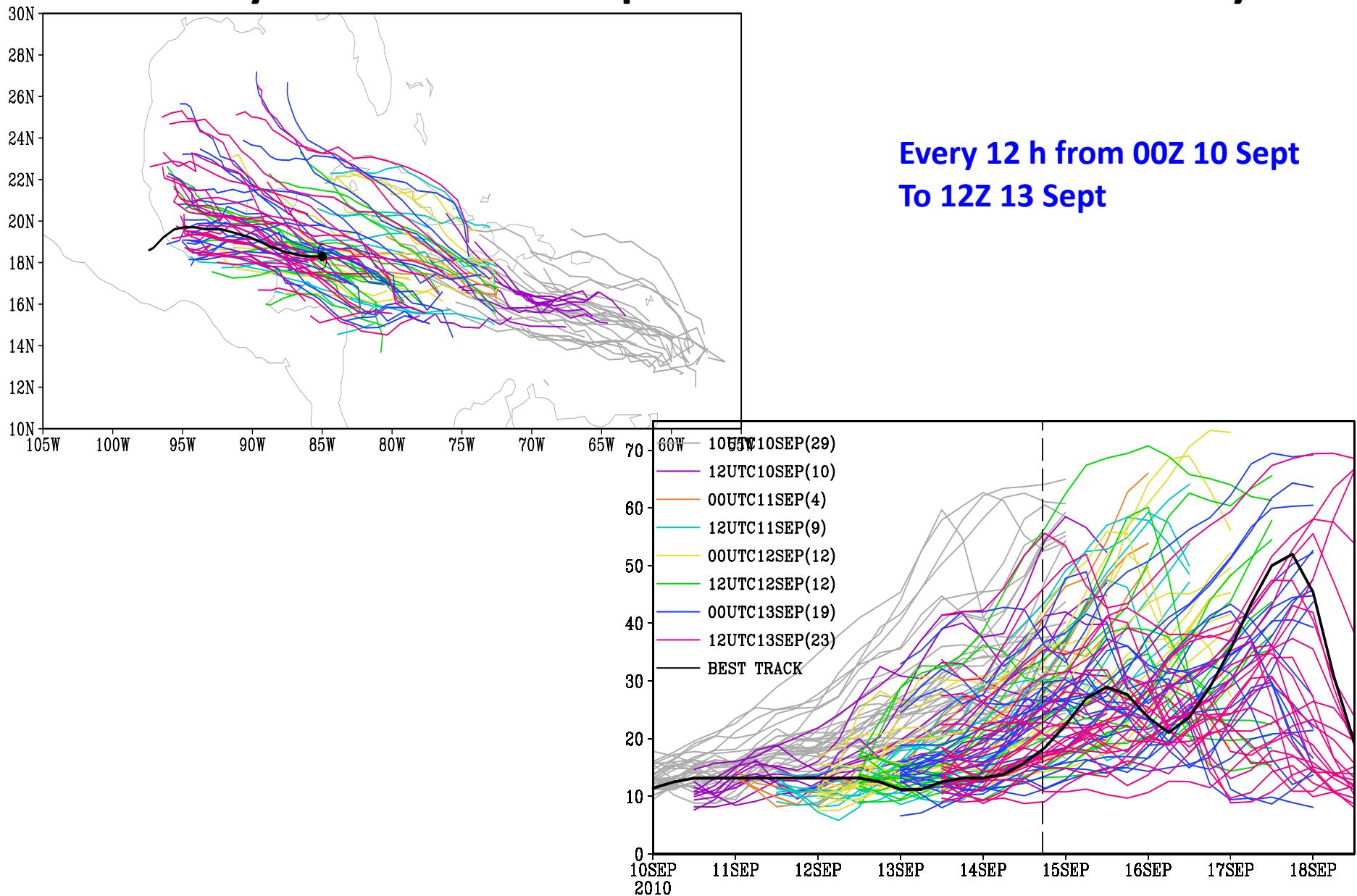
**18Z 13 Sept**



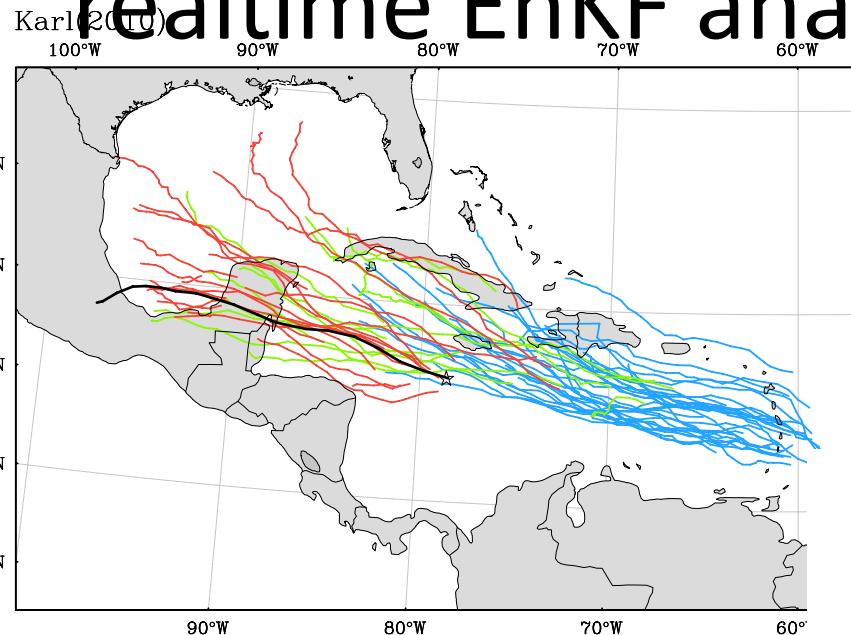
**06Z 14 Sept**



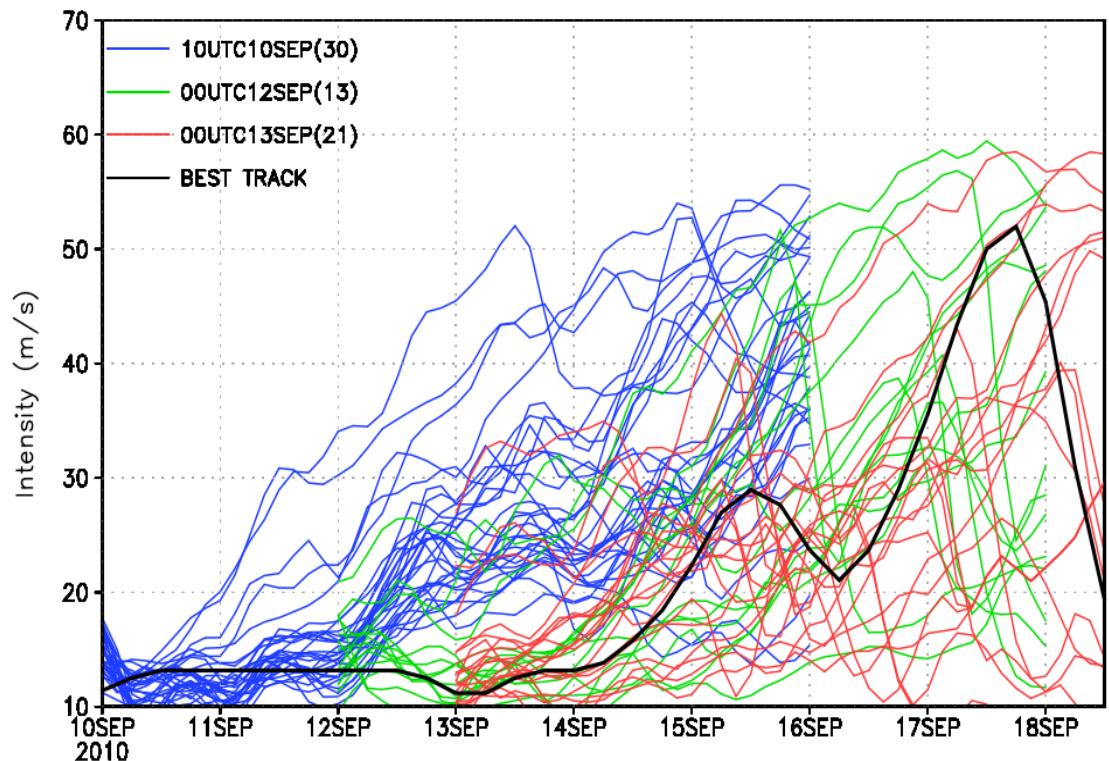
# WRF 4.5-km realtime EnKF of conventional obs hybrid with operational GFS analysis



# Recent reruns of ensemble forecasts with realtime EnKF analysis but w/ enlarged D3



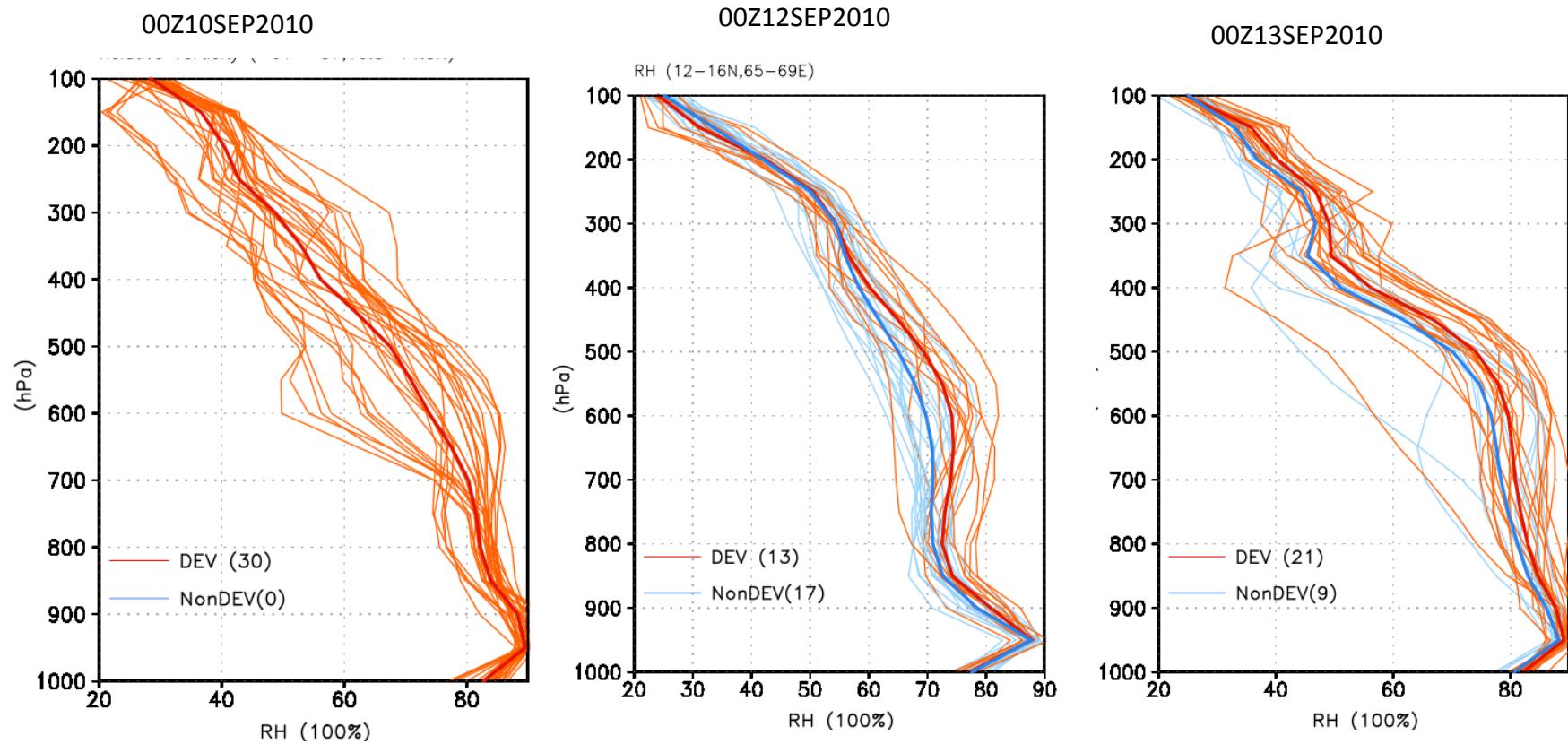
00Z 10,  
00Z 12  
00Z 13 Sept



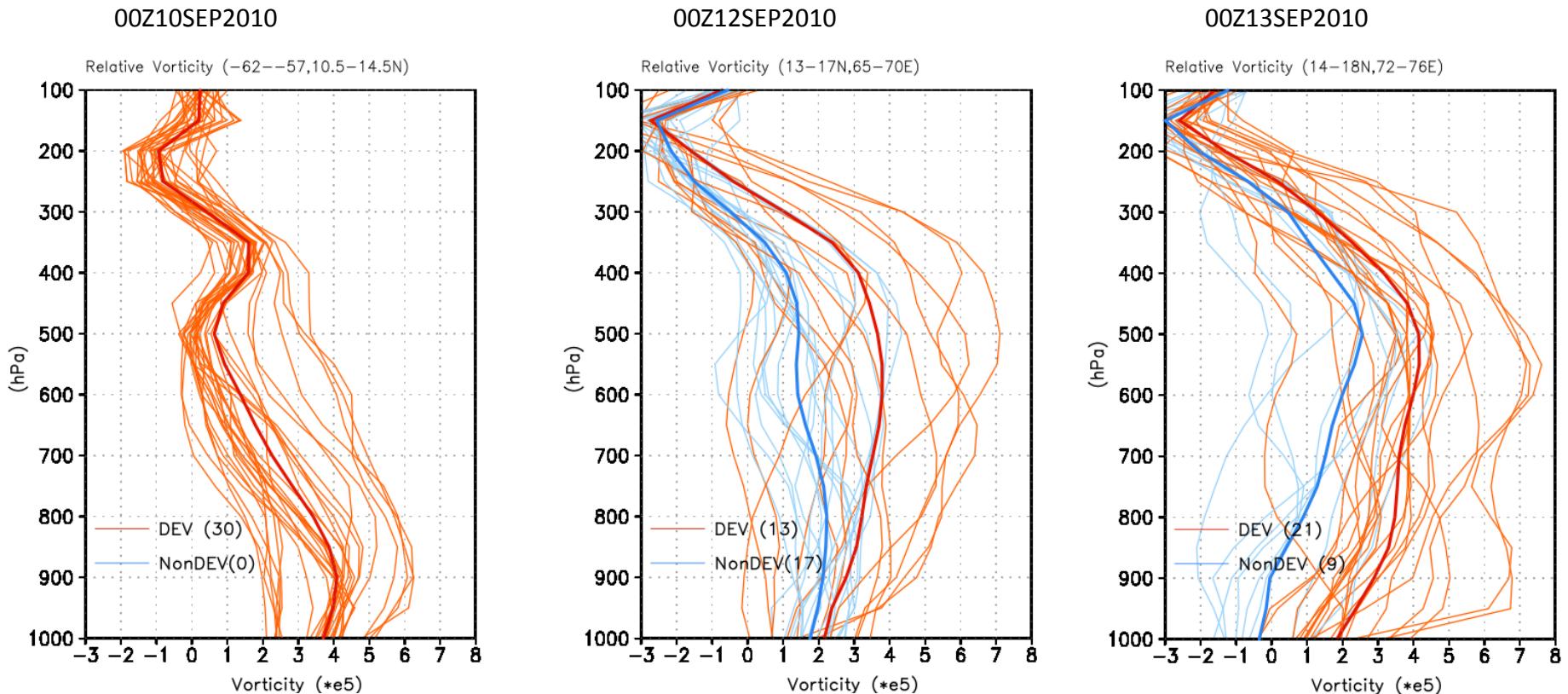
➤ What controls the formation of Karl and how predictable?

# Difference in Environment Conditions

Area-Averaged Initial RH vertical profiles of developed and non developed tropical disturbances at T= 0 hr



# Relative vorticity vertical profiles for developed and non-developed tropical disturbances at T= 0 hr averaged in disturbance area



# Difference in Environment Conditions for 00Z12 Ensemble: developed vs. non-dev

	RHTT (%)	VOR850 ( $10^{-5} \text{ s}^{-1}$ )	SHRD ( $\text{m s}^{-1}$ )	SHRS ( $\text{m s}^{-1}$ )	CAPE (J/kg)	MPI (Kt)	GPI
NON-DEV (17)	65.9	2.7	6.4	5.0	1442	148.2	112.8
DEV (13)	71.3	3.2	8.8	5.9	1323	149.6	126.5

00Z12SEP2010

**RHTT:** 700hPa-500 hPa relative humidity (<400km)

**VOR850:** 850 hPa relative vorticity (<400 km)

**SHRD:** 200-850 hPa horizontal wind vertical shear (<600 km)

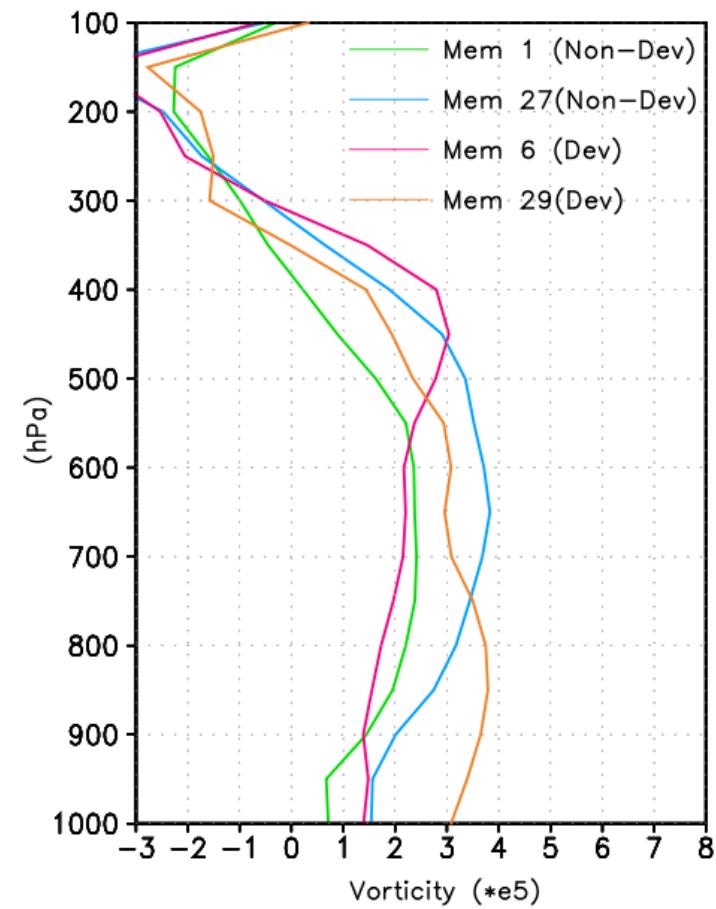
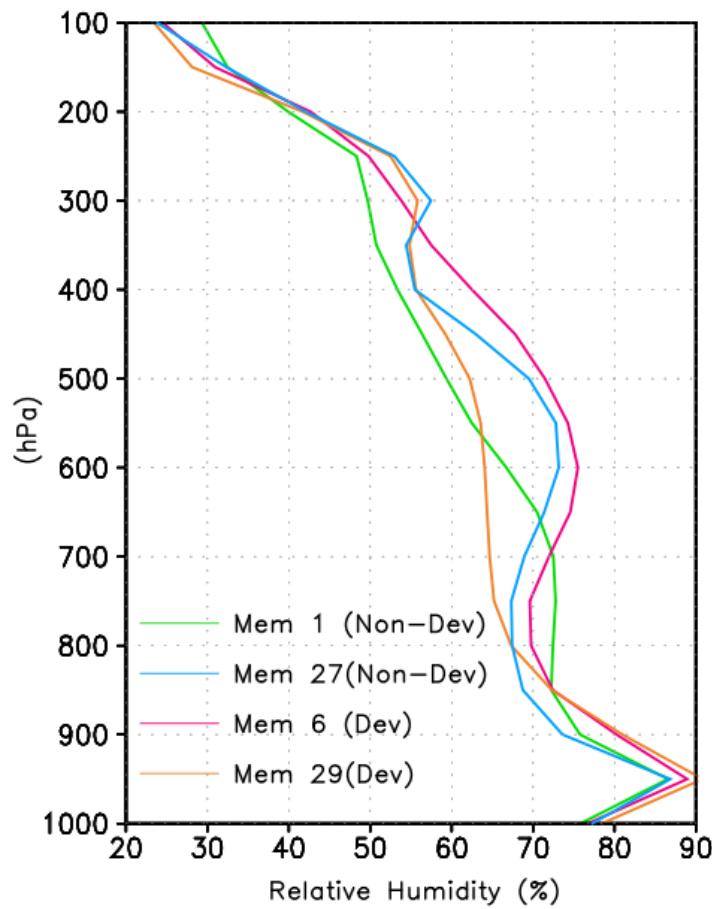
**SHRS:** 500-850hPa horizontal wind vertical shear (<600km)

**CAPE:** Convective available potential energy (<400km)

**MPI:** Maximum potential intensity (Emanuel, 1986)

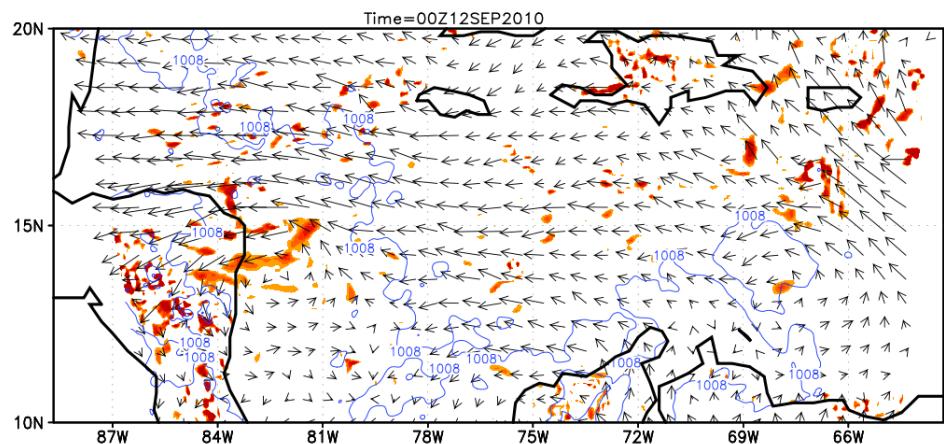
**GPI:** Genesis potential index (Emanuel, 2006)

# Difference in Environment Conditions : developed vs. non-dev examples

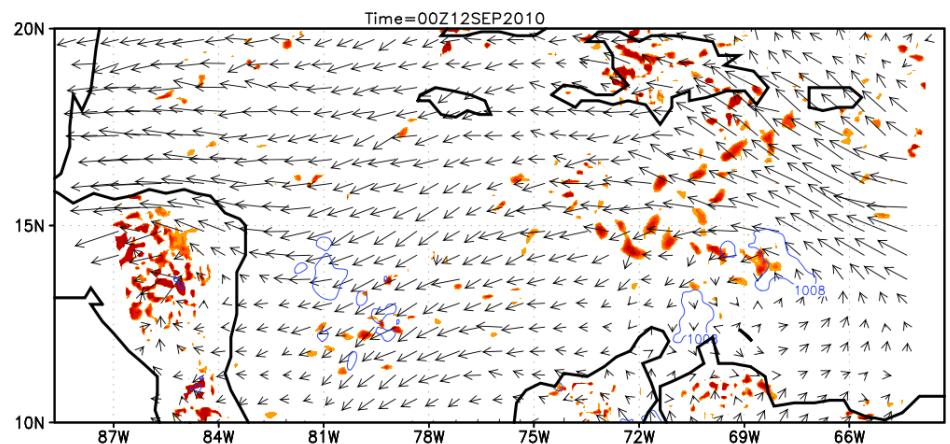


# Developer vs. non-developer: 0h (00/12)

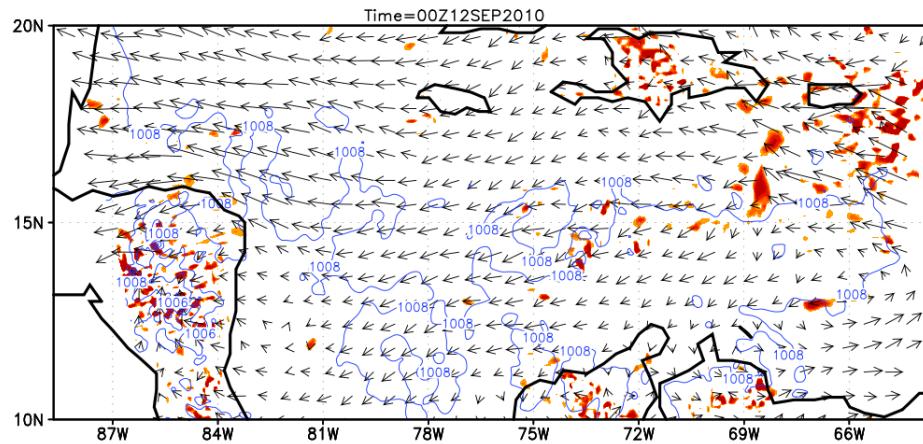
Member 1



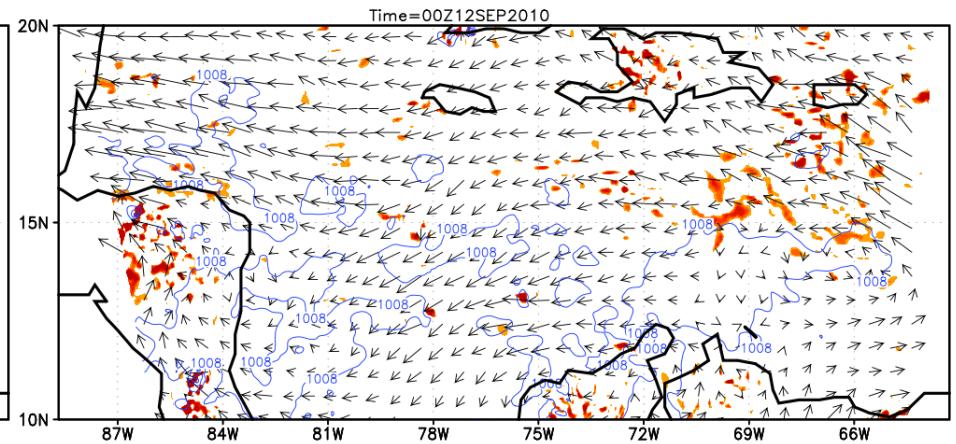
Member 29



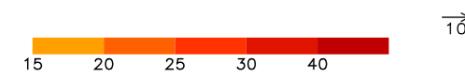
Member 6



Member 27

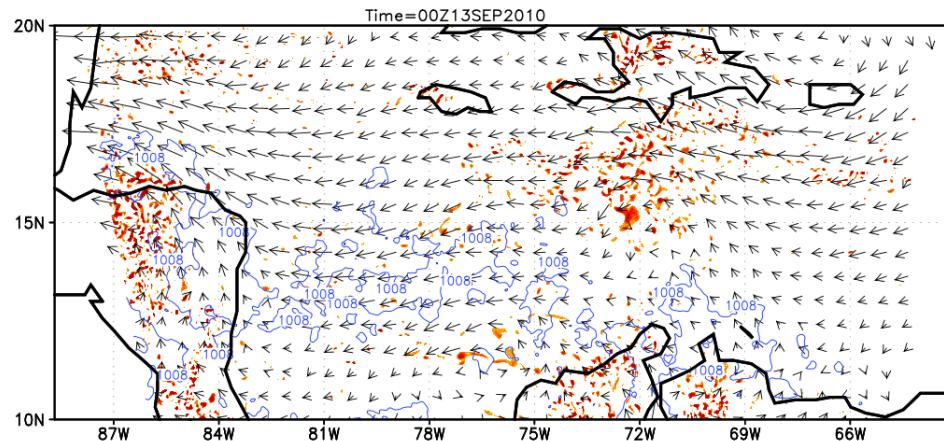


Surface pressure (contour), 700 hPa relative vorticit  
fields simulated in Member 1, 6, 27 and 29

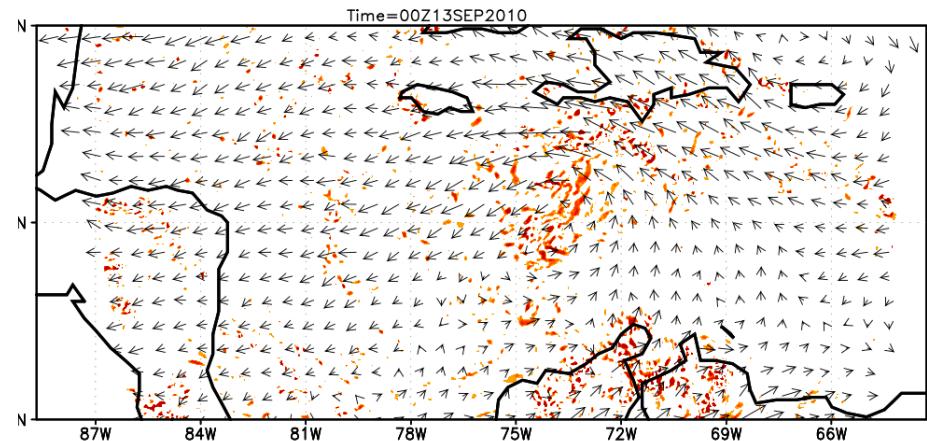


# Developer vs. non-developer: 24h (00/13)

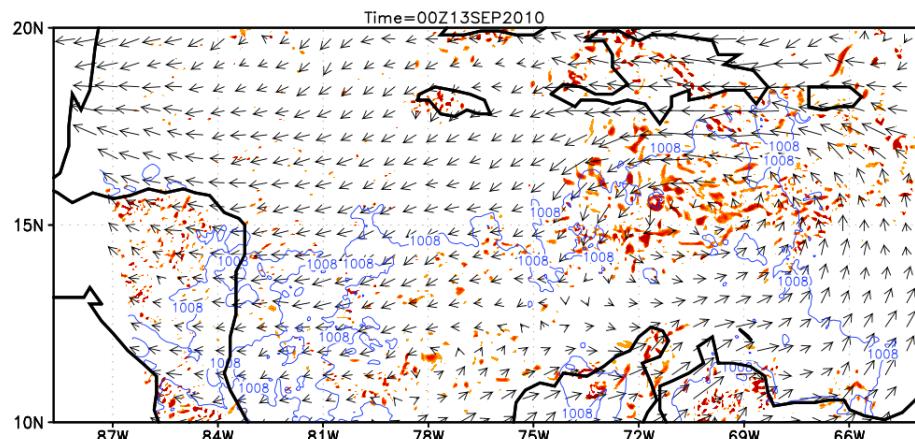
**Member 1**



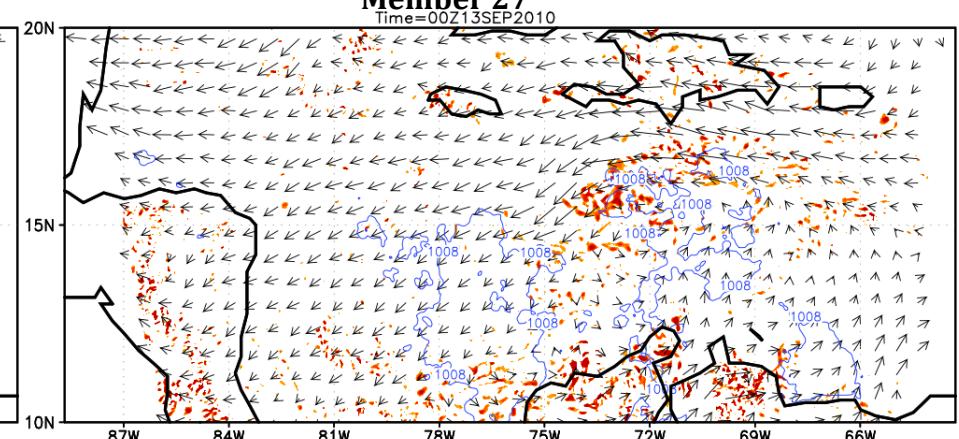
**Member 29**



**Member 6**



**Member 27**



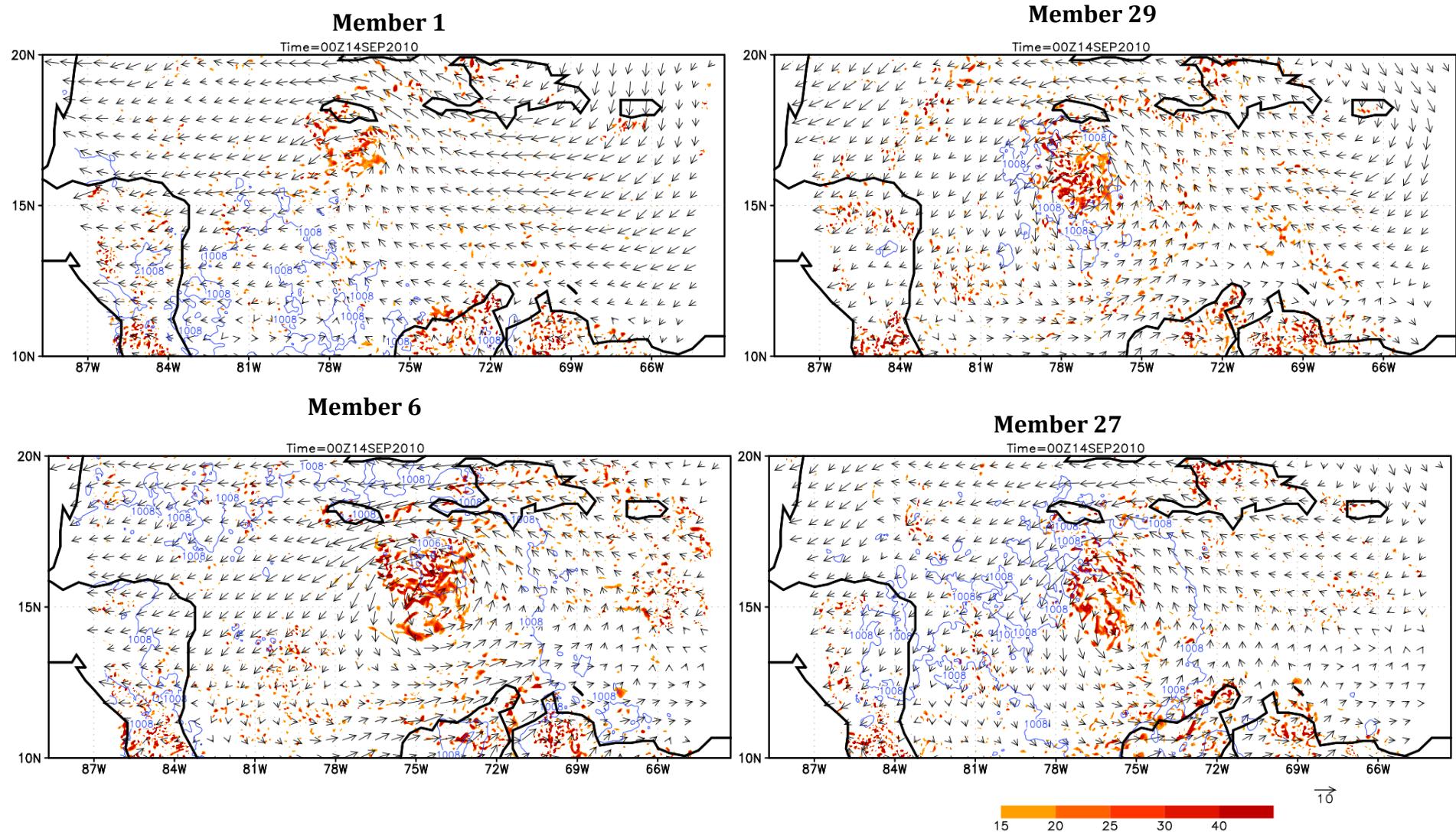
Surface pressure (contour), 700 hPa relative vorticity fields simulated in Member 1, 6, 27 and 29

GrADS: COLA/IGES

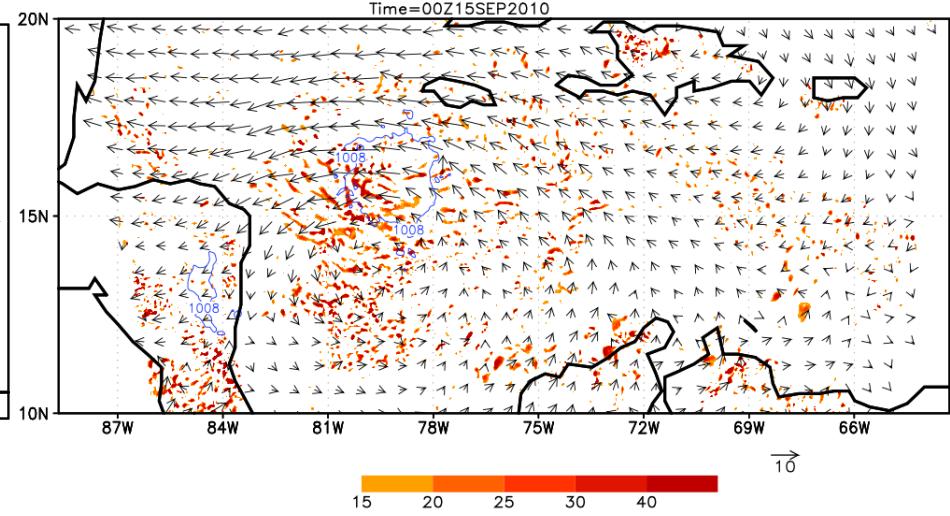
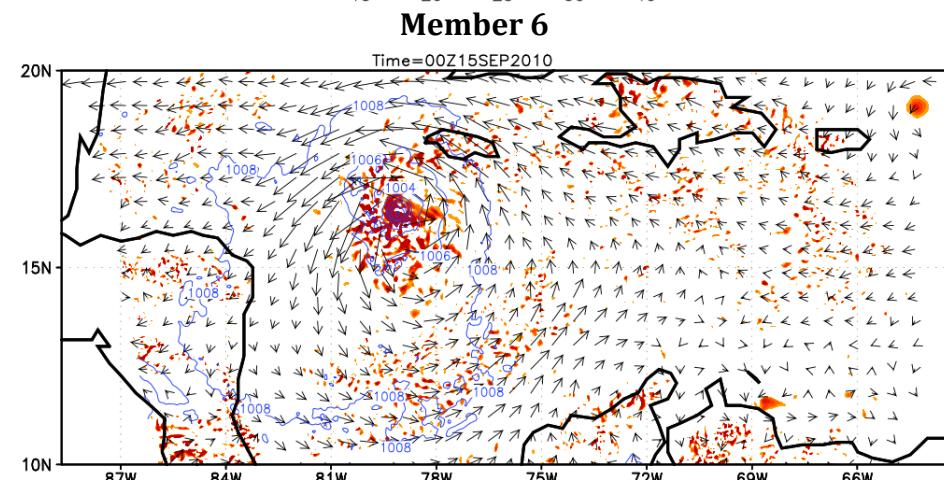
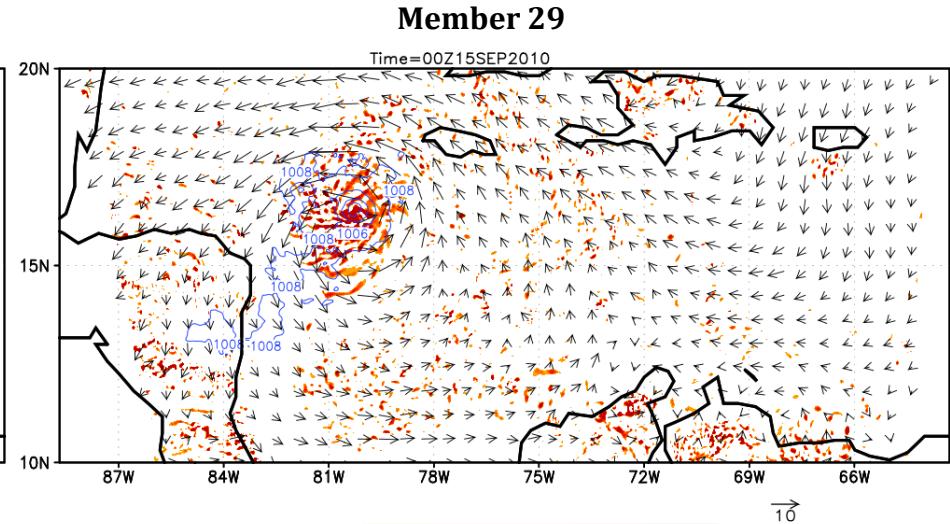
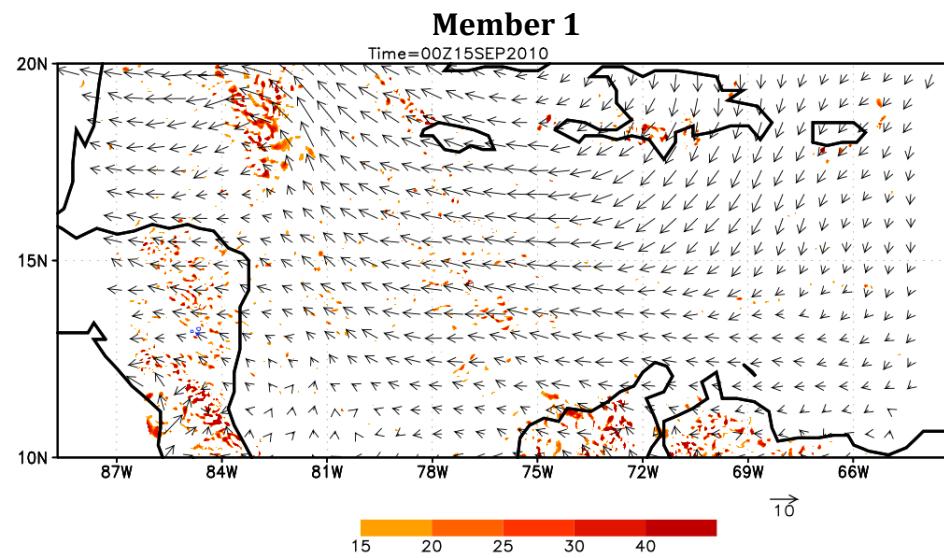


2011-05-26-19:08

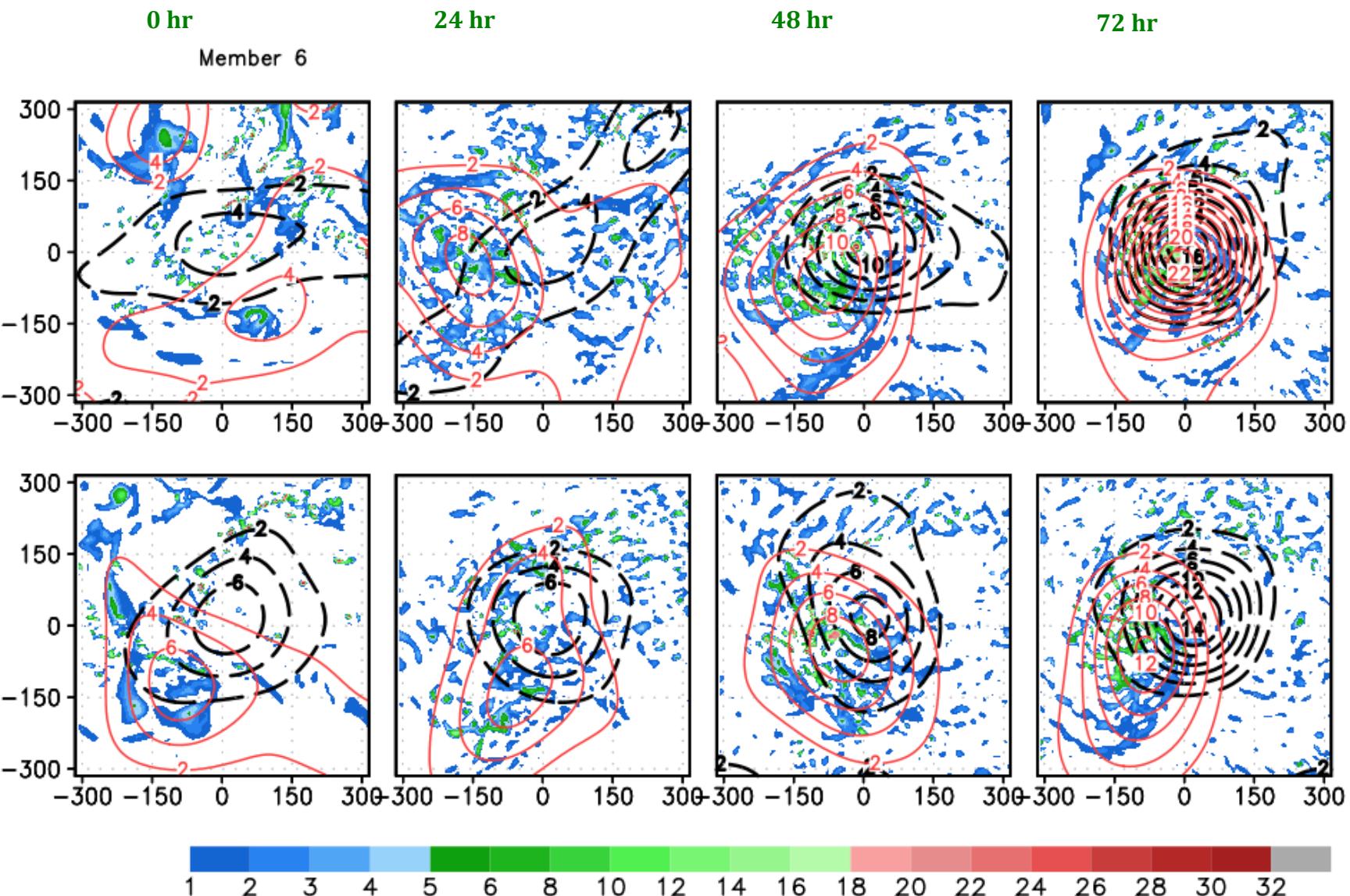
# Developer vs. non-developer: 48h (00/14)



# Developer vs. non-developer: 72h (00/15)

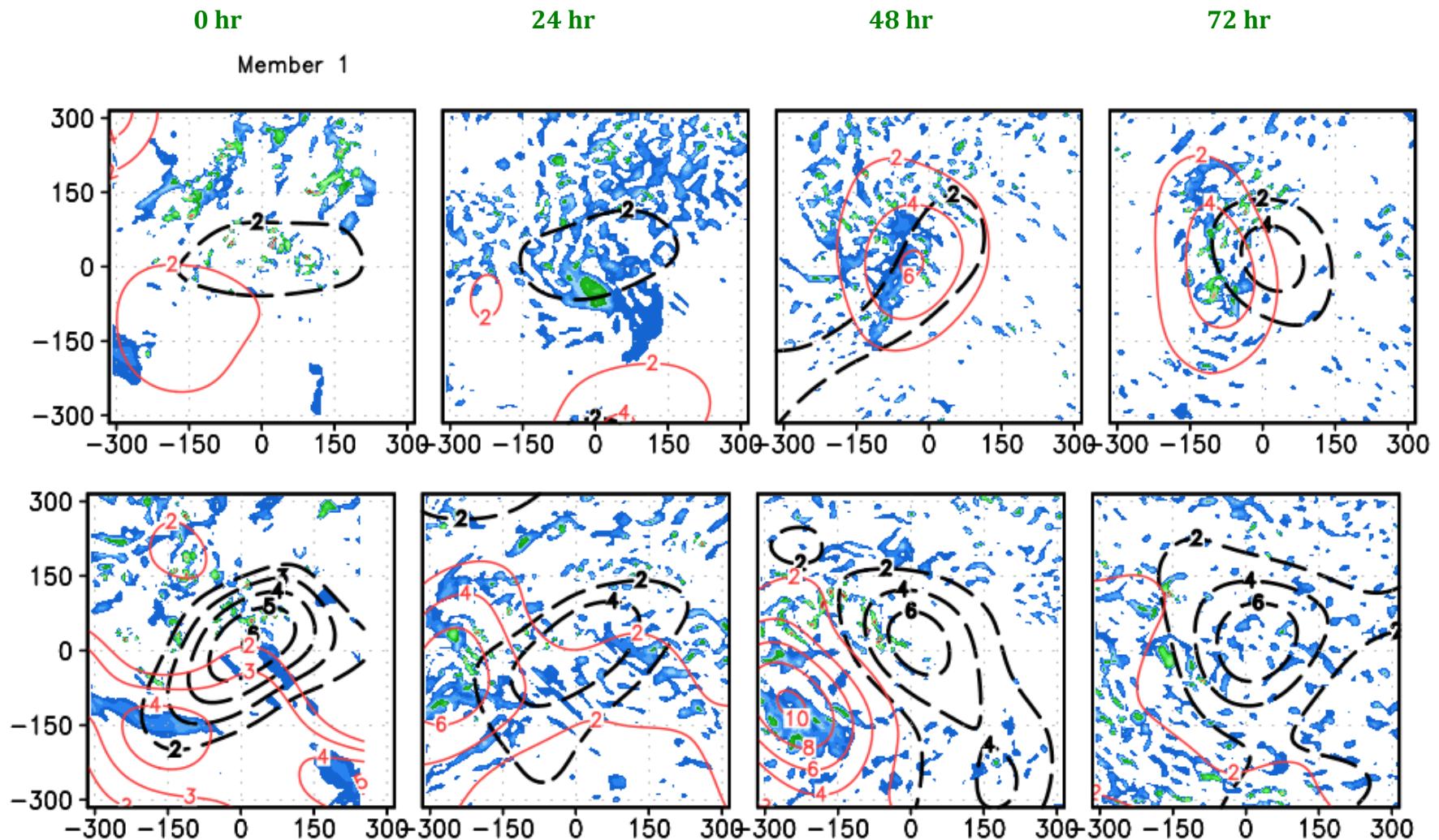


# Displacement of 500 hPa vorticity center from surface: members 6&29



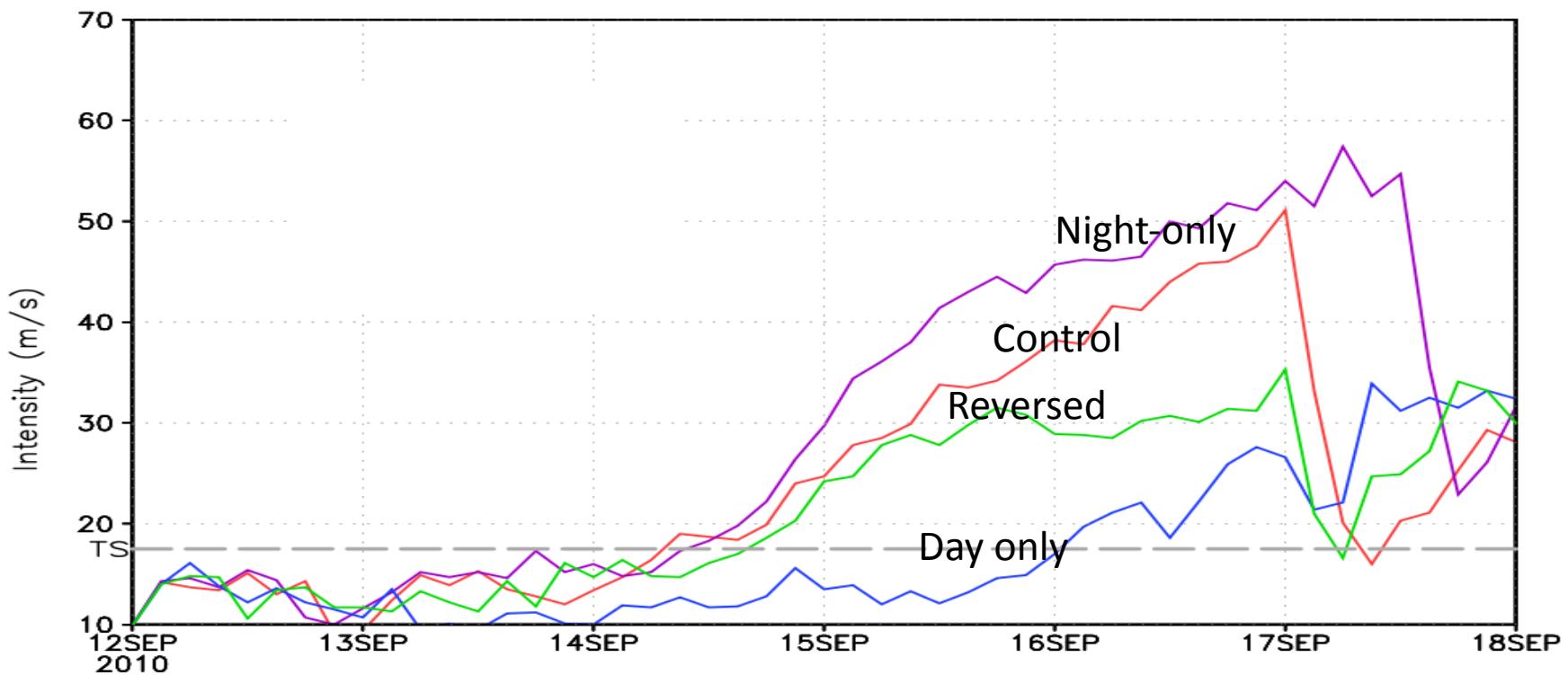
**Figure 3.** Horizontal distributions of relative vorticity at 500 hPa (shaded,  $10^{-4} \text{ s}^{-1}$ ). The contours are relative vorticity in scales larger than 200 km at the surface (black,  $10^{-5} \text{ s}^{-1}$ ) and 500 hPa level (red,  $10^{-5} \text{ s}^{-1}$ ).

# Displacement of 500 hPa vorticity center from surface: members 1&27



**Figure 3.** Horizontal distributions of relative vorticity at 500 hPa (shaded,  $10^{-4} \text{ s}^{-1}$ ). The contours are relative vorticity in scales larger than 200 km at the surface (black,  $10^{-5} \text{ s}^{-1}$ ) and 500 hPa level (red,  $10^{-5} \text{ s}^{-1}$ ).

# Impact of Diurnal Cycles: Intensity Change in radiation experiments



CONTROL: the natural 6<sup>th</sup> member of the EnKF ensemble runs starting 00Z 12 September

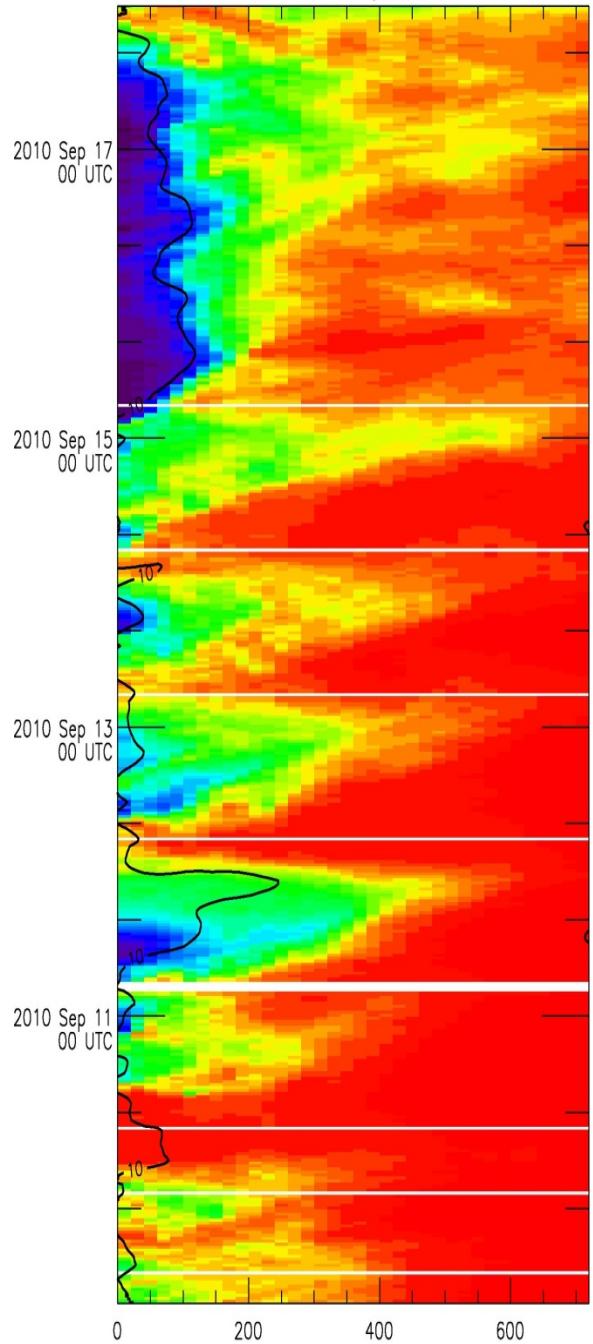
REVERSE: offset the initial and subsequent day time clock by 12 hours in the radiation scheme

DAY only: the time in SW scheme is fixed at 12ZUTC

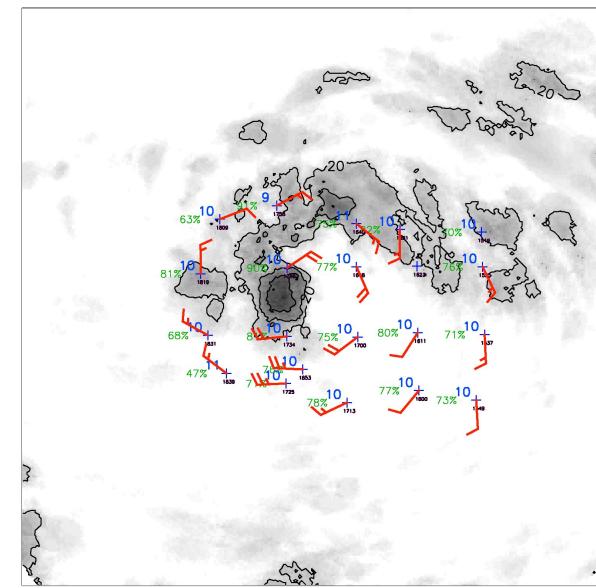
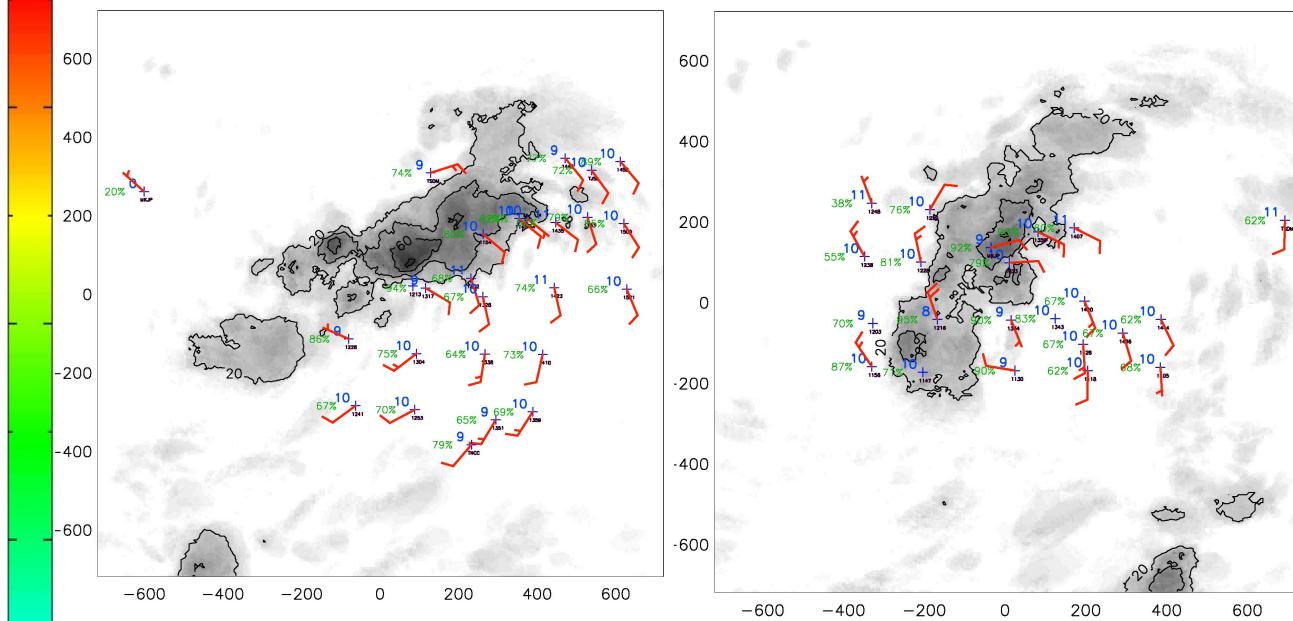
NIGHT only: The time is fixed at 04ZUTC

Additional two runs: (1) no-radiation run, and (2) DAY2 that fixes the sun as in DAY but at a different angle

PGI44L p75



Sept. 12, 13 and 15 obs composite from Chris Davis



(obs stolen from Chris Davis' talk)

# Sensitivity of vorticity & moisture to diurnal cycle

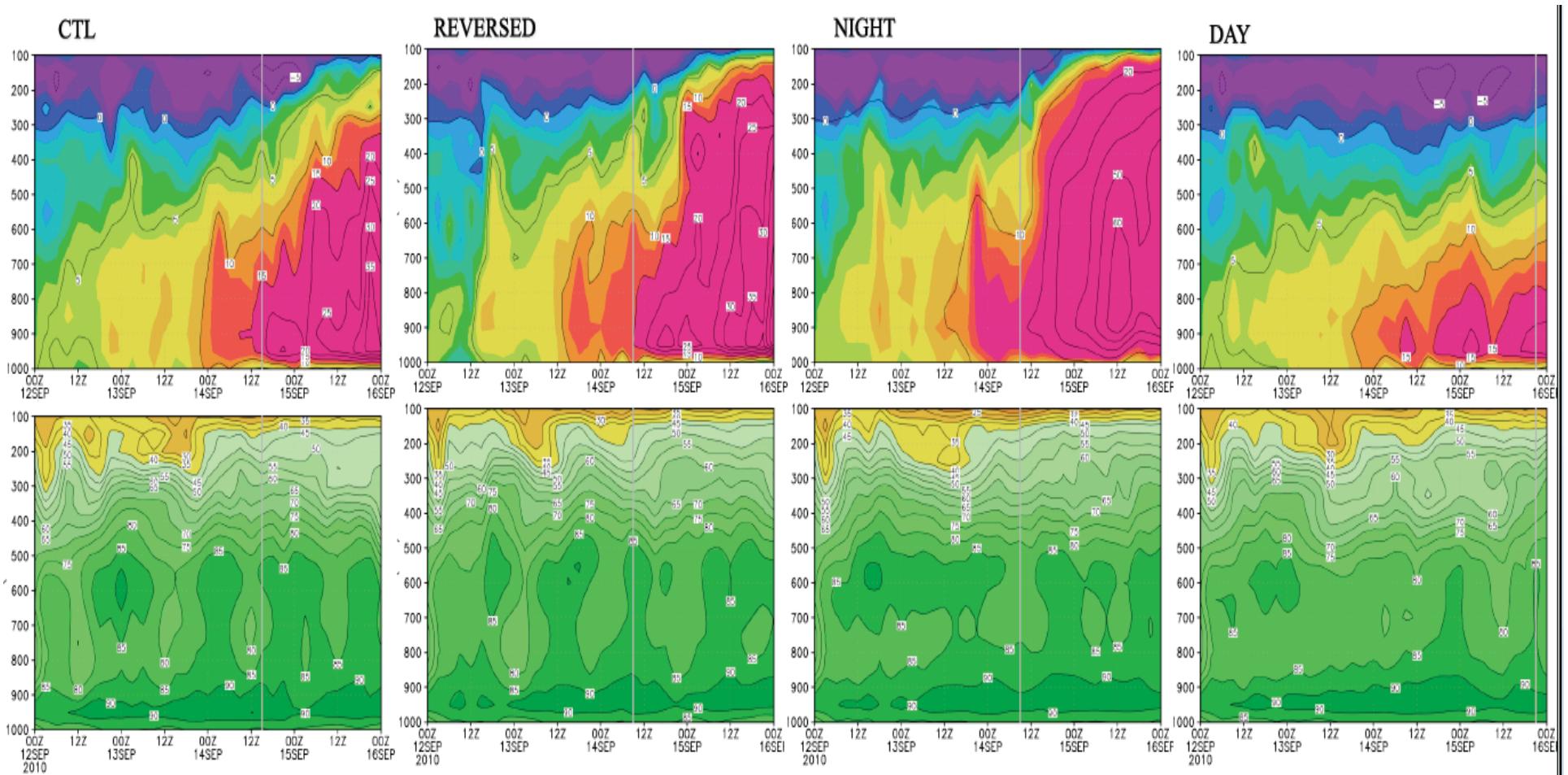


Figure 5. Time-height diagram of relative vorticity (upper panels) and relative humidity (lower panels) averaged within 225 km centered at the surface maximum. Gray line marks the time of tropical cyclone formation.

# Sensitivity of $w$ and 850 Vt winds to diurnal cycle

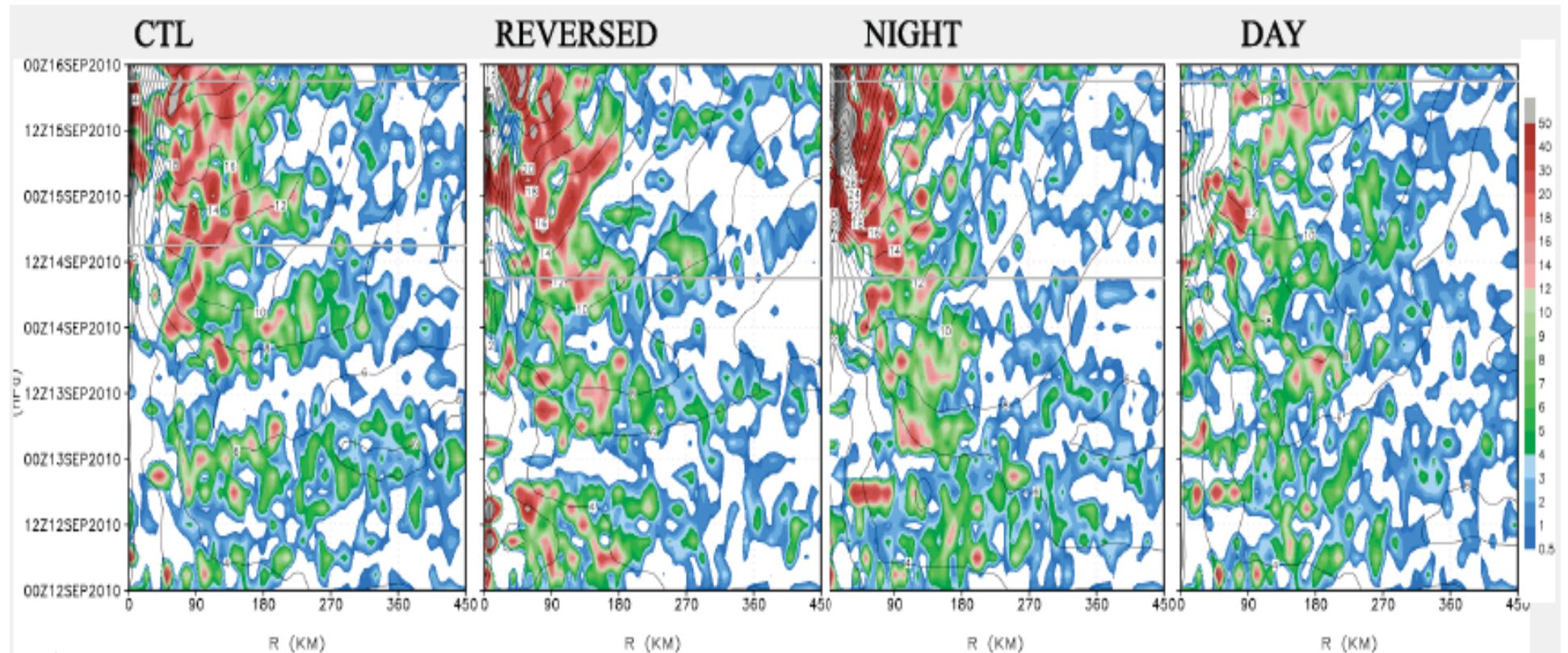


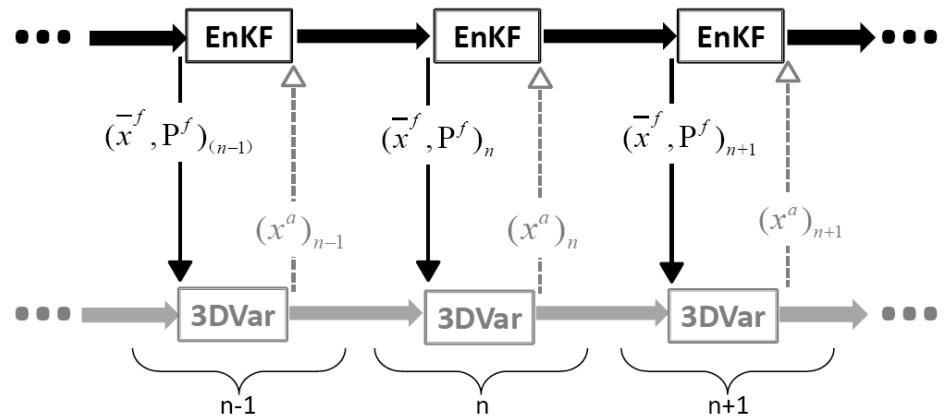
Figure 6. Time-radius diagram of azimuthally-averaged 500 hPa vertical velocity (shading, cm/s) and azimuthally-averaged 850 hPa tangential wind speed (contour, m/s).

# Future work: building a 4-D observational analysis w/ Hybrid data assimilation Coupling 3/4DVar with EnKF

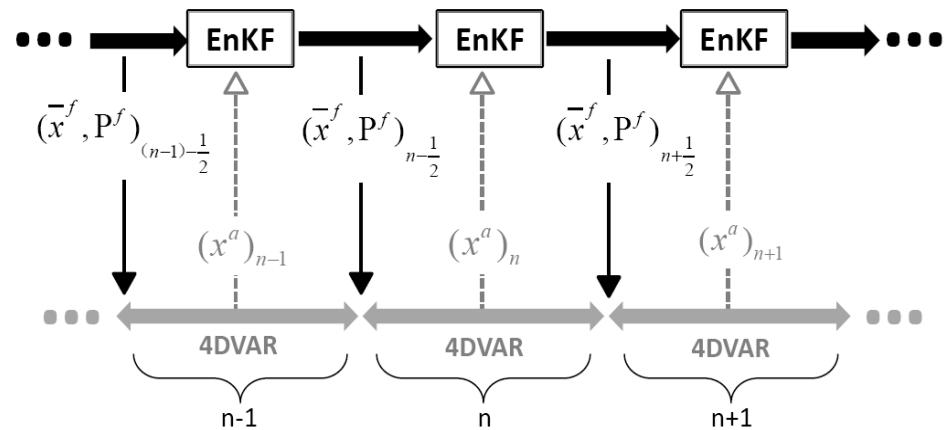
## Necessary Variable Changes:

- i)EnKF provides ensemble-based background error covariance ( $P^f$ ) for 3D/4DVar
- ii)EnKF provides the prior ensemble mean ( $\bar{x}^f$ ) as the first guess for 3D/4DVar
- iii)3D/4DVar provides deterministic analysis ( $x^a$ ) to replace the posterior ensemble mean for the next ensemble forecast

## *Coupler of EnKF-3DVar Hybrid (E3DVar):*



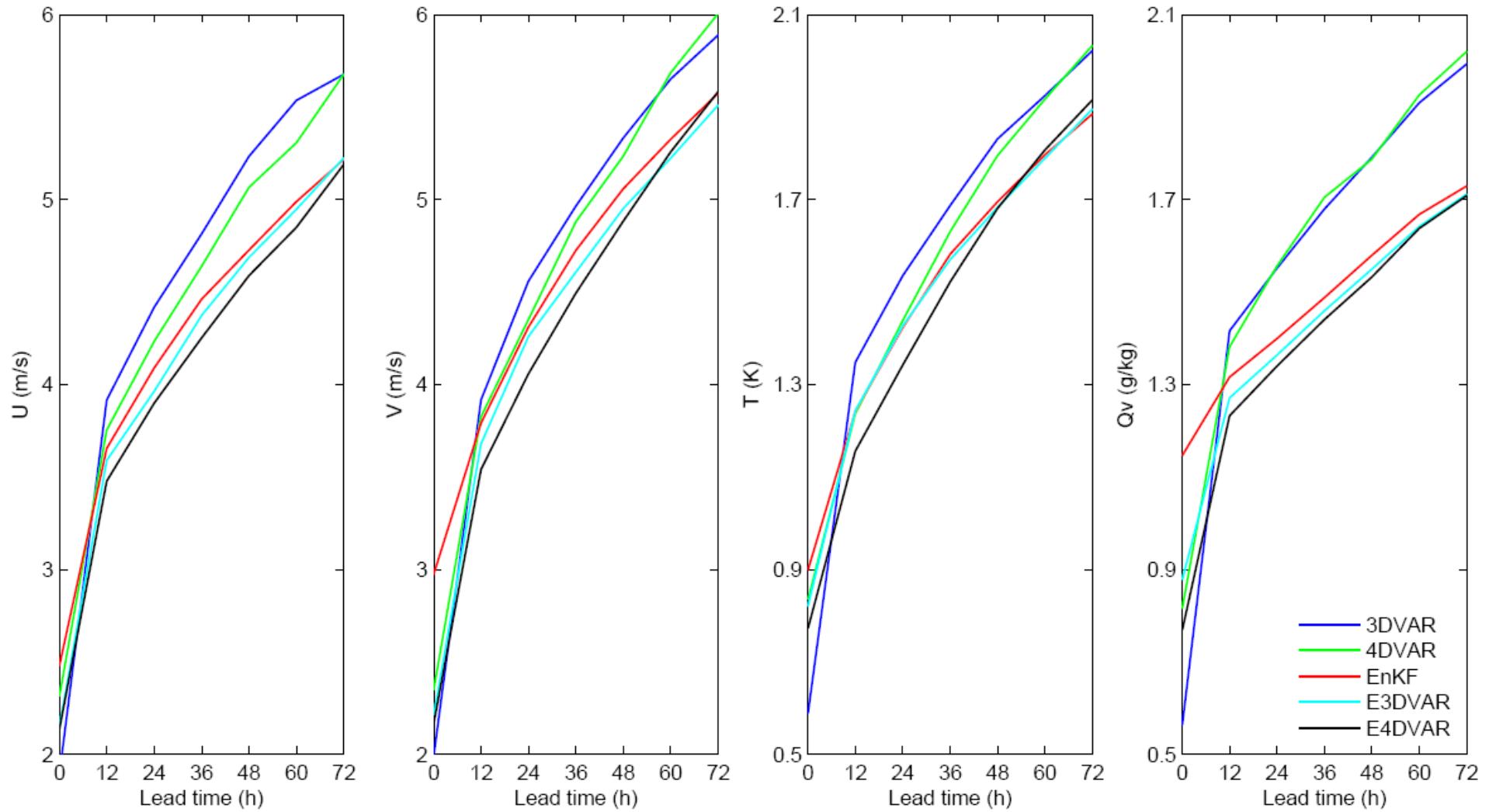
## *Coupler of EnKF-4DVar Hybrid (E4DVar):*



(Zhang 2010; Zhang et al. 2009,2010)

# Comparison of 3DVar, 4DVar, EnKF, E3DVar & E4DVar

0-72hr U, V, T & Q RMS forecast error over CONUS Jun 2003 (60 runs)



(Zhang 2010; Zhang et al. 2010)