



# ***Use of NASA LaRC Geostationary Satellite-Derived Products to Characterize and Nowcast High Ice Water Content Encounters***



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## **Acknowledgements**

**Walter Strapp, Met Analytics Inc.**

**Julien Delanoë, CNRS**

**Alain Protat, Australian Bureau of Meteorology**

**HAIC-HIWC Science Team Meeting**

**5-9 December 2016**

**Capua, Italy**

# Objectives

- **Identify which satellite observations/retrievals are best correlated with HIWC based on data from HAIC-HIWC and the HIWC-Florida campaigns**
- **We seek to develop automated satellite derived products to identify:**
  - 1) Deep convective anvils
  - 2) Cloud microphysical properties that could indicate HIWC
  - 3) Updraft and gravity wave regions where HIWC could be generated and advected horizontally
- **Combine satellite observations and retrievals to estimate the likelihood of HIWC using data from any operational passive geostationary satellite imager across the globe**



# Aircraft/Satellite Datasets

- **Aircraft Datasets**

- **Total water content (TWC) from IKP2: Darwin, Cayenne, and NASA HIWC Florida (Strapp)**
  - Darwin IKP2 version 4.0
  - Cayenne IKP2 version 5.0
  - Florida IKP2 version 1.0
- **Static air temperature (SAFIRE)**

- **Satellite Datasets**

1. **Darwin: MTSAT-1R (rapid scan, 10-minute imagery)**
  2. **Cayenne: GOES-13 (half-hourly)**
  3. **NASA HIWC-Florida: GOES-13 (5-15 min imagery) and GOES-14 (“Super Rapid Scan” 1-min imagery, Bedka et al. (JAMC 2015))**
    - ~6000 total satellite pixel - aircraft matches across 46 flights
    - ~4-km IR spatial resolution at nadir; 1-km res visible imagery
- **Cloud property retrievals from SatCORPS (Satellite CLOUD & Radiation Property retrieval System); Minnis et al. (SPIE, 2008; TGRS, 2011)**
    - Cloud phase (water/ice), boundaries, optical depth (COD), effective particle size, water path, etc.
  - **Overshooting top detection database (Bedka & Khlopenkov, JAMC 2016)**
    - Visible texture rating based on Fourier frequency analysis of high-res 1-km visible imagery
    - IR-only OT Probability rating based on identification of prominent IR temperature minima (i.e. cold spots”) in anvil clouds + IR temperature comparisons with NWP fields

**Overshooting Top (OT)**

**Above-Anvil Cirrus Plume**

**Anvil**

**Updraft**

Weather Hazards Concentrated  
Near Overshooting Tops

- Tornadoes
- Hail
- Damaging wind
- Lightning
- Heavy rainfall
- Aircraft Engine Icing and Turbulence

**Photo of Hailstorm  
Taken During The  
DC3 Field Experiment**  
Courtesy of Heidi Huntrieser (DLR)

GOES-13 Visible: 2340 UTC, May 29 2012

**Overshooting Top**

**Plume**

2012-05-29 23:40:00Z

GOES-13 Infrared: 2340 UTC, May 29 2012

**Overshooting Top**

310  
290  
270  
250  
230  
210  
190

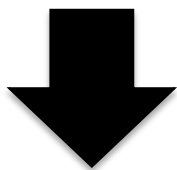
2012-05-29 23:40:00Z

***Bedka and Khlopenkov (JAMC, 2016)***

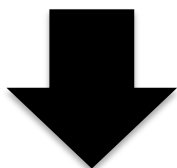
## ***Visible and IR-Based Probabilistic Overshooting Cloud Top Detection***

***GOAL: Mimic the human OT identification process using IR & Visible imagery and NWP data within an automated computer algorithm***

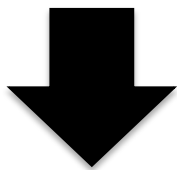
Satellite IR and Visible OT Indicators  
Derived Via Image Pattern Recognition +  
NWP Forecast or Reanalysis Data



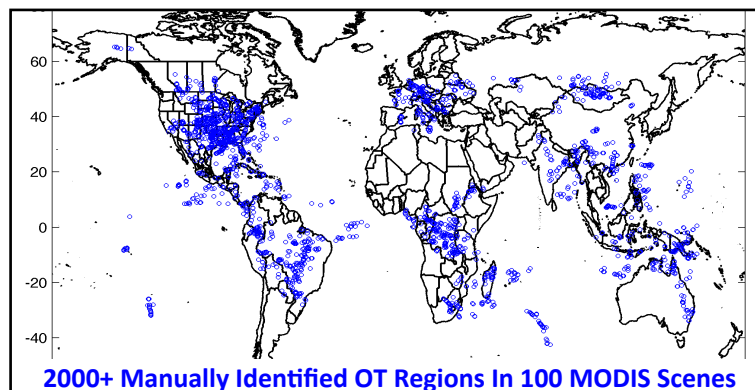
Large Global Training Database of Satellite  
+ NWP Fields For Both OT and Non-OT Anvil Regions



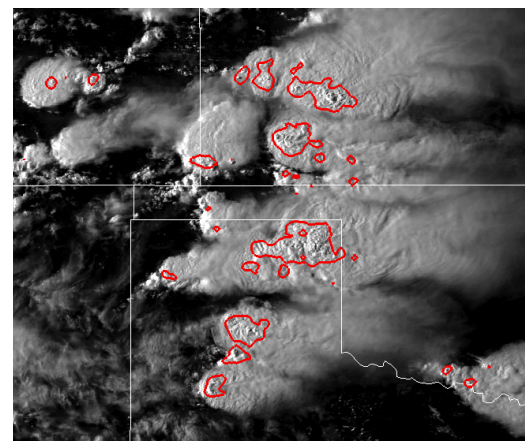
Statistical Model Used To Discriminate  
Between The OT and Non-OT Anvil Populations



Visible OT Texture Detection,  
IR+NWP OT Probability,  
and IR Anvil Detection Products



**Automated Visible Texture Detection**  
Overlaid on GOES Visible Satellite Imagery



# Automated Visible Channel Texture & IR OT Detection

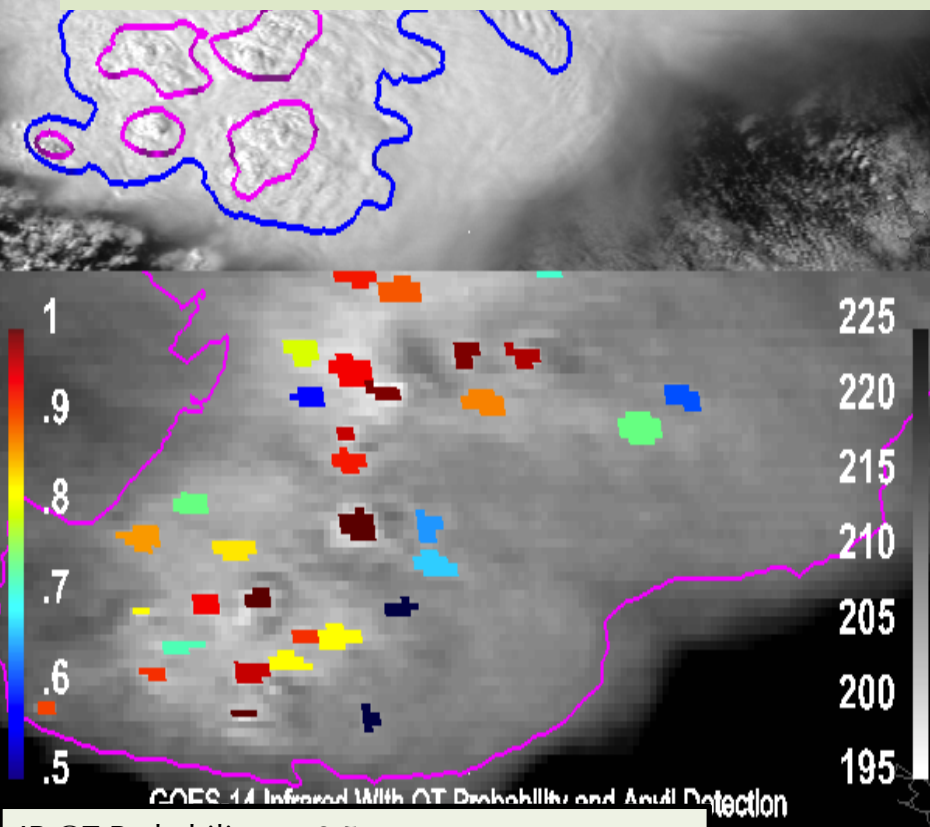
## GOES-14 Super Rapid Scan Zoomed Examples, 25 May 2015

Updraft/gravity wave texture (blue)  
Significant (OT) texture (magenta)

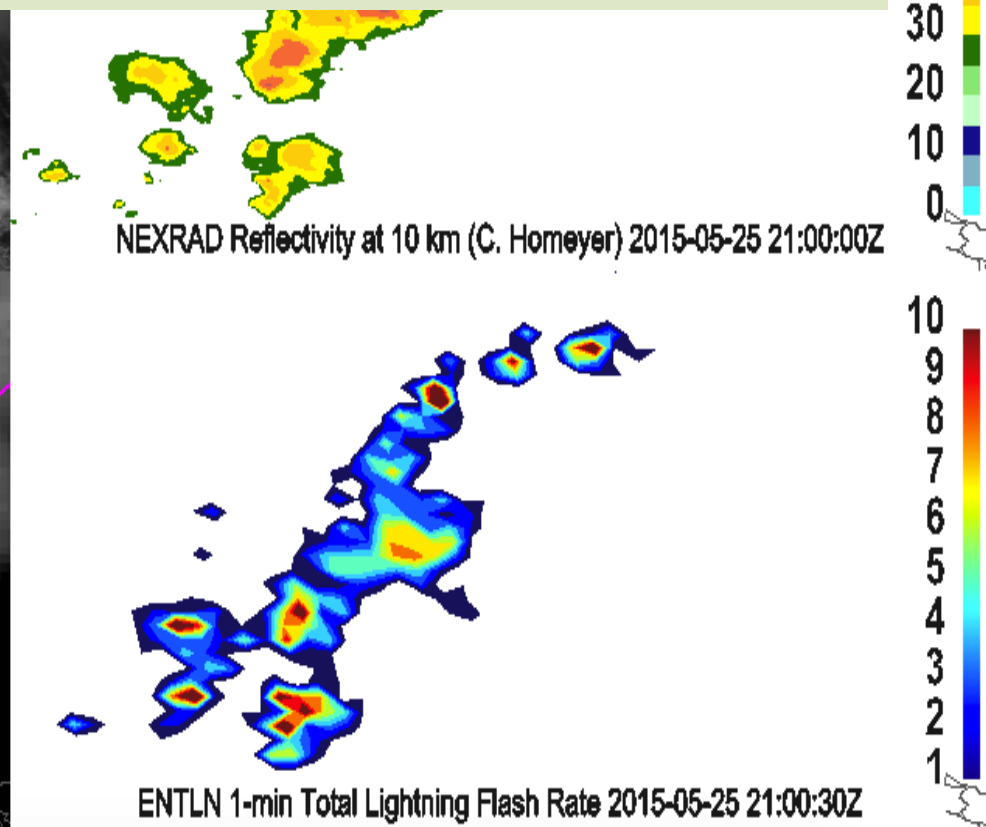
WSR-88D radar reflectivity @ 10 km  
Homeyer (JAS, 2014)

**Significant texture and high OT Probability best correlated with strong radar and lightning cores**

**HIWC can also occur in/near lower texture rating and OT Probability so we also need to include these regions in our HIWC Probability model development**



IR OT Probability  $\geq 0.5$ ,  
Anvil Detection=Magenta



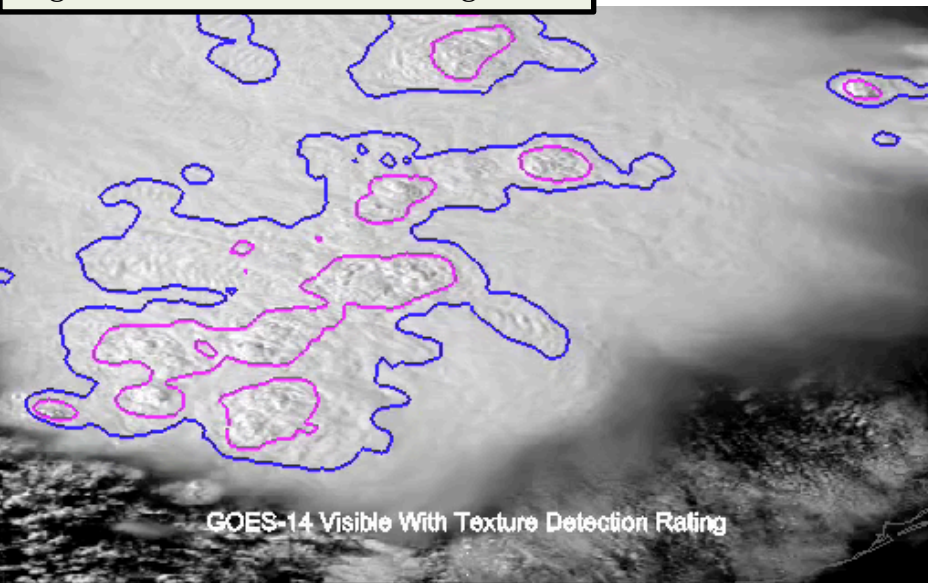
ENTNLN 1-min Total Lightning Flash Rate



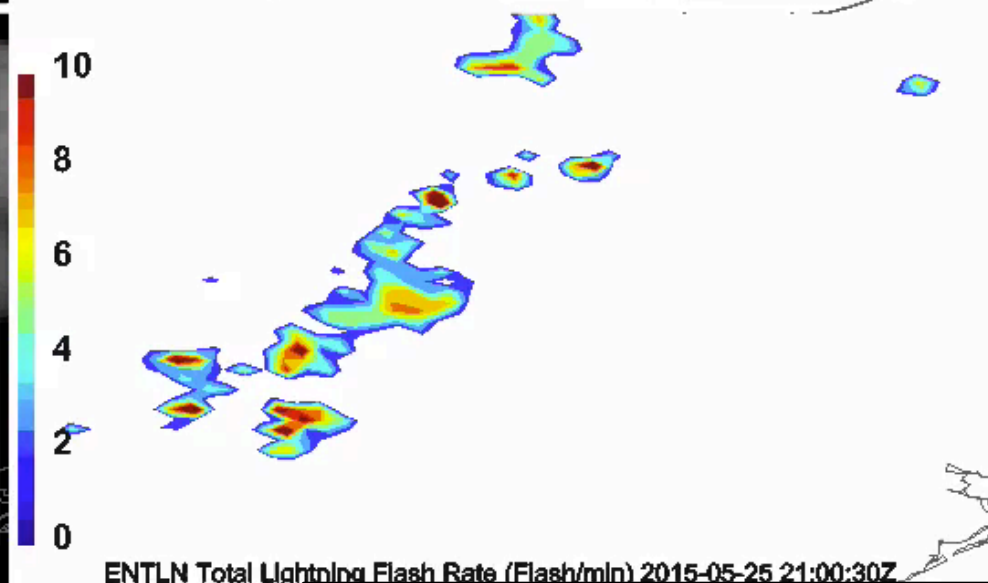
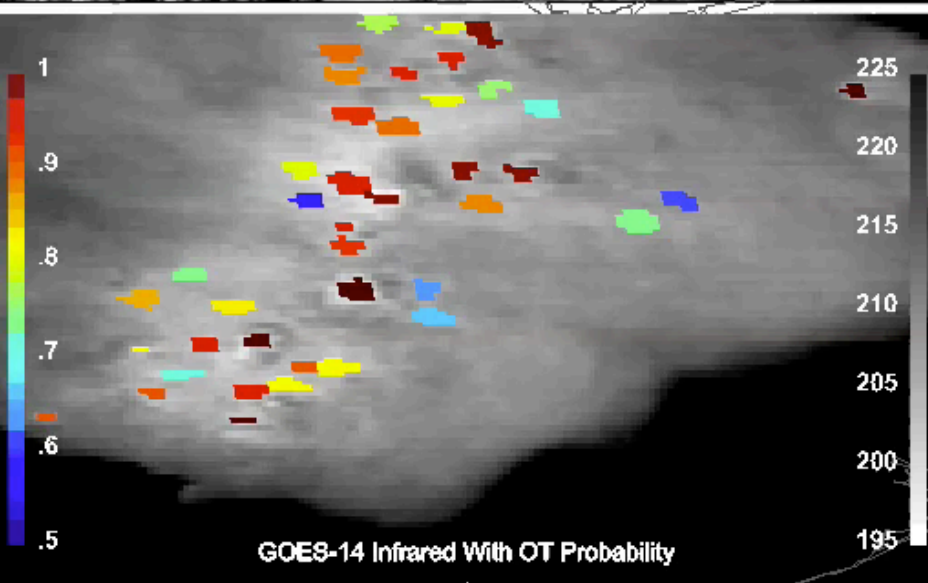
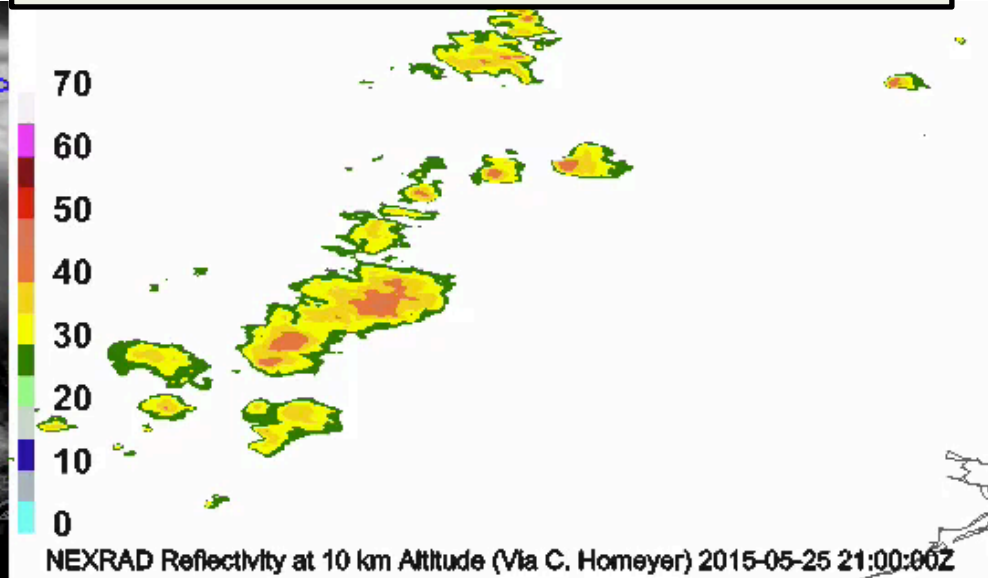
# Automated Visible Channel Texture & IR OT Detection

## GOES-14 Super Rapid Scan Animation, 25 May 2015

Updraft/gravity wave texture (blue)  
Significant (OT) texture (magenta)



WSR-88D radar reflectivity @ 10 km  
Homeyer (JAS, 2014)

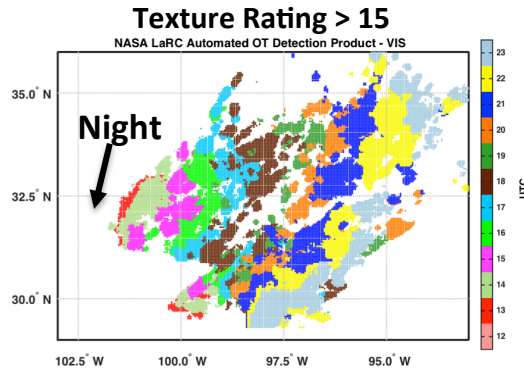
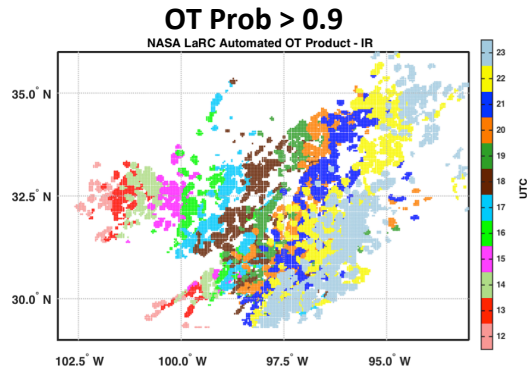


IR OT Probability  $\geq 0.5$

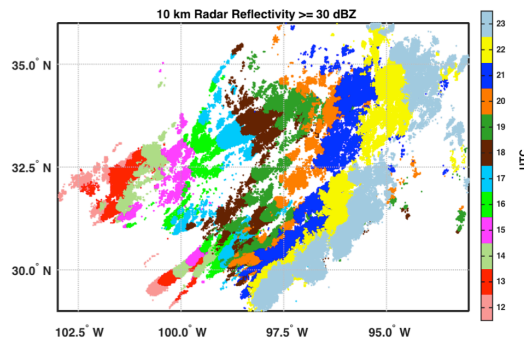
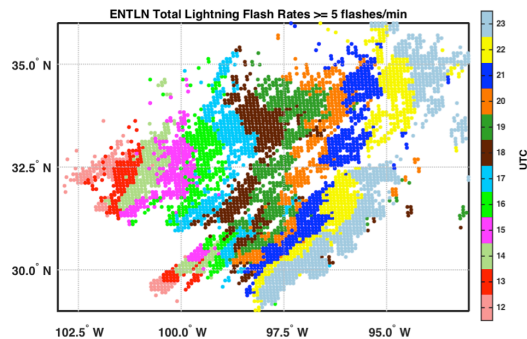
ENTLN 1-min Total Lightning Flash Rate

# Multi-sensor Analyses: 1200-2359 UTC, 25 May 2015

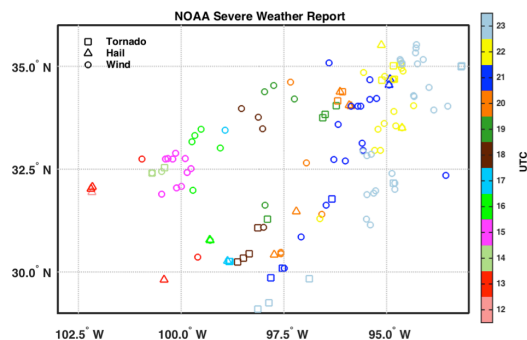
## GOES IR-Based OT Detections    GOES Visible Texture Detections



## ENTLN Total Lightning Observations    10 km Altitude Reflectivity > 30 dBZ

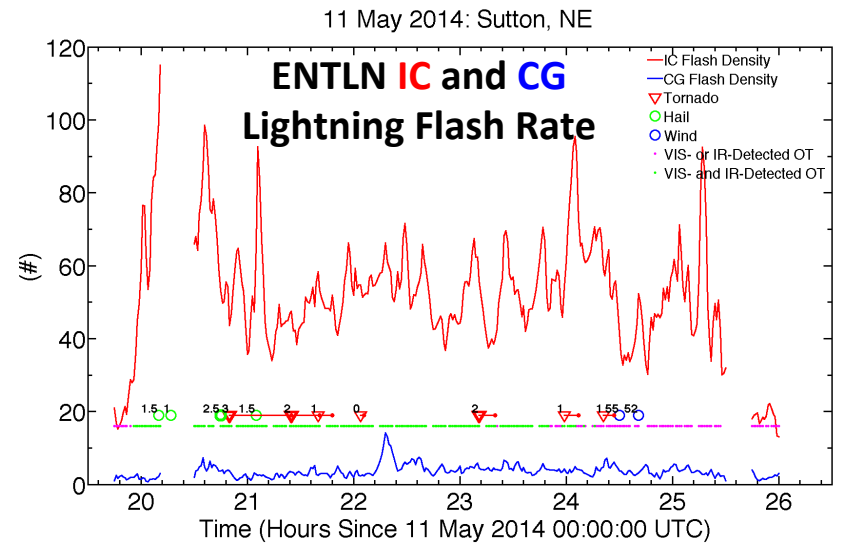
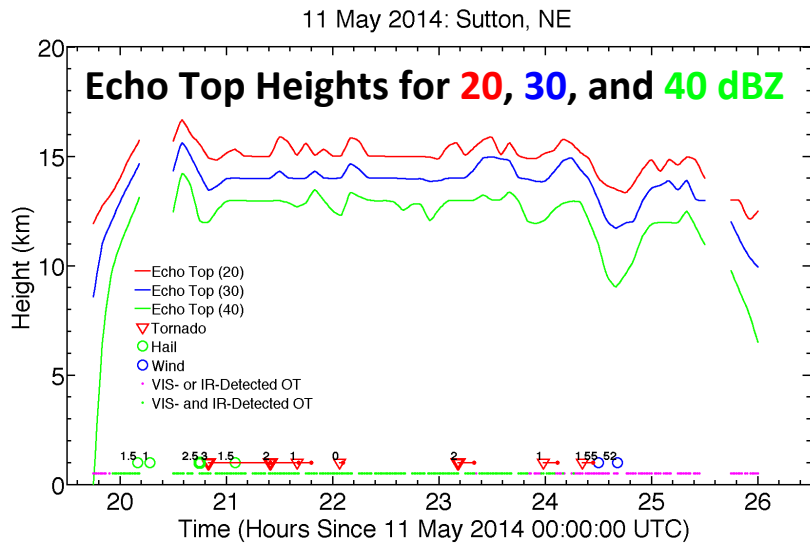
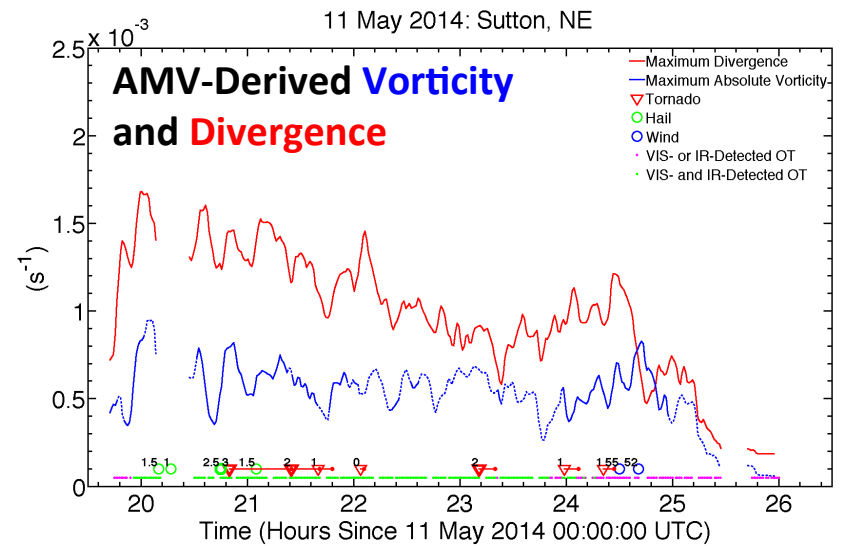
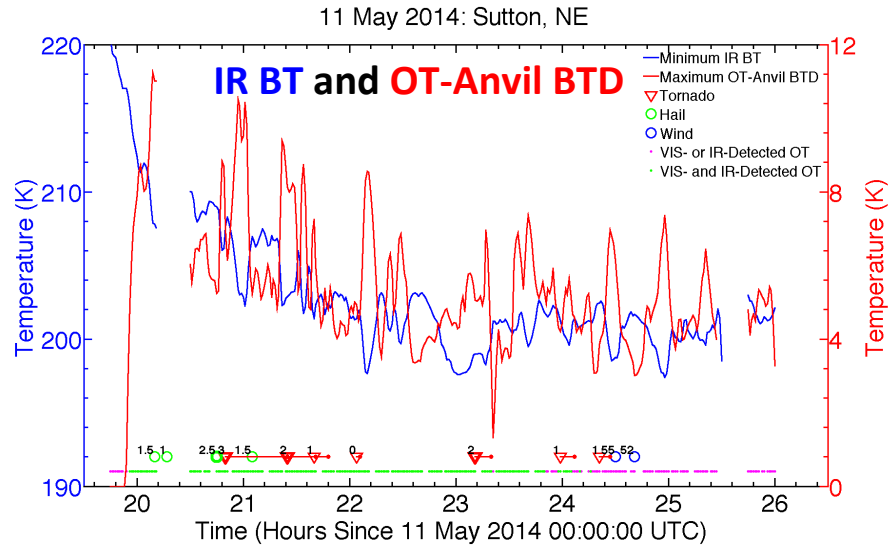


## Severe Weather Reports



- Generally good spatial and temporal agreement between GOES OT detection (especially Visible-based), total lightning, and radar-based detections
- The storms primarily produced flooding rainfall but also many severe weather events which nearly all were coincident with an OT detection

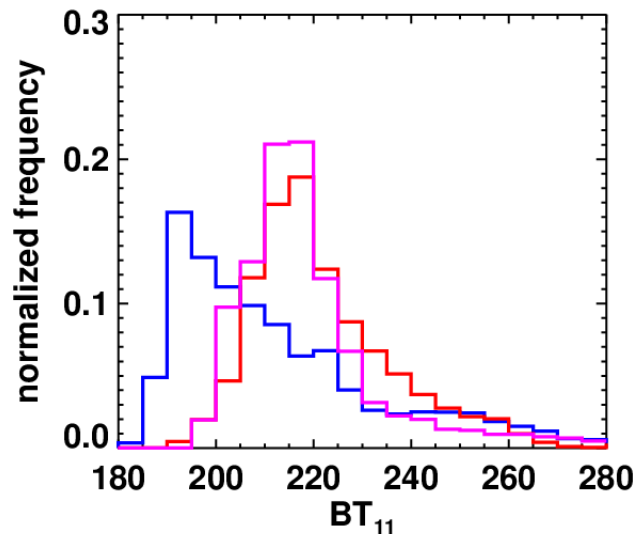
# Evolution of a Long Lived Tornadic Storm Over Nebraska: 11-12 May 2014



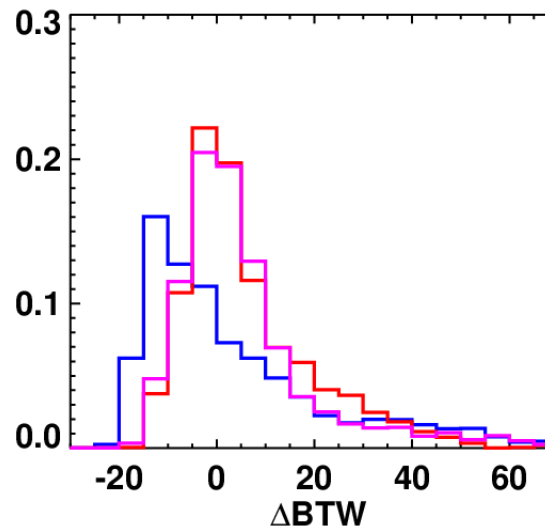
# Normalizing IR Brightness Temperature

- IR BT (i.e.  $BT_{11}$ ) distributions for Darwin, Cayenne, and Florida campaigns are not very similar – Darwin histogram peak 30 K colder than Cayenne/Florida. Need to normalize to develop a consistent HIWC nowcast tool that can be applied to any satellite imager
- Tried 2 approaches to normalize temperatures:
  - IR BT – WMO lapse rate tropopause temperature to determine proximity of cloud top to tropopause
  - IR BT – regional mean anvil IR BT to assess cloud height difference relative to regional convection
- Darwin 2014 cloud tops co-located with research aircraft were closest to tropopause, followed by Florida, and lastly Cayenne 2015. The IR-tropopause approach is more robust, easier to implement operationally across the globe, and has proven useful in other studies (Gryzch et al. (SAE, 2015))

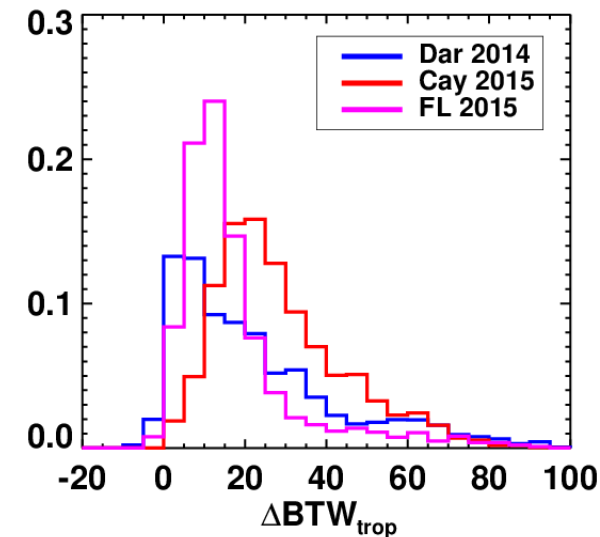
IR Brightness Temperature



IR-Regional Anvil Mean BTD



IR BT-Tropopause Temp





# Collocated Aircraft/Satellite Observations

- Match mean cloud properties in 2x2 pixel box to aircraft position, +/- 5 mins of each other
- Typical cruise speed of Falcon-20: 180 m/s
- 45-s averages of aircraft data, equivalent to 8 km distance
- Aircraft and satellite obs matched with max window of 5 mins
- $TWC > 0.01 \text{ g m}^{-3}$  considered in-cloud threshold

IKP2 total water content TWC [ $\text{g m}^{-3}$ ], 45-s averages. 8 HIWC encounters on this flight

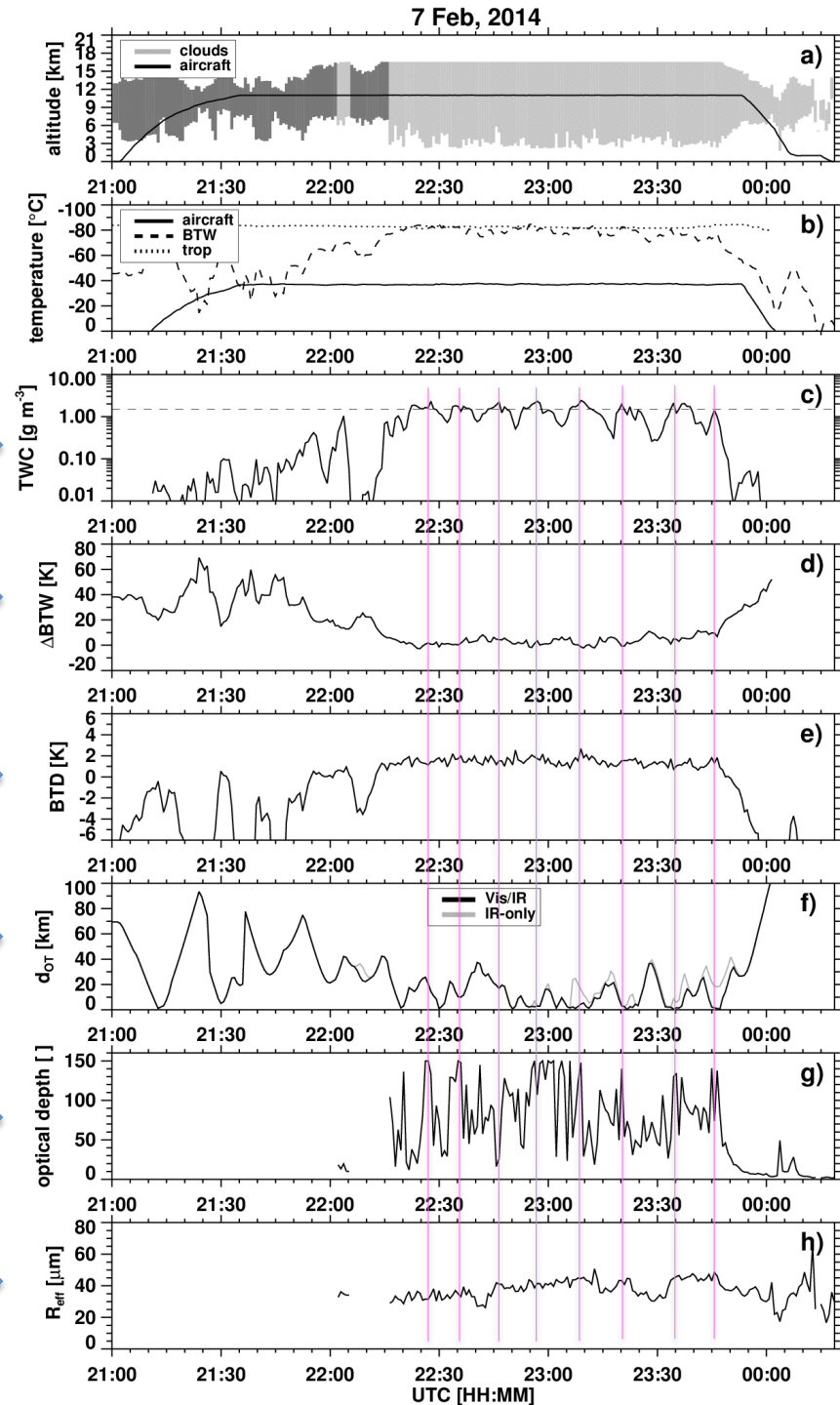
Negative OT-tropopause temperature difference indicates convective cores and their outflow

Positive WV-IR BTD indicates deep convection

Distance from Falcon's position to nearest prominent cold spot or textured "OT" region

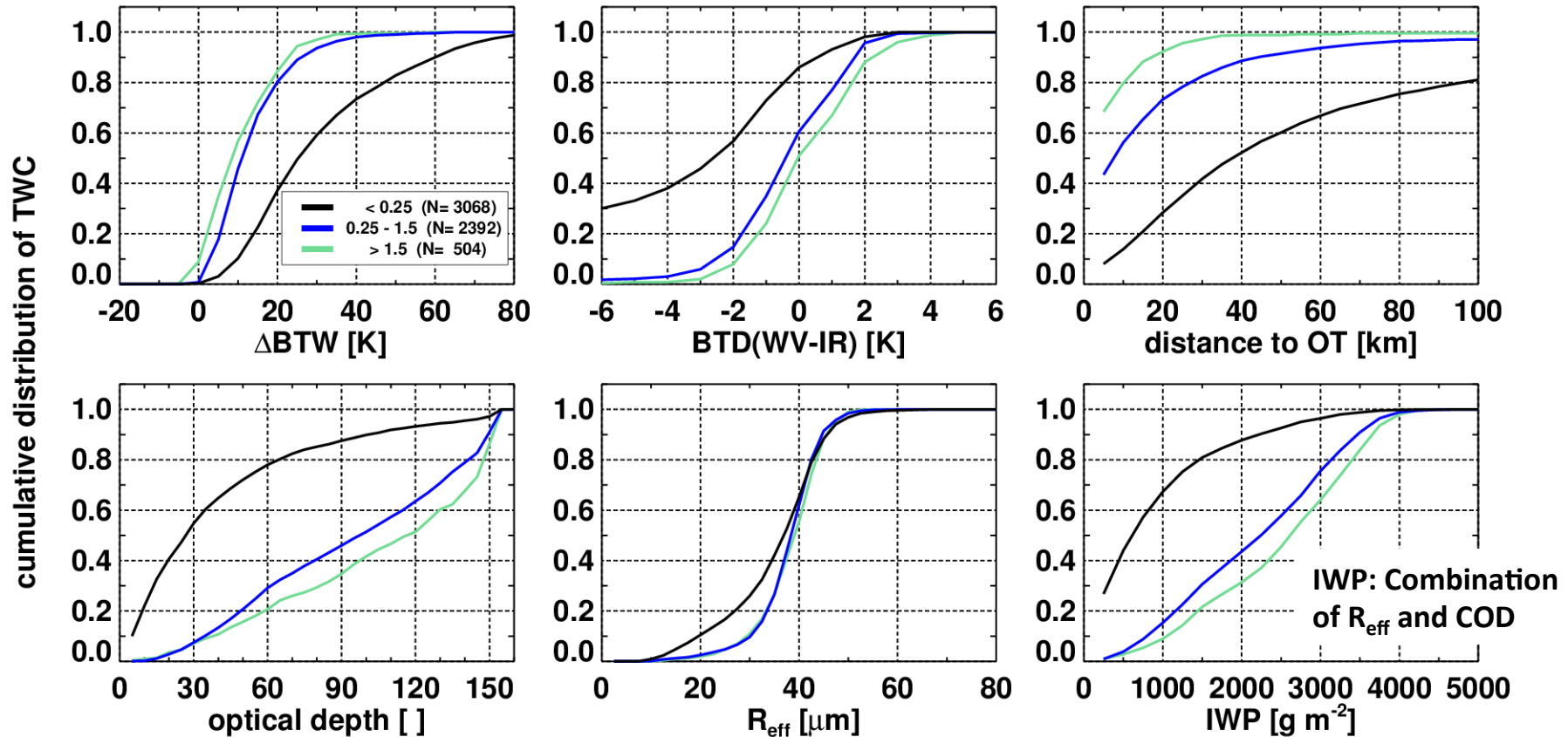
COD > 30 indicative of convective clouds. COD peaks (100+) during HIWC encounters but also adjacent to HIWC

Variations in particle size weakly correlated with TWC



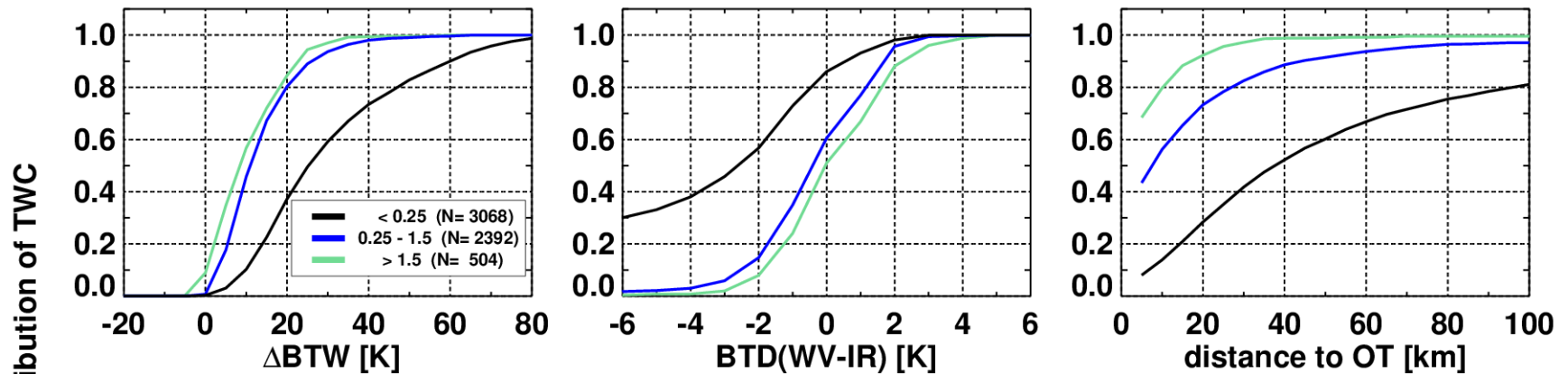
## Cloud Properties Coincident with HIWC: Darwin-14, Cayenne-15, NASA HIWC-Florida, IKP2

- Examined fractional occurrence of TWC with different satellite observations/retrievals
- Some statistical separation between  $0.25\text{--}1.5\text{ g m}^{-3}$  category and  $1.5\text{+ g m}^{-3}$  for all parameters except  $R_{\text{eff}}$
- **65% (98%) of TWC >  $1.5\text{ g m}^{-3}$  were within 10 (50) km of an OT**
- No single satellite observation indicates certainty of HIWC
- Correlation among the different parameters, i.e. not independent
- How can we combine parameters to derive a “probability of HIWC” product?



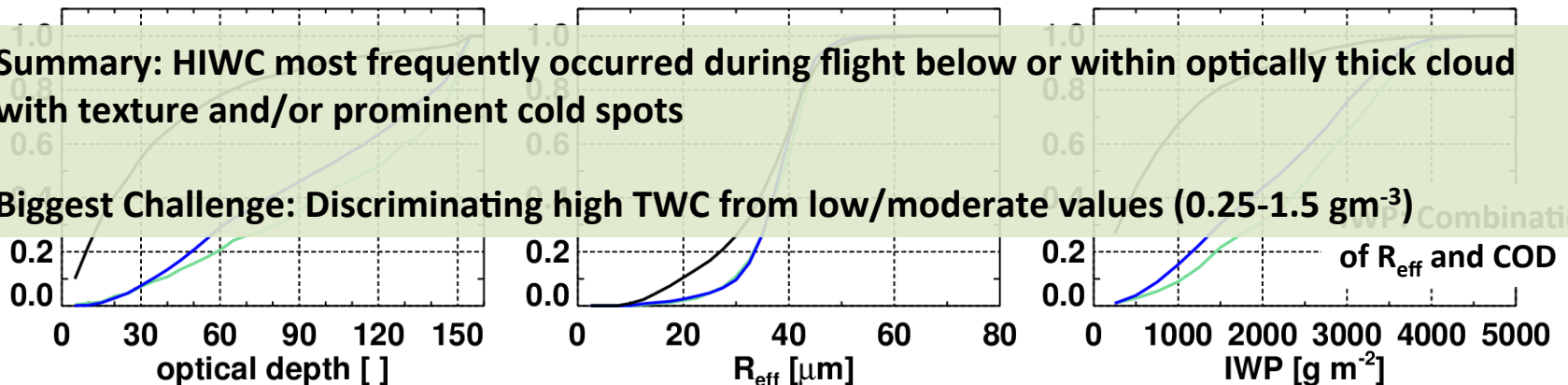
# Cloud Properties Coincident with HIWC: Darwin-14, Cayenne-15, NASA HIWC-Florida, IKP2

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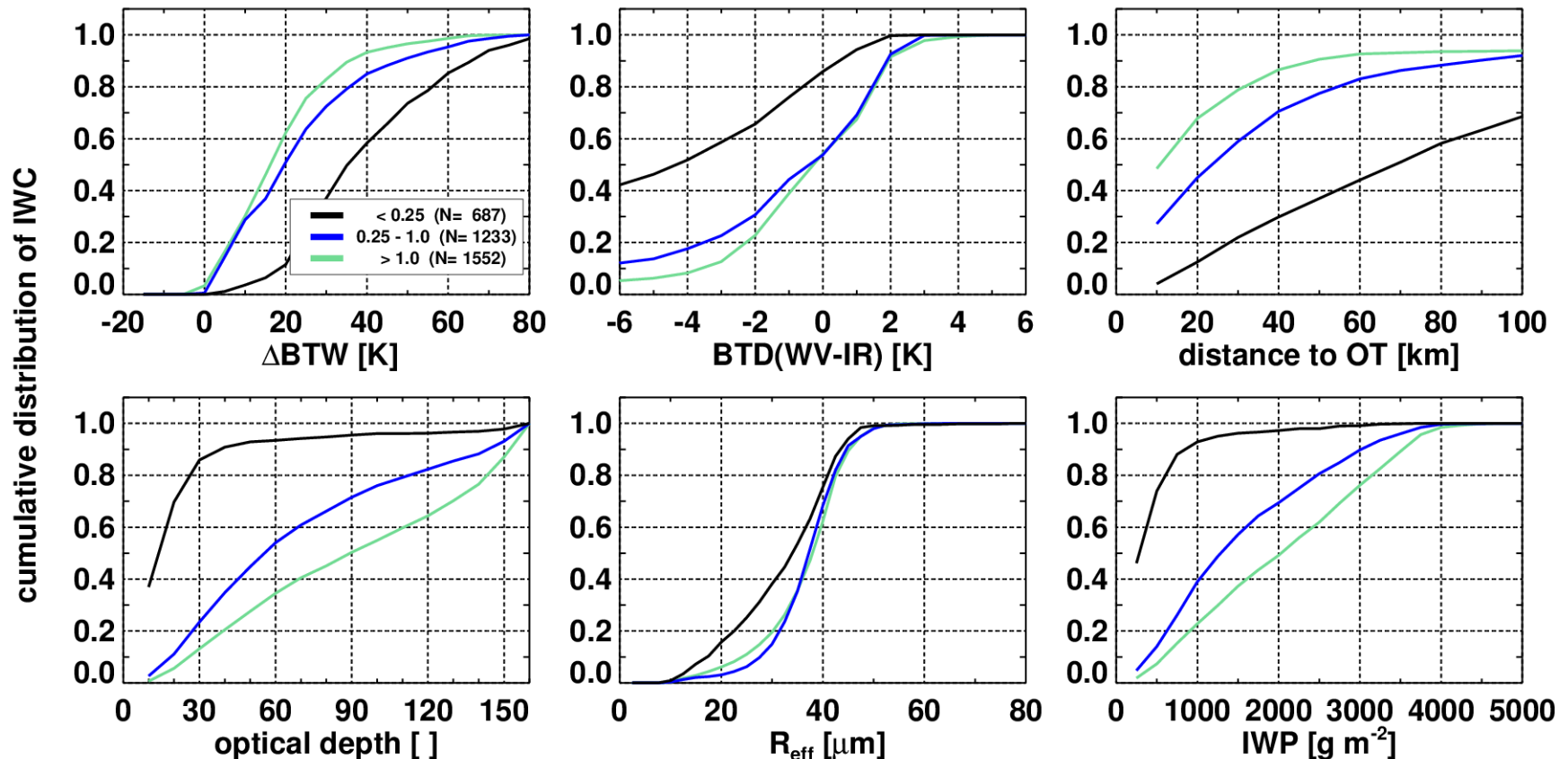
- **Summary: HIWC most frequently occurred during flight below or within optically thick cloud with texture and/or prominent cold spots**

- **Biggest Challenge: Discriminating high TWC from low/moderate values (0.25-1.5 g m<sup>-3</sup>)**



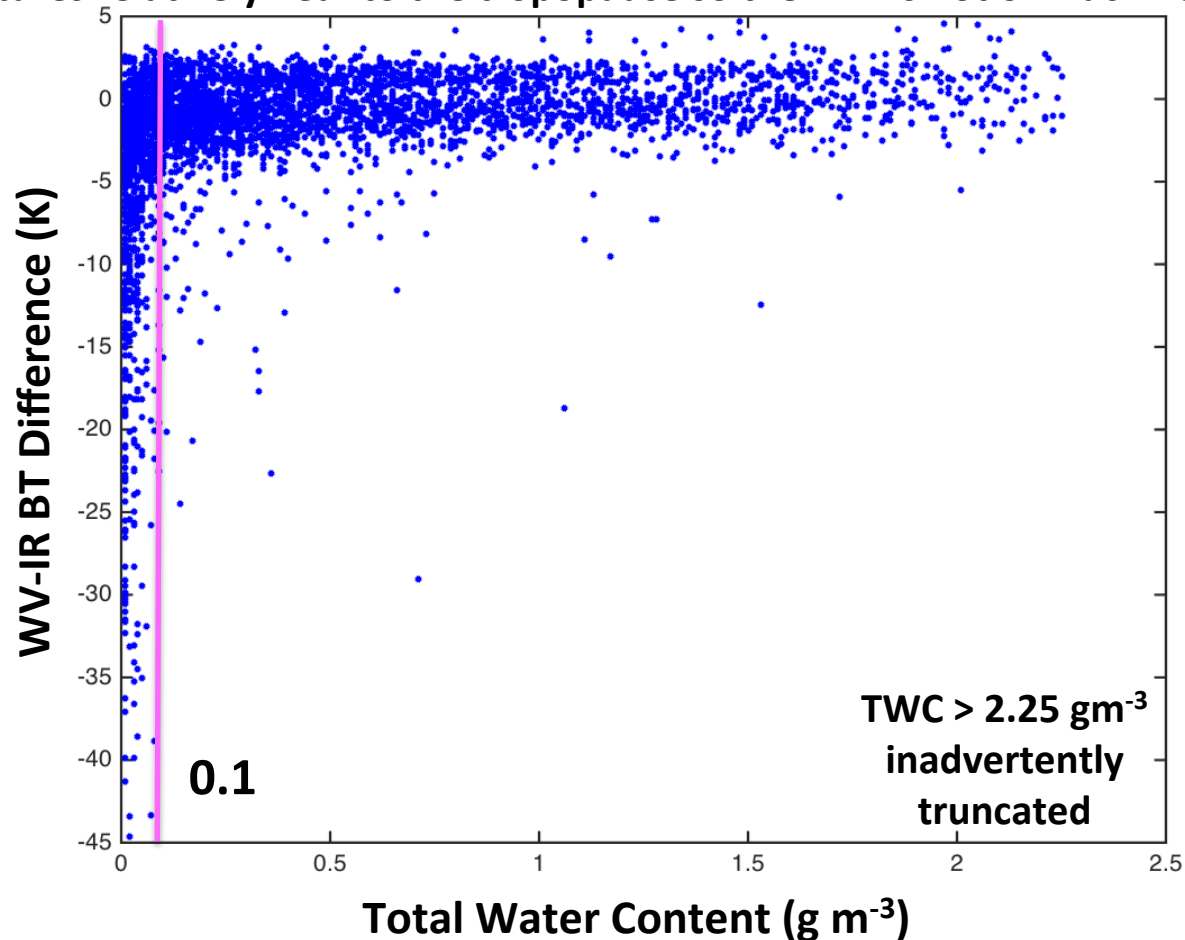
## Cloud Properties Coincident with HIWC: Darwin-14, Cayenne-15, RASTA Column Max IWC

- Relationships change somewhat if column max TWC is used
- Greater statistical separation between moderate and high TWC optical depths
- OTs are a little further away from RASTA HIWC, need to be sure we're doing proper filtering of RASTA data
- Need to look at relationships separated by temperature at column max TWC



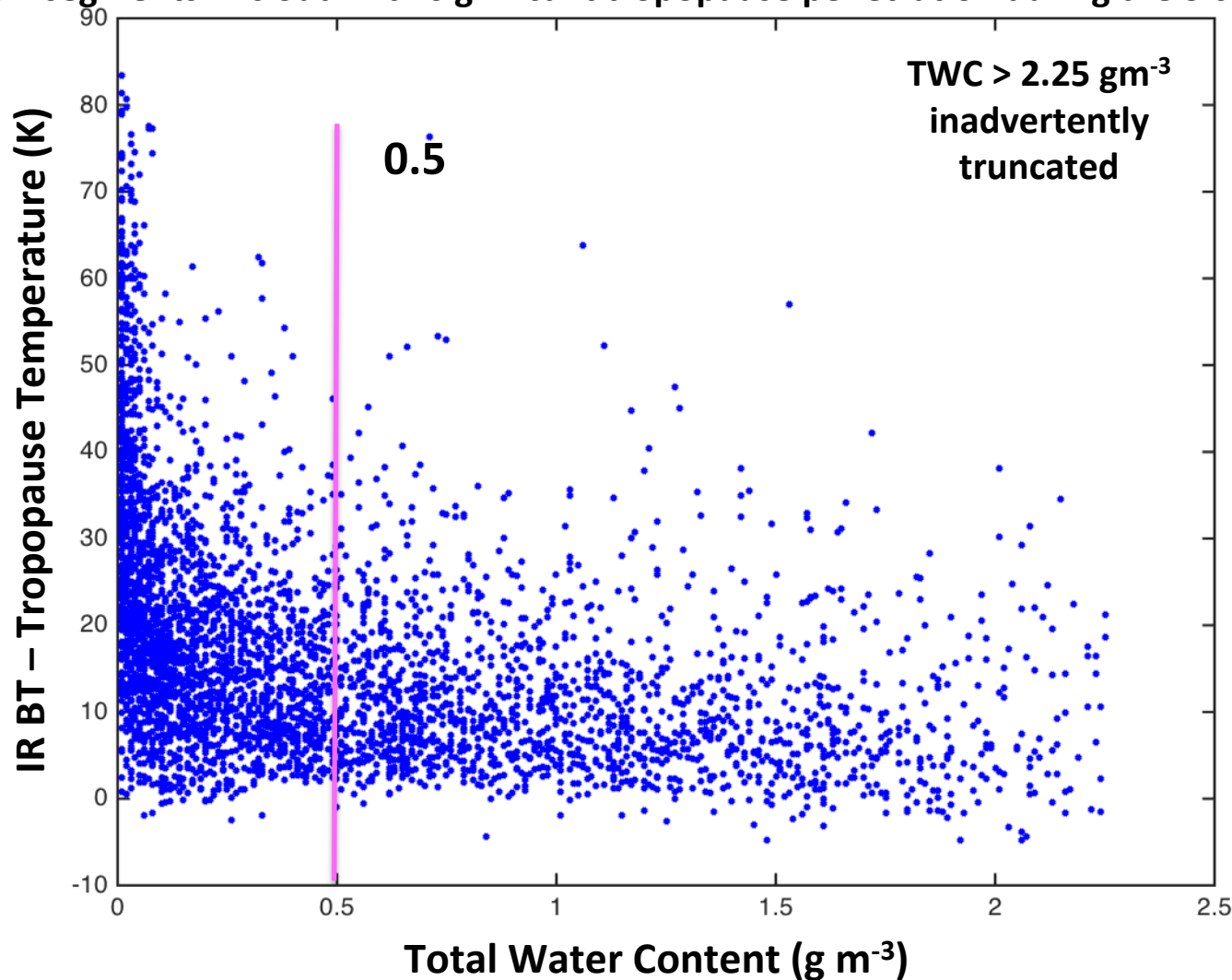
## ***WV-IR BTD – Total Water Content Relationship***

- Much of dynamic range in WV-IR BTD vs TWC relationship is gone by  $0.1 \text{ g m}^{-3}$ . Slope in mean BTD for  $0.1+$  TWC points is  $\sim 1 \text{ K}$  between  $0.25$  and  $2.5 \text{ gm}^{-3}$ .
- $1 \text{ K}$  noise in WV channel BT at cold temperatures is common. Considering differences in WV channel spectral response across global GEO imagers and view angle dependencies, the BTD is ineffective for a probabilistic HIWC nowcast purposes
- Nearly all  $\text{TWC} > 0.5 \text{ g m}^{-3}$  occur when  $\text{BTD} > 4 \text{ K}$ , but these points are all cold temperatures relatively near to the tropopause so the BTD is not of much help



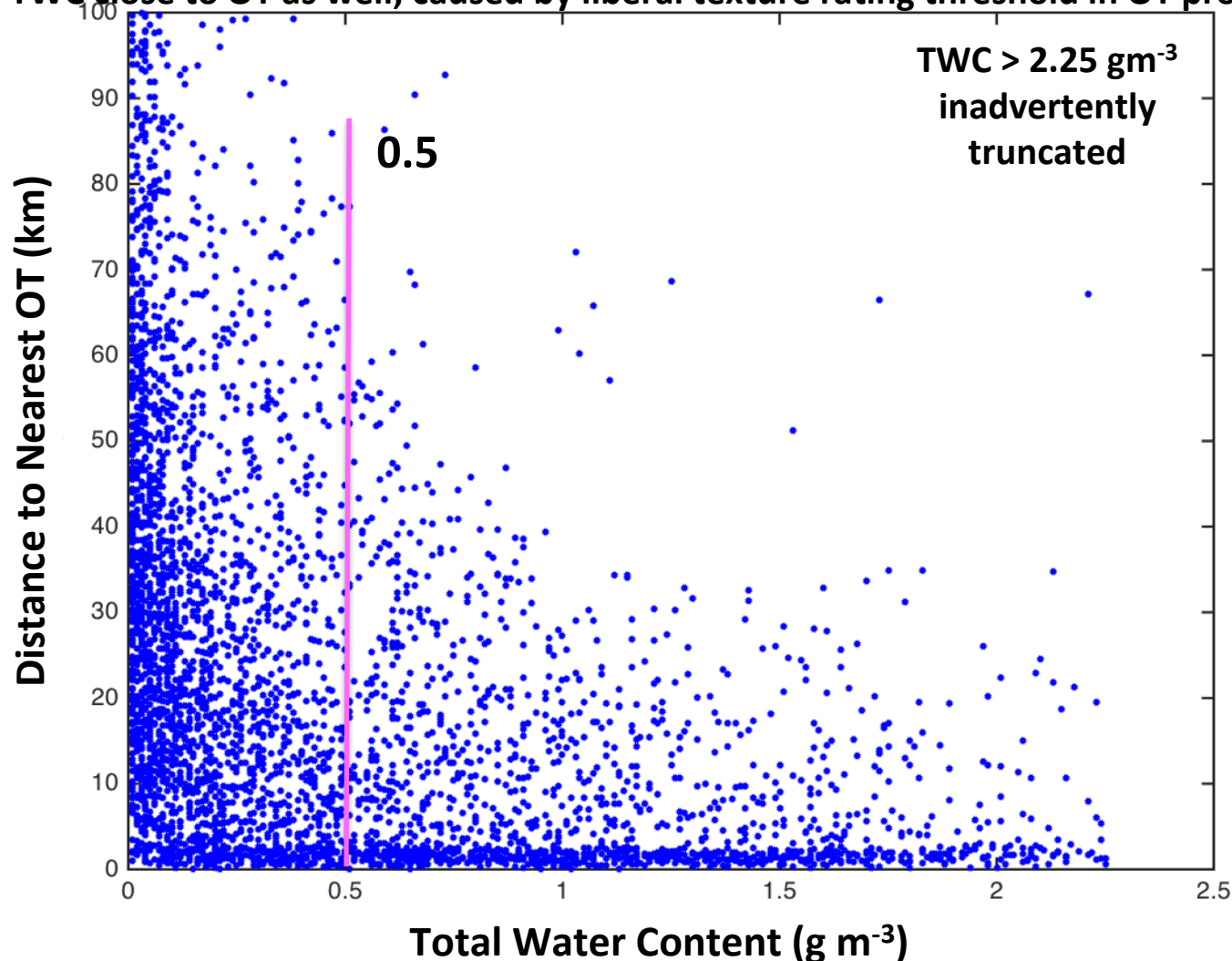
# ***IR BT minus Tropopause – Total Water Content Relationship***

- Exponential relationship between IR-Trop and IKP TWC. Much of dynamic range in IR-Trop vs TWC relationship is gone by  $0.5 \text{ g m}^{-3}$  TWC
- Nearly all  $\text{TWC} > 0.5 \text{ g m}^{-3}$  occur when  $\text{IR-Trop} < 40 \text{ K}$
- Very few segments in cloud with significant tropopause penetration during the 3 campaigns



# ***Distance to Nearest OT – Total Water Content Relationship***

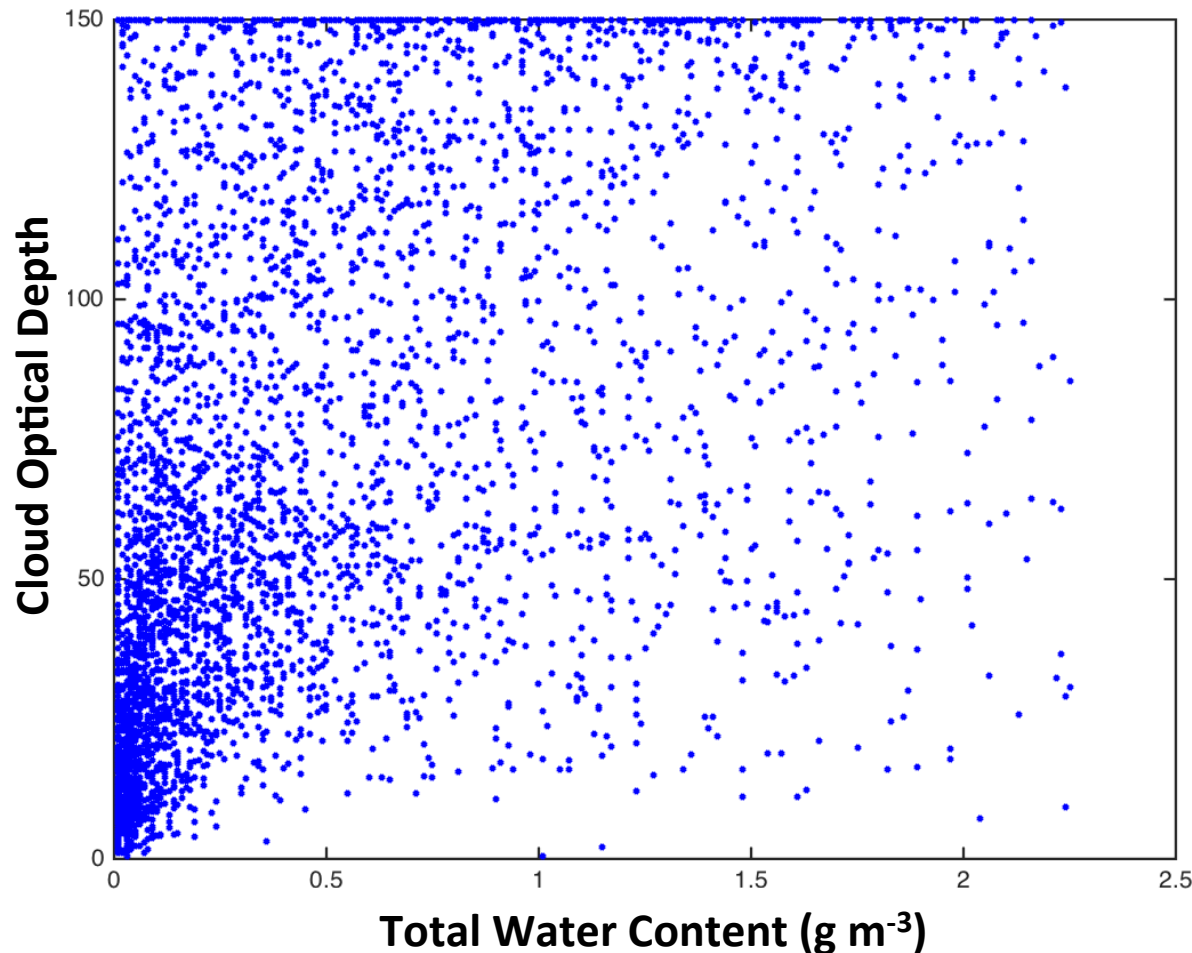
- Exponential relationship between distance to nearest visible texture or cold spot (DOT) and IKP TWC
- Nearly all  $\text{TWC} > 0.5 \text{ g m}^{-3}$  occur when  $\text{DOT} < 40 \text{ km}$ . Only 1.2% of  $\text{TWC} > 0.5$  occurred for  $\text{DOT} > 100$ . Many low TWC close to OT as well; caused by liberal texture rating threshold in OT product?





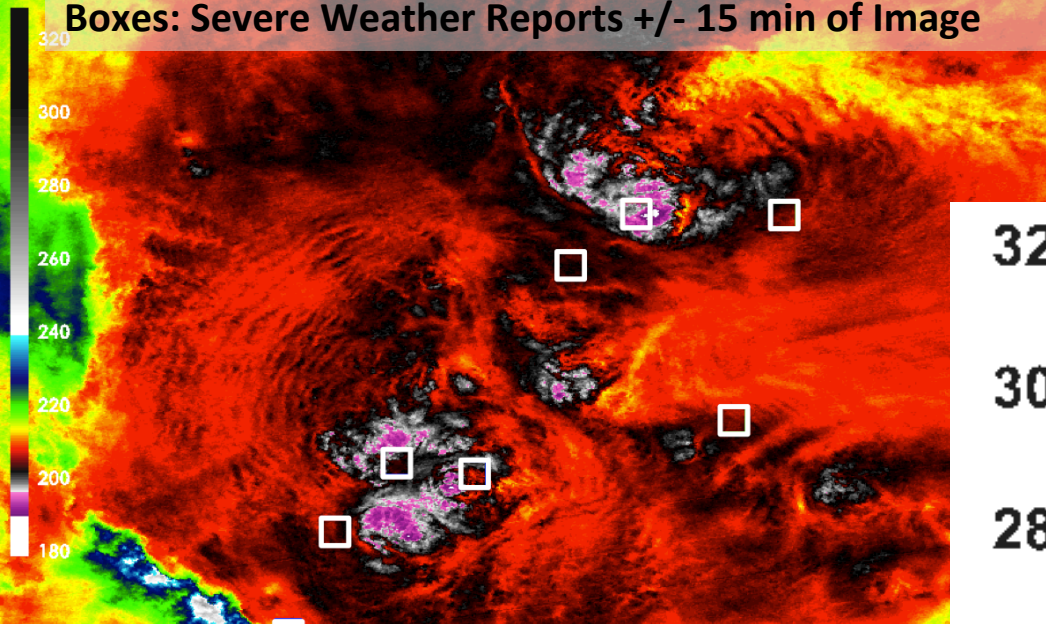
# Cloud Optical Depth – Total Water Content Relationship

- The common assumption is that the brightest, most optically thick pixels are intense storms that are most likely to generate HIWC. But the distribution below doesn't necessarily show this.
- The mean COD-TWC relationship is linear but outliers are abundant
- A relatively high likelihood for HIWC for low ( $< 50$ ) COD, small convective turrets exceeding the size of a satellite pixel? Temporal/spatial mismatch between aircraft/satellite pixel? Cloud shadowing/texture? Retrieval problems at high solar zenith angle?





VIIRS 375 m IR BT, Severe Storm Over Nebraska  
Boxes: Severe Weather Reports +/- 15 min of Image

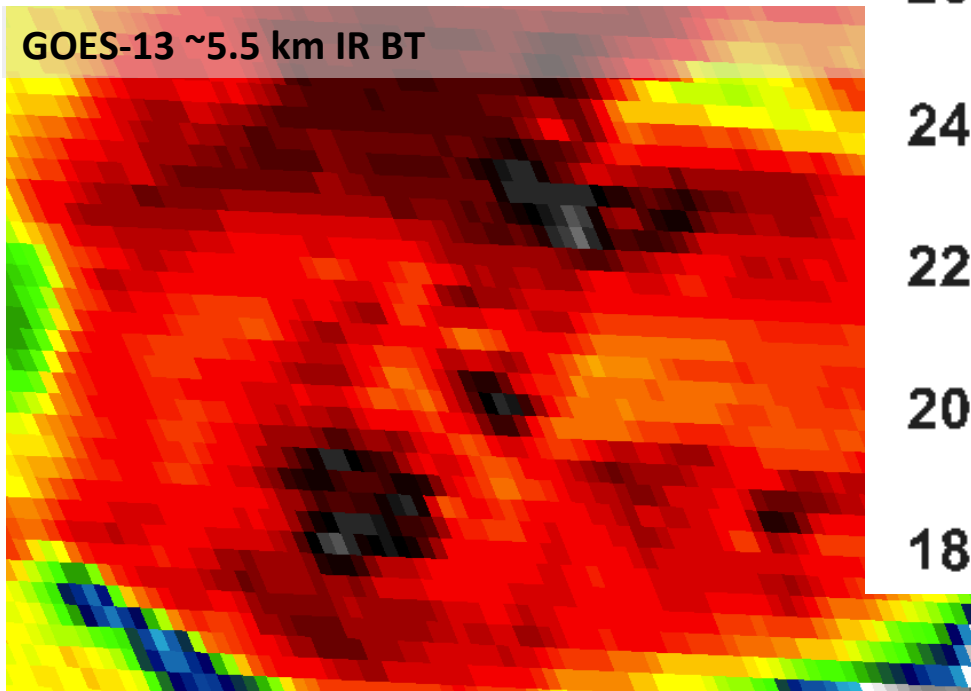


## *Impact of Imager Spatial Resolution on Hazardous Storm Appearance*

- High spatial resolution data are critical for resolving the coldest IR BTs present within storm tops
- IR BT within OT regions are found to be 7-12 K colder in 1 km LEO than GOES
- 375 m VIIRS IR BTs are up to 15 K colder than GOES for the most intense storms in this case
  - 200+ VIIRS pixels for 1 GOES IR pixel
- We expect OT regions observed by GOES-R ABI to be at least 5 K colder than what is observed by current GOES
- Improved ABI resolution will allow for forecasters to better recognize OTs and rapid updraft intensification leading to hazardous weather

320  
300  
280  
260  
240  
220  
200  
180

GOES-13 ~5.5 km IR BT

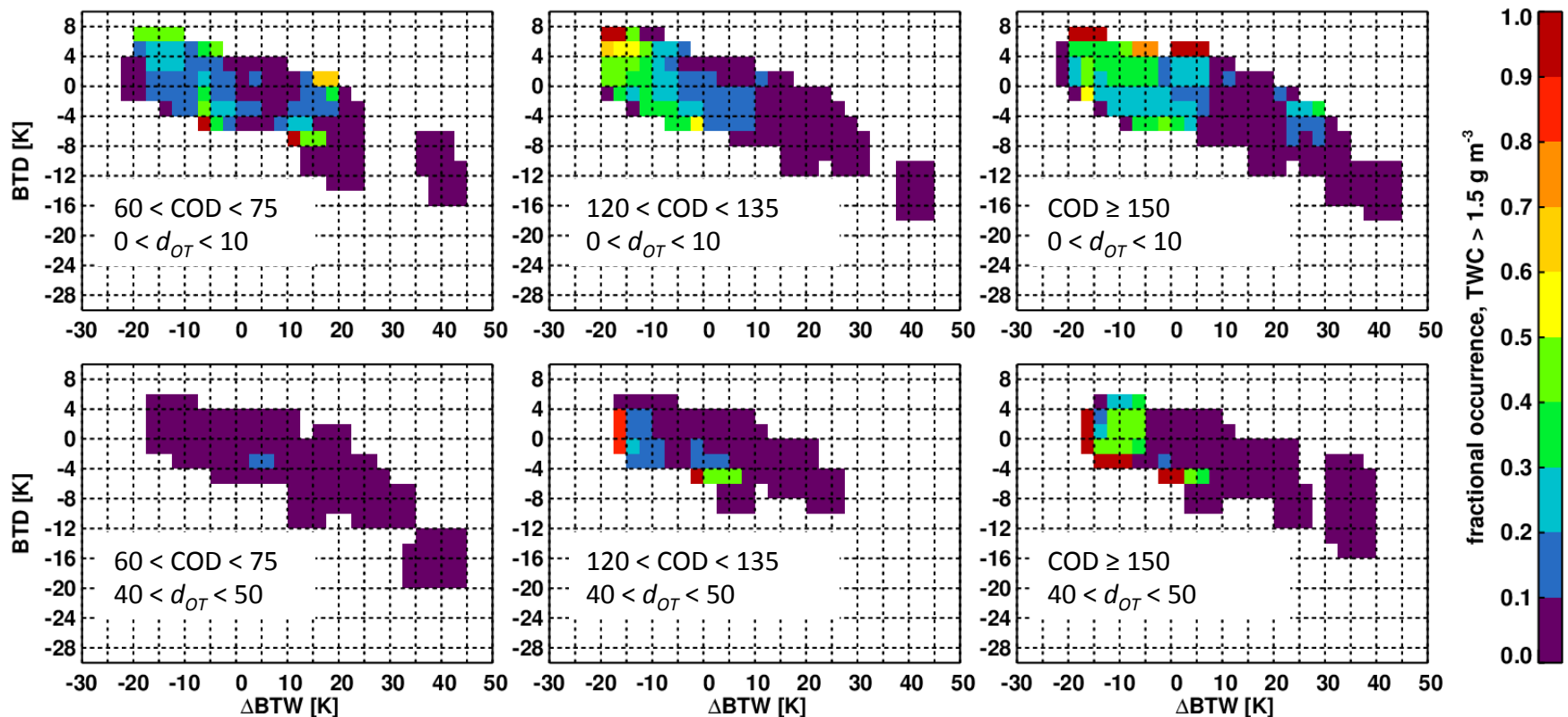


***Probability of High Ice Water Content  
Algorithm Development and Validation***

# Development of Probability of HIWC (PHIWC) Products

## Approach #1: Probability Joint Histogram

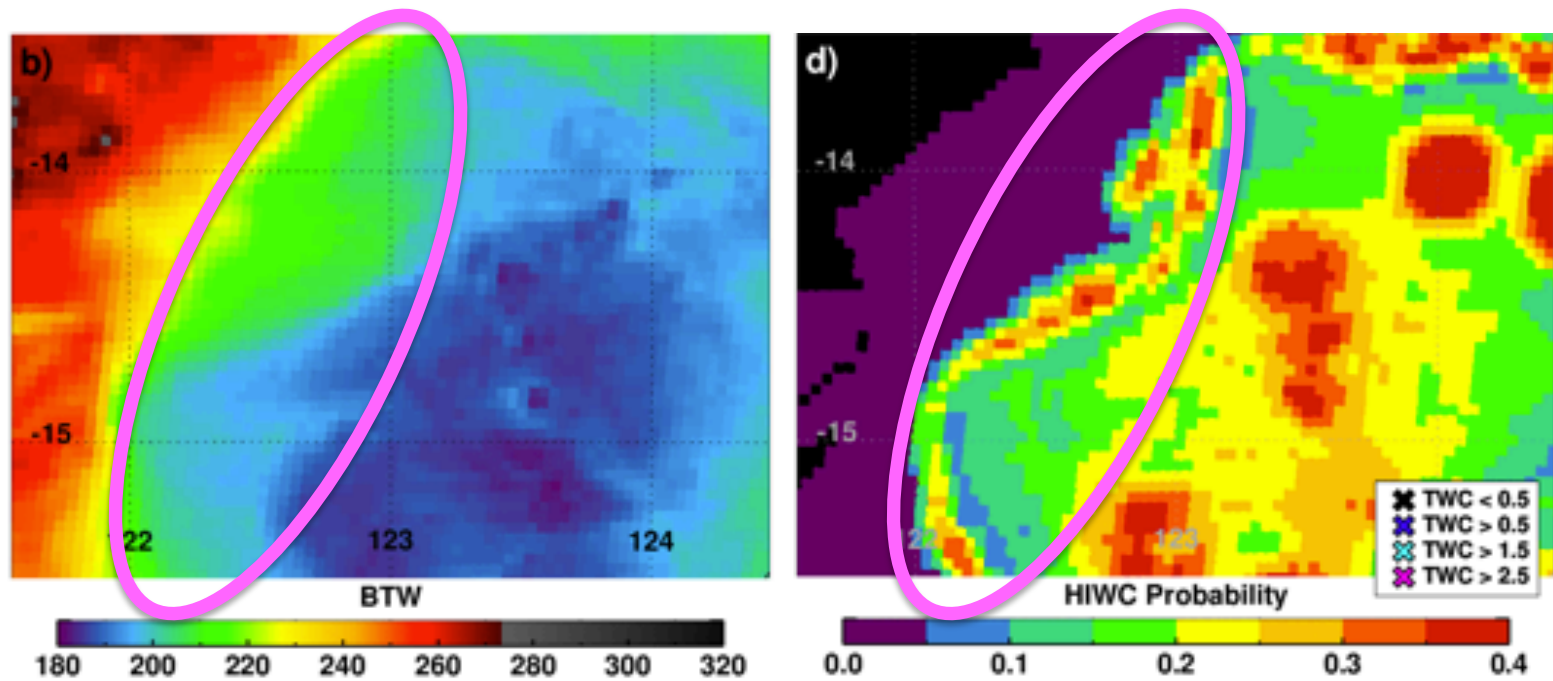
- Fractional occurrence of HIWC as function of:
  - $\Delta BTW$  (indicator of relative storm intensity)
  - BTD (presence and strength of overshoot)
  - Cloud optical depth (COD > 30 indicative of deep convection)
  - OT proximity
- Use satellite-aircraft matched dataset to derive statistics and develop algorithm
- Multi-dimensional analysis of fractional occurrence distribution designed to enhance product and address parameter inter-dependencies
  - Use distributions as a look-up table of HIWC fractional occurrence



# Development of Probability of HIWC (PHIWC) Products

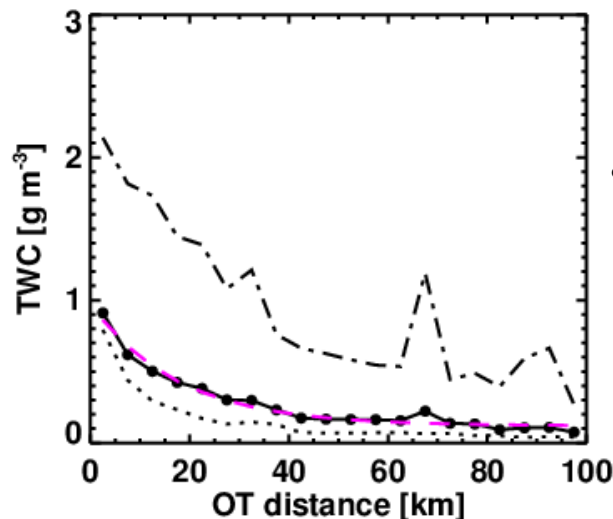
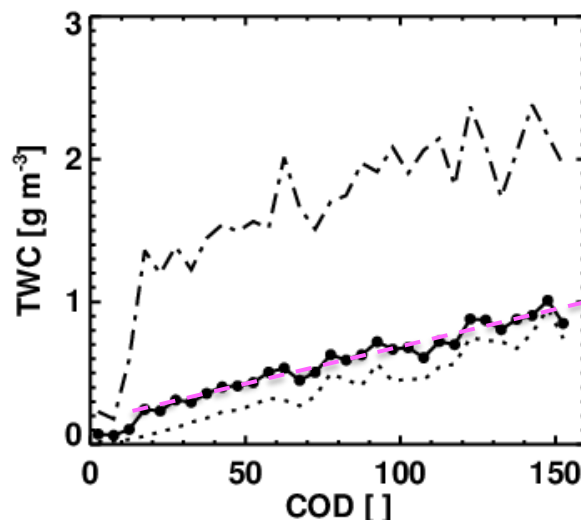
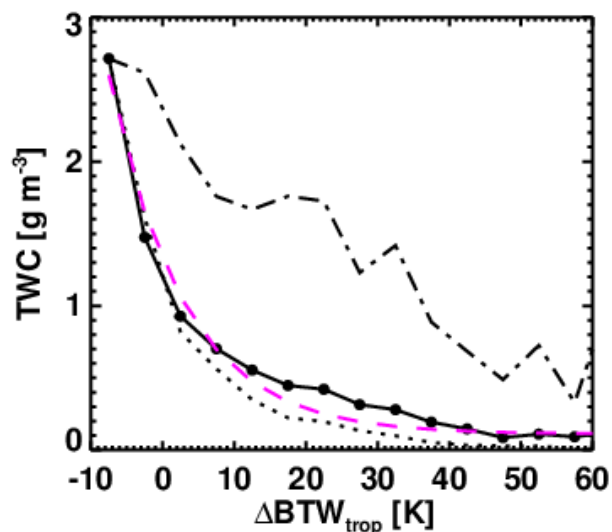
## Approach #1: Probability Joint Histogram

- Fractional occurrence of HIWC as function of:
  - $\Delta$ BTW (indicator of relative storm intensity)
  - BTW (presence and strength of overshoot)
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  - OT proximity
- Use satellite-aircraft matched dataset to derive statistics and develop algorithm
- Multi-dimensional analysis of fractional occurrence distribution designed to enhance product and address parameter inter-dependencies
  - Use distributions as a look-up table of HIWC fractional occurrence
- Primary Issue: Inadequate sampling of entirety of parameter space, coupled with negative influence of “outliers”, can generate strange and seemingly non-physical probabilities, producing a product that has confusing visual artifacts at times**

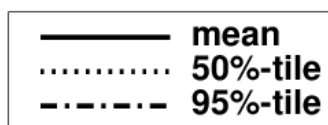


# Development of Probability of HIWC (PHIWC) Products

## Approach #2: Probability by Statistical TWC Fit



- Fit a curve or line to the mean of the TWC-parameter distribution
- Net result is a TWC “prediction” for a given parameter.
- Cap the prediction at an HIWC threshold (i.e.  $1 \text{ gm}^{-3}$ ) and set high (low) end of fit to be probability of 1 (0)
- Multiply parameter probabilities together, weight however desired and take the root of the result to achieve final HIWC probability



# Collocated Aircraft/Satellite Observations

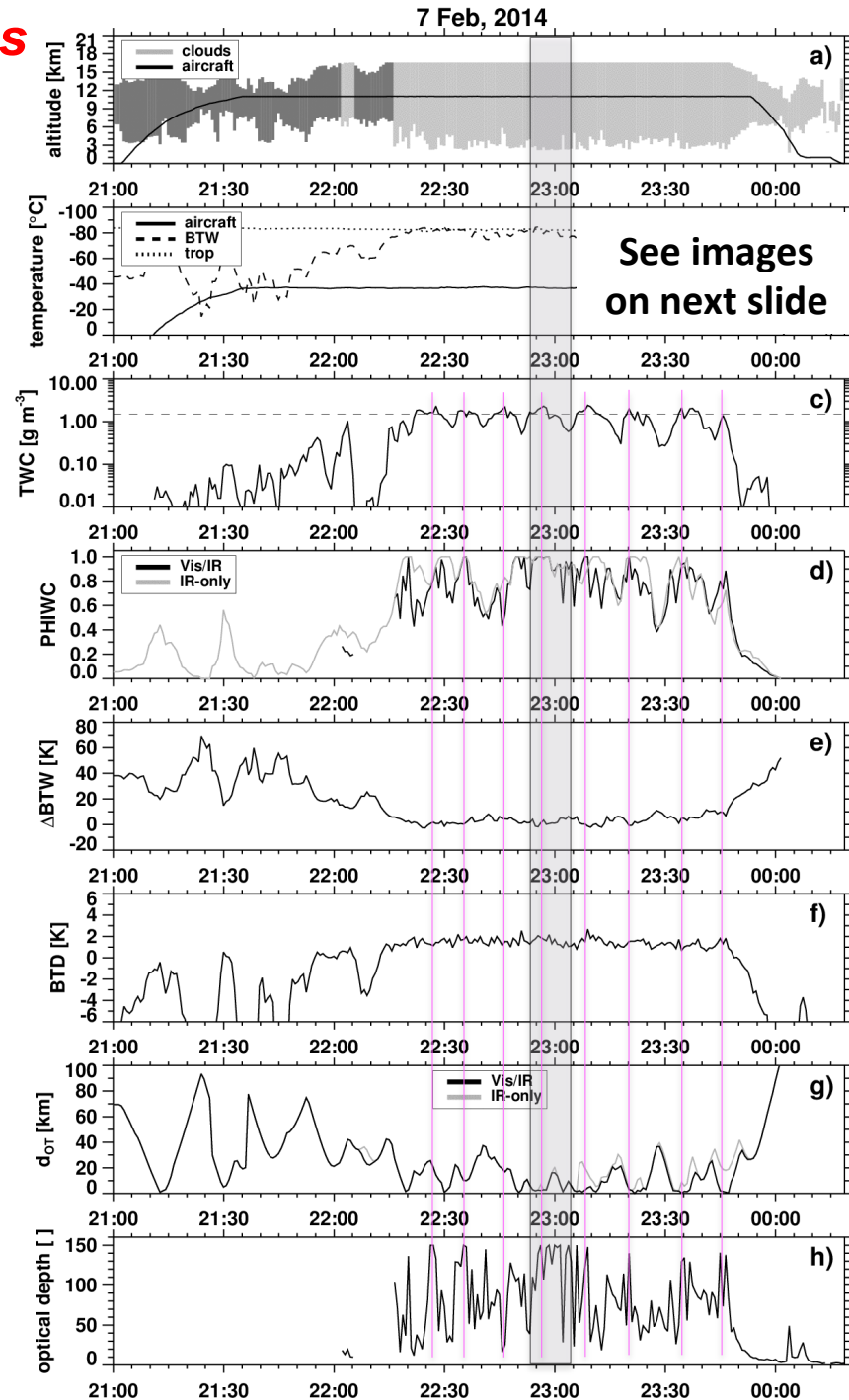
## Darwin and 10-min MTSAT Data

### PHIWC Approach #2

IKP2 total water content TWC [ $\text{g m}^{-3}$ ], 45-s averages. 8 HIWC encounters on this flight

Vis/IR & IR-only PHIWC give consistent results and follow TWC trend

TWC peaks are in close proximity to detected cold spots/texture

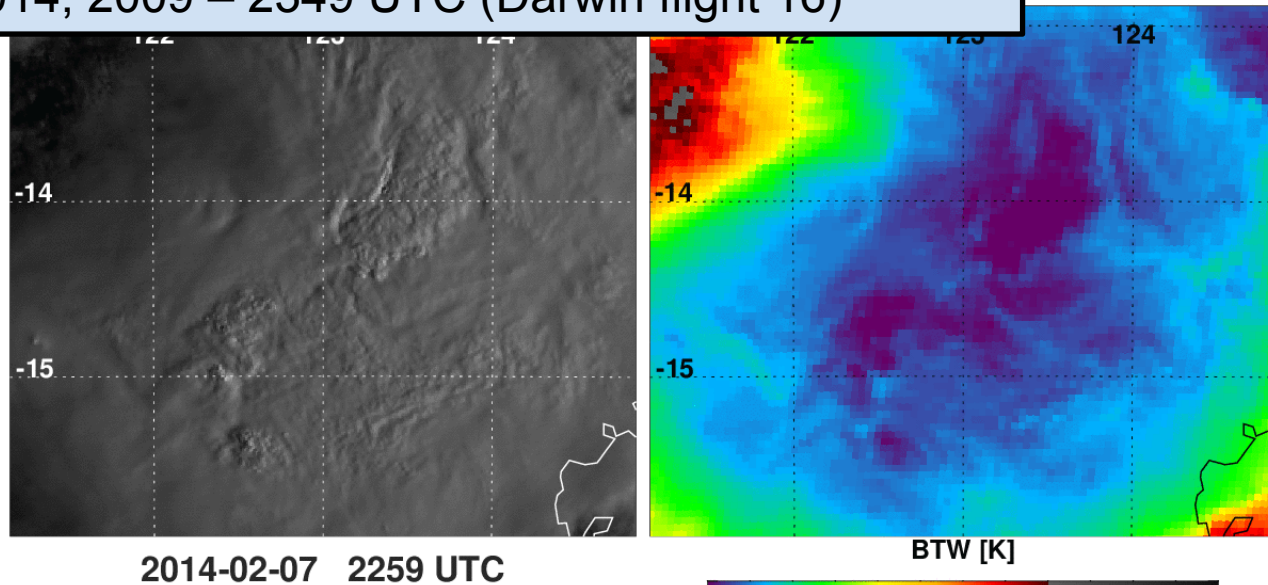




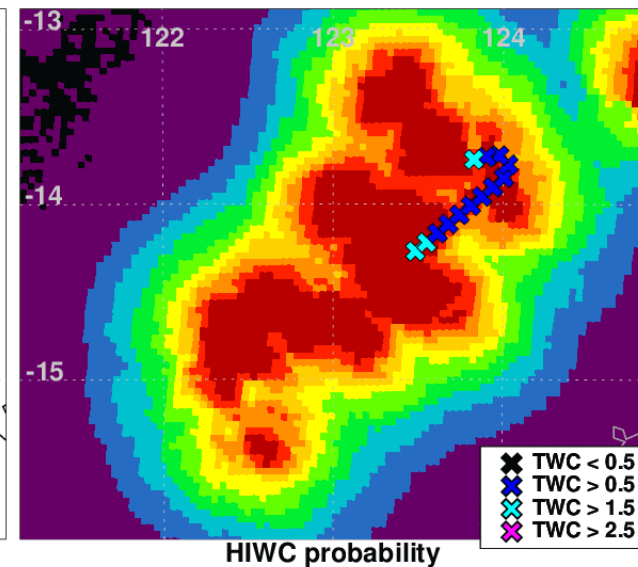
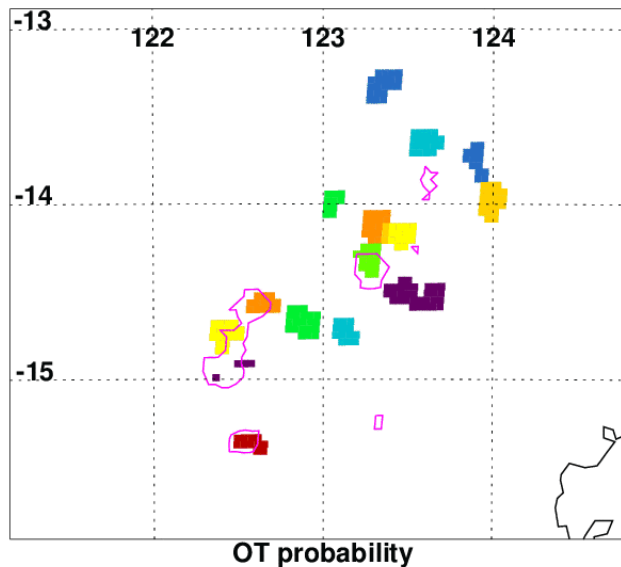
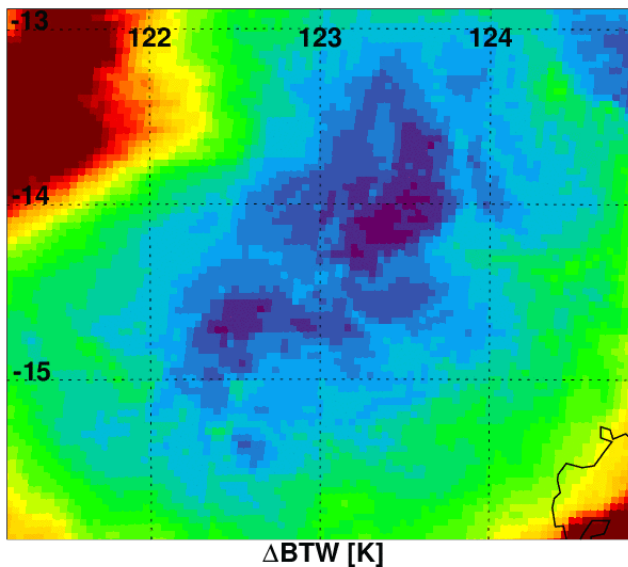
# 2-Parameter PHIWC Example

Distance to OT and IR-Tropopause Temp Difference

7 Feb, 2014; 2009 – 2349 UTC (Darwin flight 16)



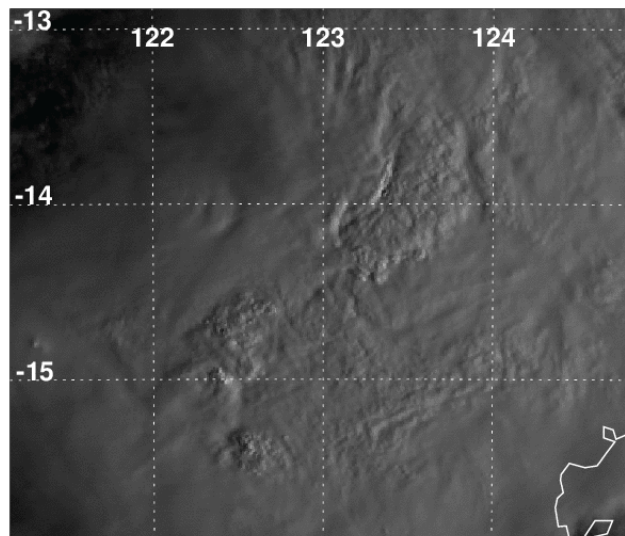
- TWC > 1.5 correspond to PHIWC ~1.0
- TWC > 0.5 correspond to PHIWC > 0.9



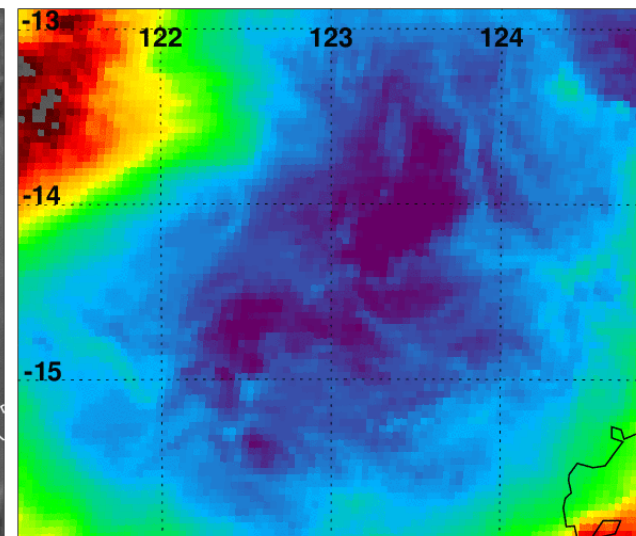
# 3-Parameter PHIWC Example

*Distance to OT, IR-Tropopause Temp Difference, and Cloud Optical Depth*

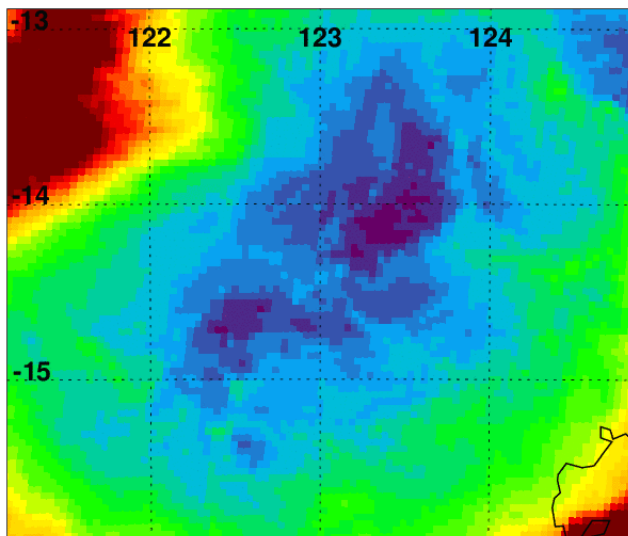
- Inclusion of cloud optical depth adds mesoscale structure induced by texture in visible image
- Future version will include a smoothed COD to preserve bright cloud signal but remove “noisy” variability



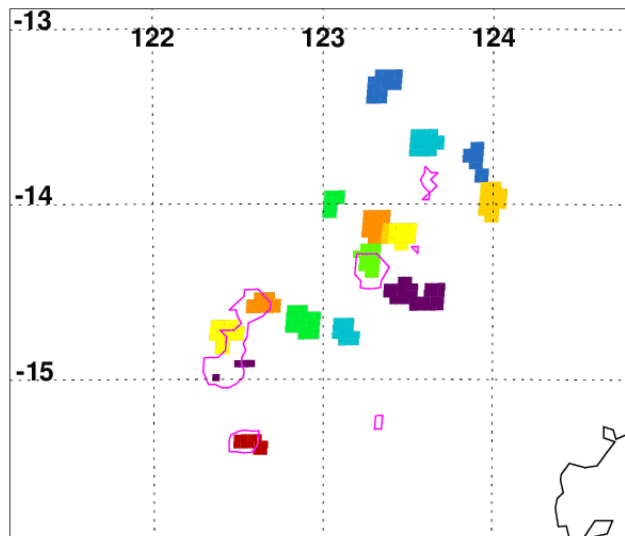
2014-02-07 2259 UTC



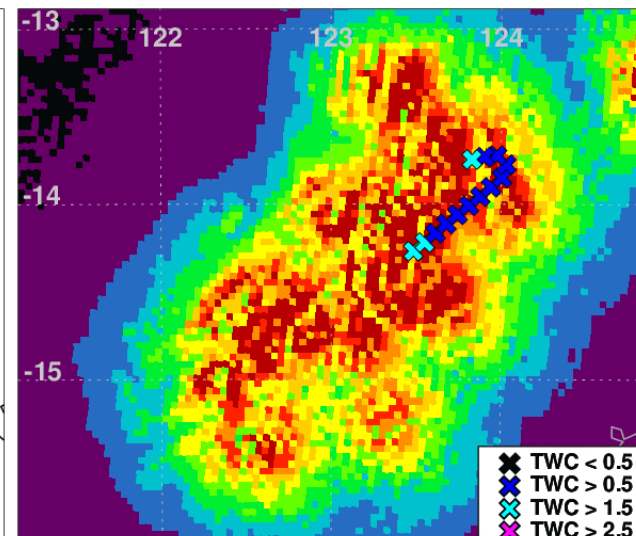
BTW [K]



$\Delta BTW$  [K]



OT probability



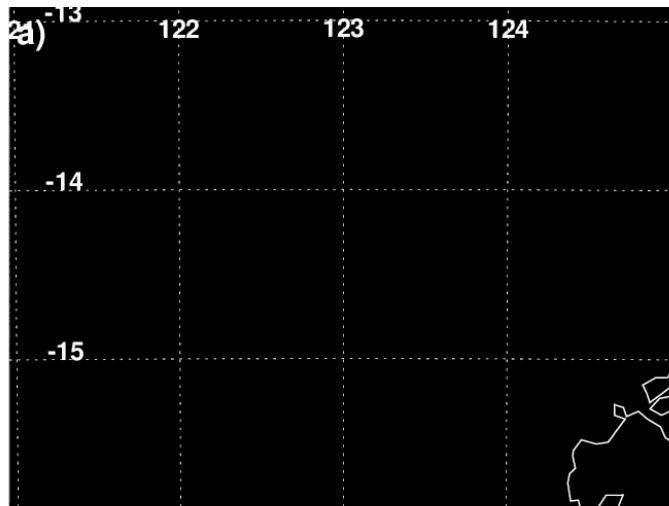
HIWC probability



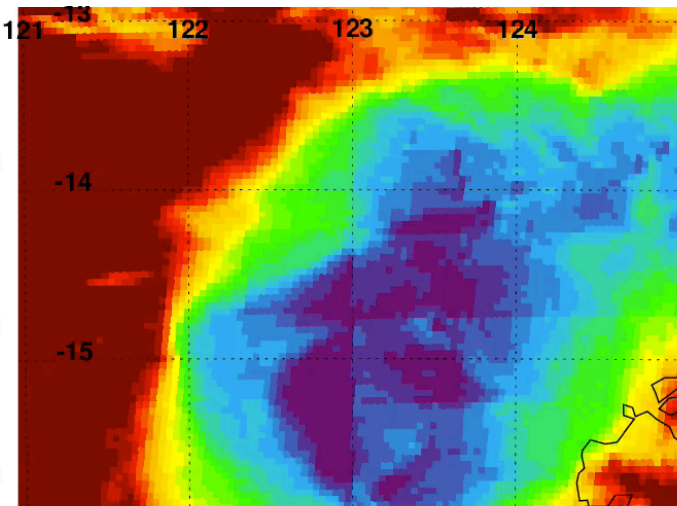


# PHIWC Animation: 10 Min MTSAT Data

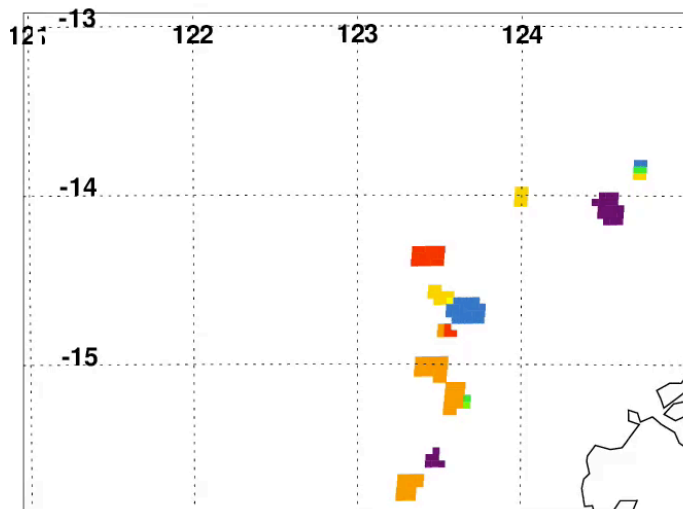
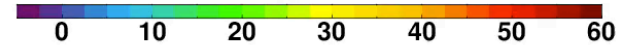
7 Feb, 2014; 2009 – 2349 UTC (Darwin flight 16)



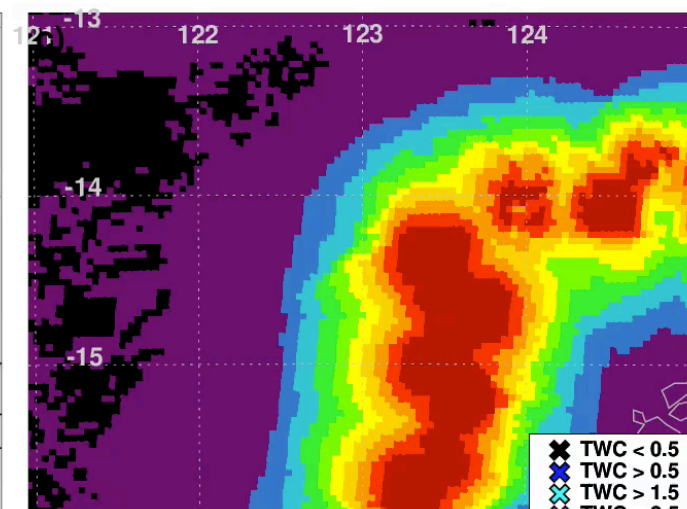
2014-02-07 2009 UTC



$\Delta BTW$  [K]



OT probability

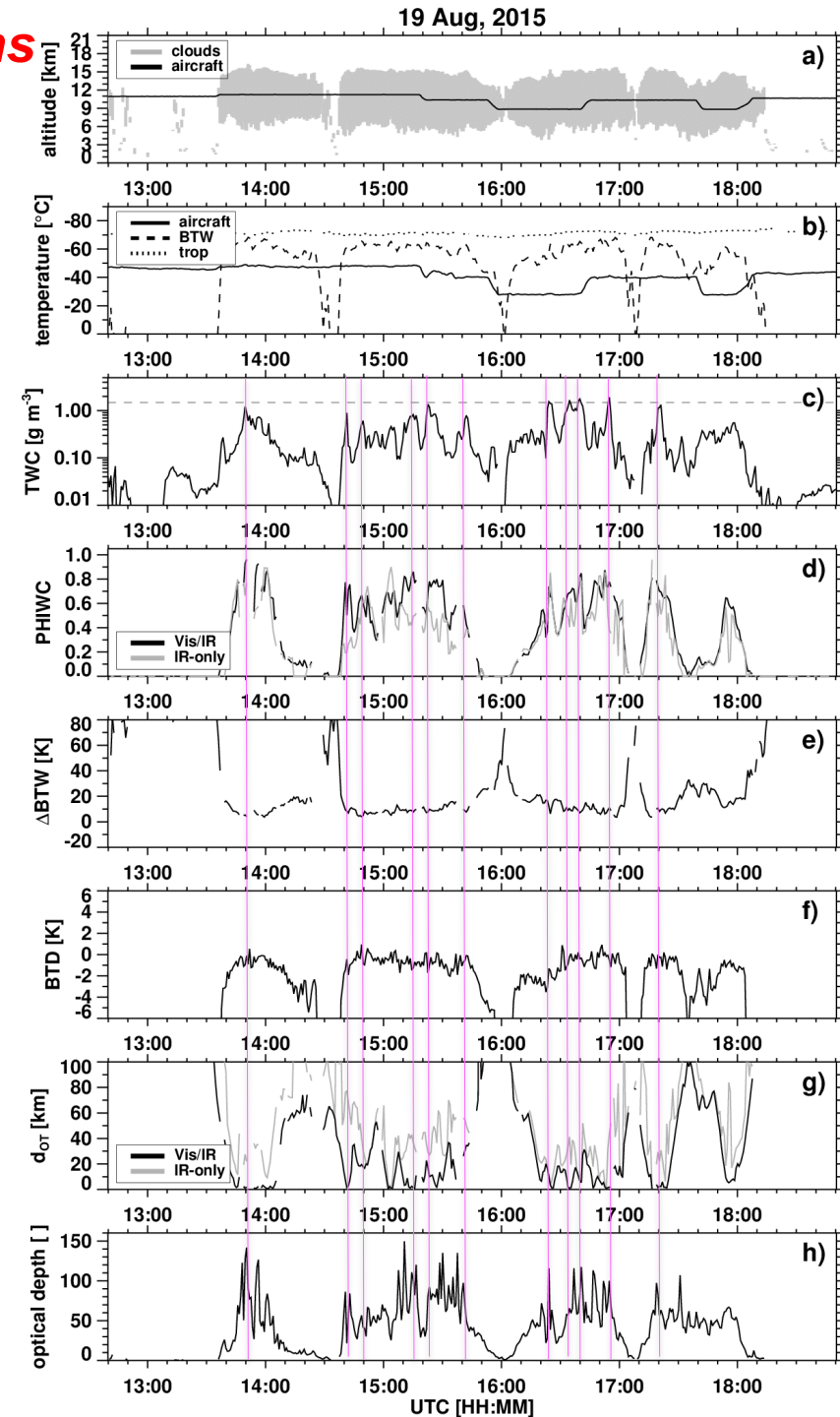


HIWC probability



# Co-located Aircraft/Satellite Observations HIWC-Florida and 1-min GOES Data

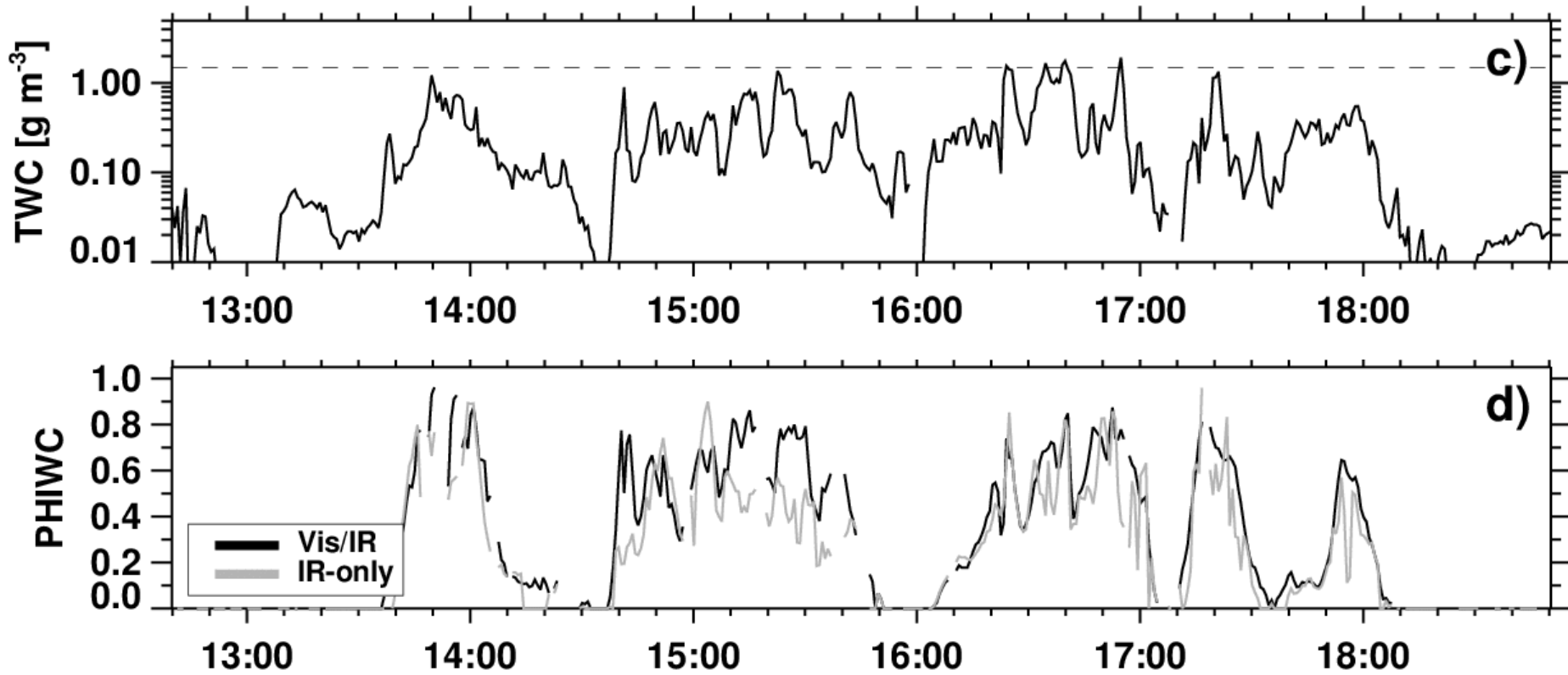
- Very high temporal resolution of GOES-14 during super rapid scan observations for GOES-R experiment (SRSOR, Schmit et al. (JARS, 2014), Bedka et al. (WAF, 2016)) enables precise co-location between satellite and TWC observations
- The PHIWC trend follows the TWC very well. High PHIWC ( $> 0.7$ ) captures nearly all high TWC events
- Low distances to OT the primary driver of these high PHIWC. Some events (~1522 and 1541) occurred close to visible texture but not IR cold spots, yielding lower night-time probabilities



*Note: Some images didn't process properly within the OT detection script, leading to a few gaps in time series*

# Co-located Aircraft/Satellite Observations

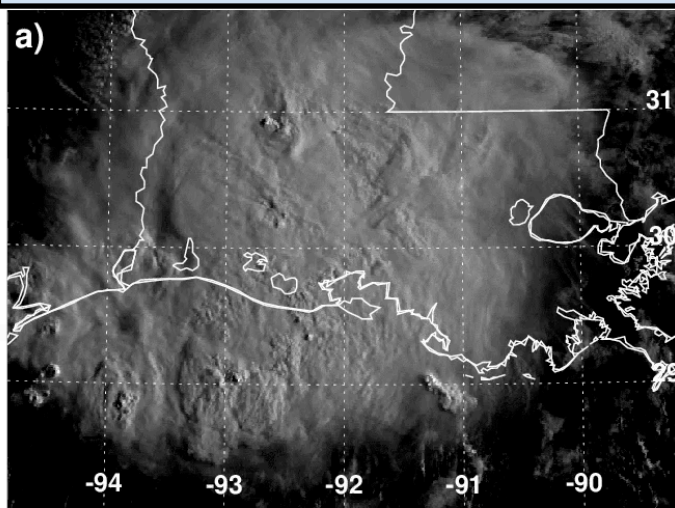
## HIWC-Florida and 1-min GOES Data



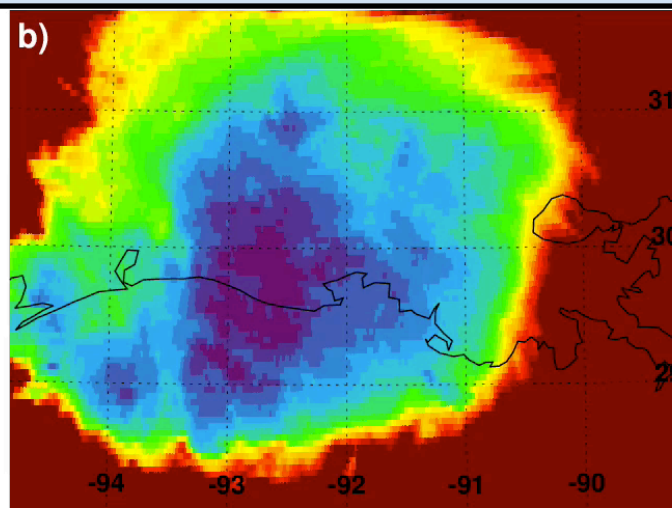
*Note: Some images didn't process properly within the OT detection script, leading to a few gaps in time series*

# HIWC Probability Animation: 1-Min GOES Data

19 August 2015: 1300 – 1830 UTC

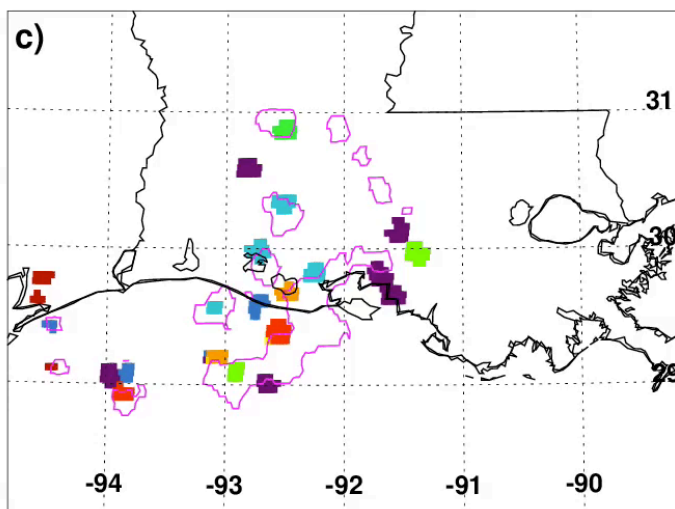


2015-08-19 1300 UTC



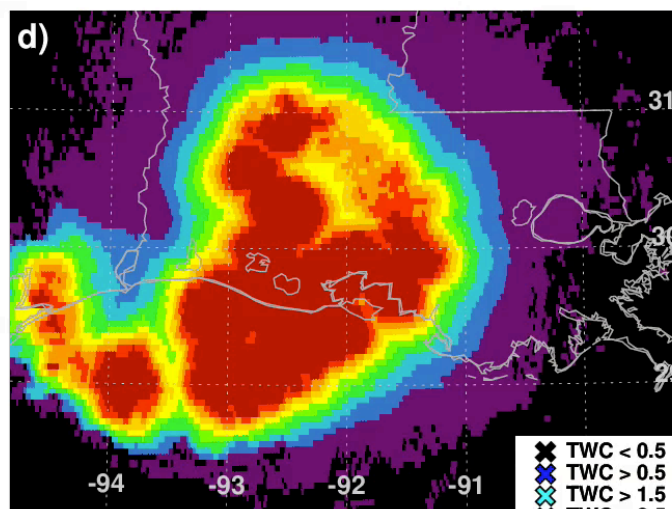
$\Delta BTW$  [K]

0 10 20 30 40 50 60



OT probability

0.5 0.6 0.7 0.8 0.9 1.0

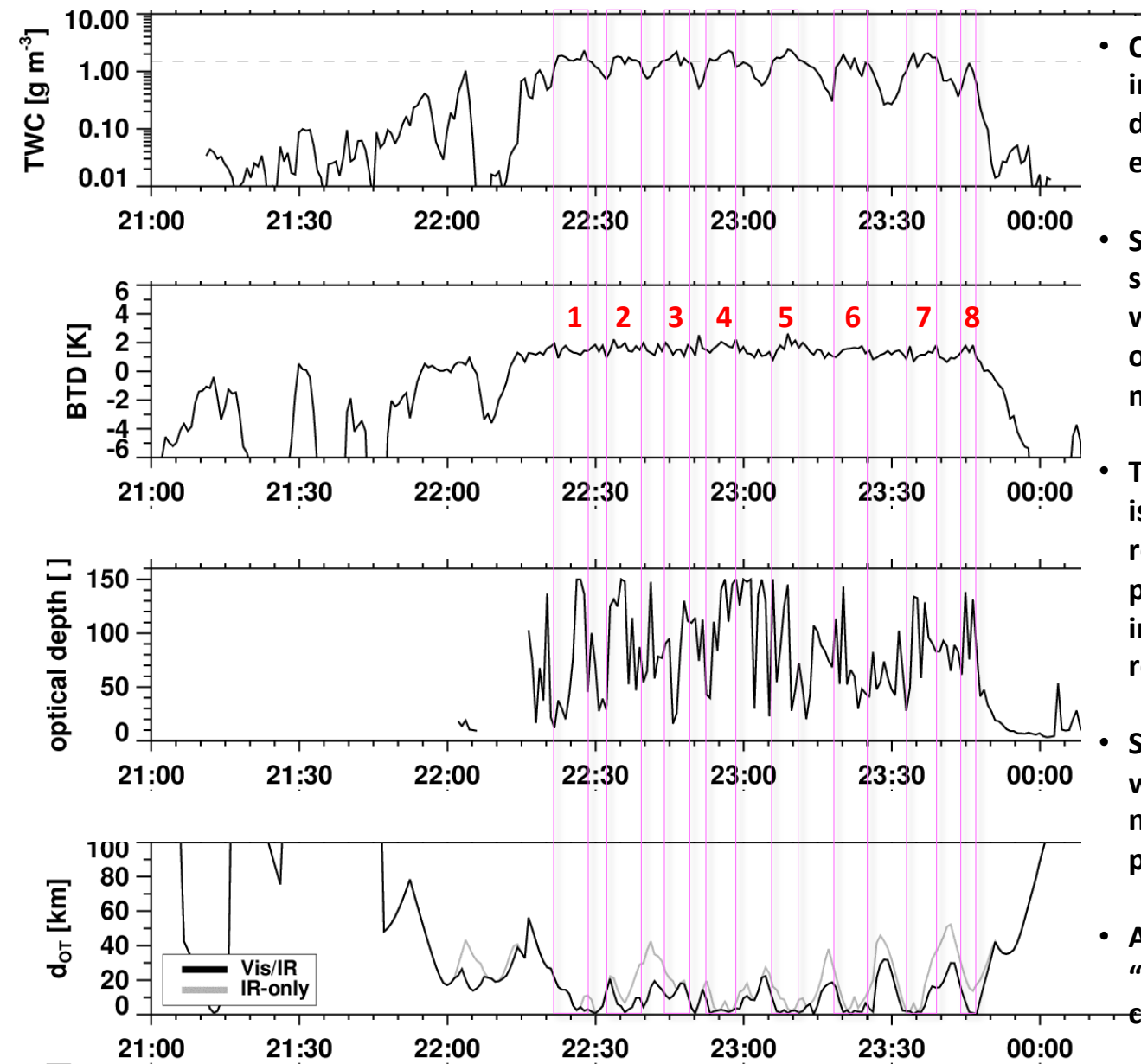


HIWC probability

0.0 0.2 0.4 0.6 0.8 1.0

✕ TWC < 0.5  
✕ TWC > 0.5  
✕ TWC > 1.5  
✕ TWC > 2.5

# Challenges With Statistical Validation of Nowcast Products



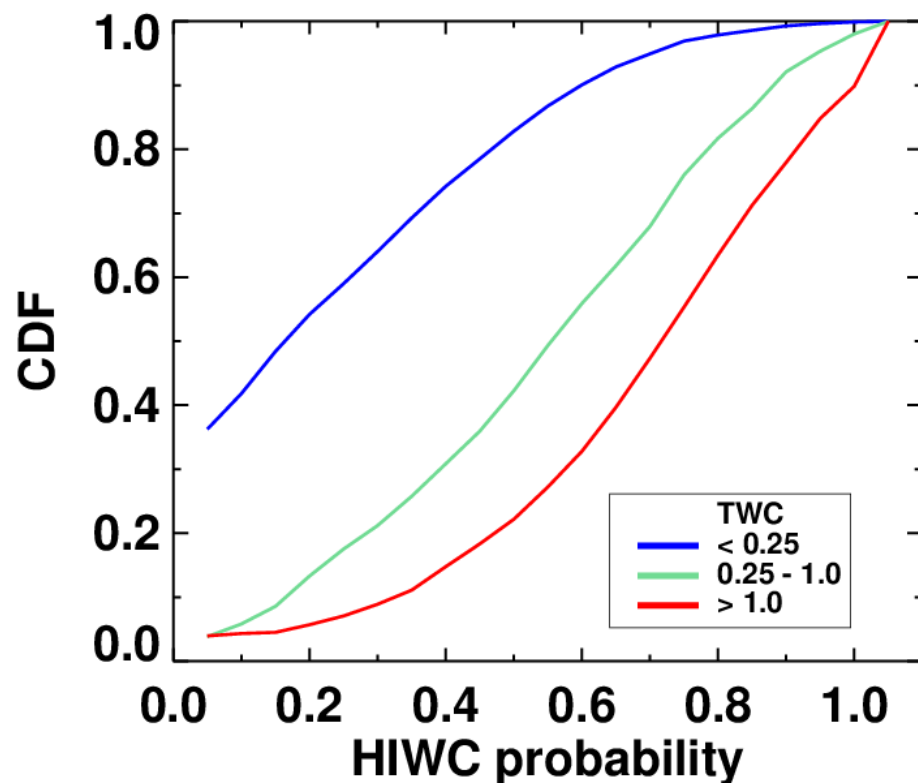
- Characteristic signals of HIWC present in satellite products at some time during each of the 8 HIWC encounters.
- Some duration of several encounters show weak satellite indicators that would result in low PHIWC, despite our usage of 2x2 pixel windows in matching and 45-sec TWC mean
- This behavior could be due to various issues such as 1) coarse temporal resolution of satellite imagery, 2) possible imager navigation errors, 3) inadequate parallax correction, all resulting in image-aircraft mismatches
- Statistical PHIWC – TWC comparisons would generate POD/FAR metrics not necessarily representative of “true product performance”
- As a group, we need to agree upon a “fair” statistical framework to compare products



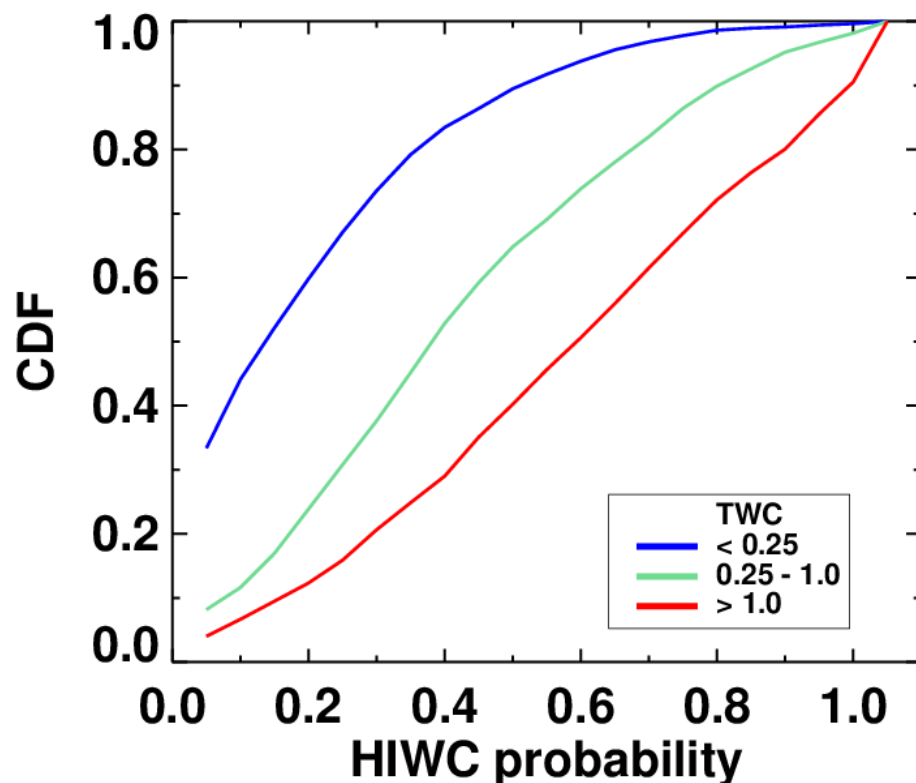
# HIWC Probability Verification/Validation

- **PHIWC CDFs for low (blue), moderate (green), and high TWC (red)**
  - 0.25 gm<sup>-3</sup> 52<sup>nd</sup> percentile (%), 0.5 gm<sup>-3</sup> 67<sup>th</sup> %, 1.0 gm<sup>-3</sup> 84<sup>th</sup> %, 1.5 gm<sup>-3</sup>, 92<sup>nd</sup> %
- **PHIWC for high TWC (red) clearly greater than low TWC values. Not as much separation between high and moderate due to comparable signals in passive imagery/products**
- **Daytime product emphasizes higher PHIWC for high TWC. 80% (62%) of high TWC events have daytime (night) PHIWC > 0.5**

Vis/IR (daytime) 2-param method



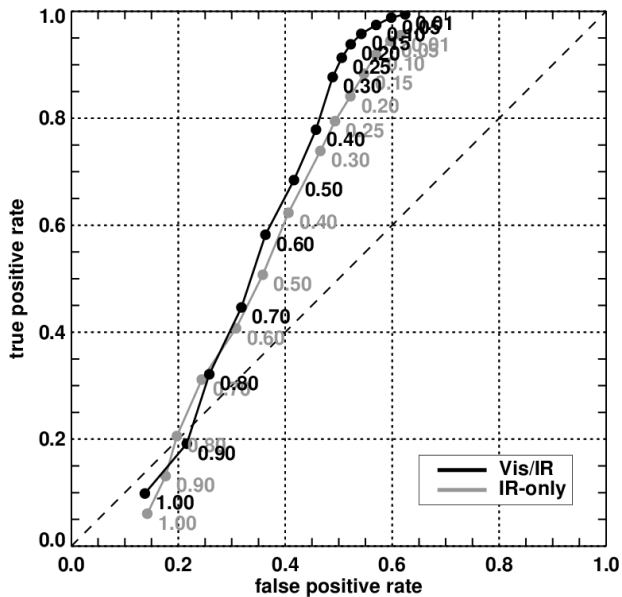
IR-only (nighttime) 2-param method



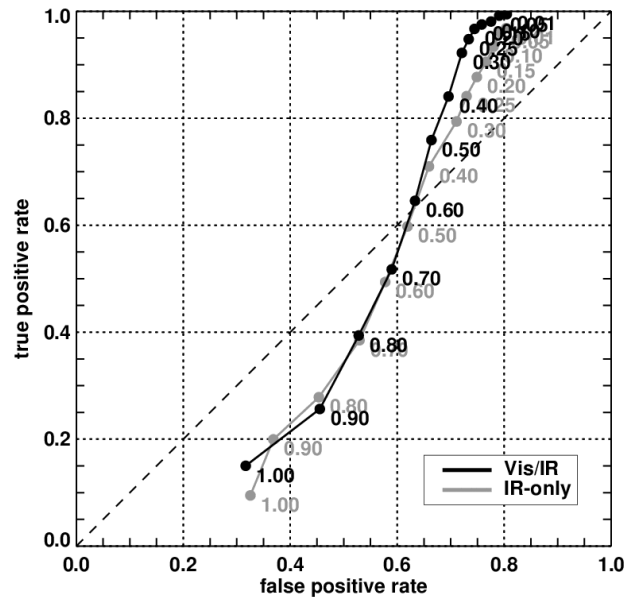
## 2-Parameter HIWC Probability Validation

Distance to OT and IR-Tropopause Temp Difference

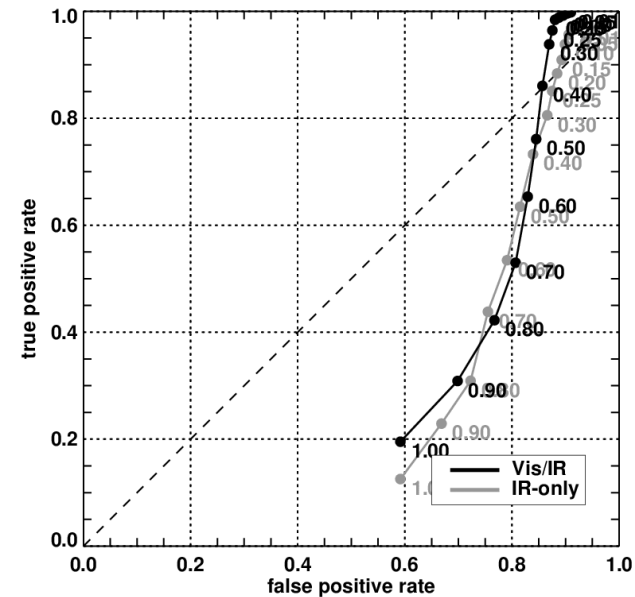
HIWC == 0.5



HIWC == 1.0



HIWC == 1.5

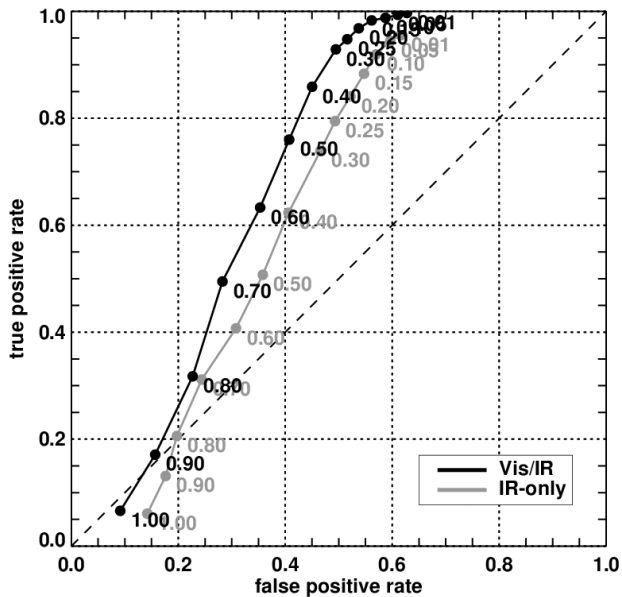


- Lack of unique signal in satellite products for HIWC=1.5 causes high false alarm rate
- Product performance is more representative of trends we see in flight time series (slides 24 and 28)

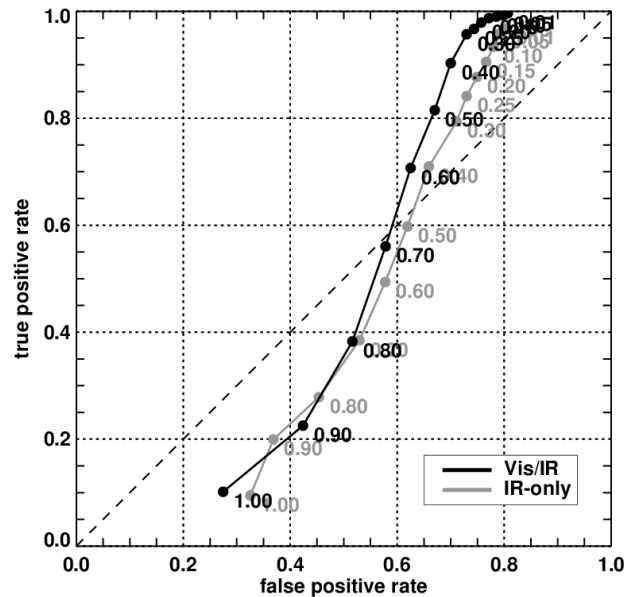
# 3-Parameter HIWC Probability Validation

*Distance to OT, IR-Tropopause Temp Difference, and Cloud Optical Depth*

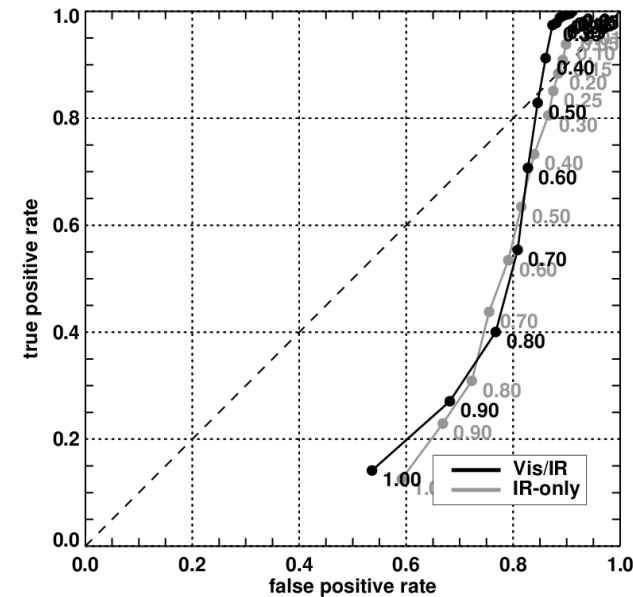
HIWC == 0.5



HIWC == 1.0



HIWC == 1.5

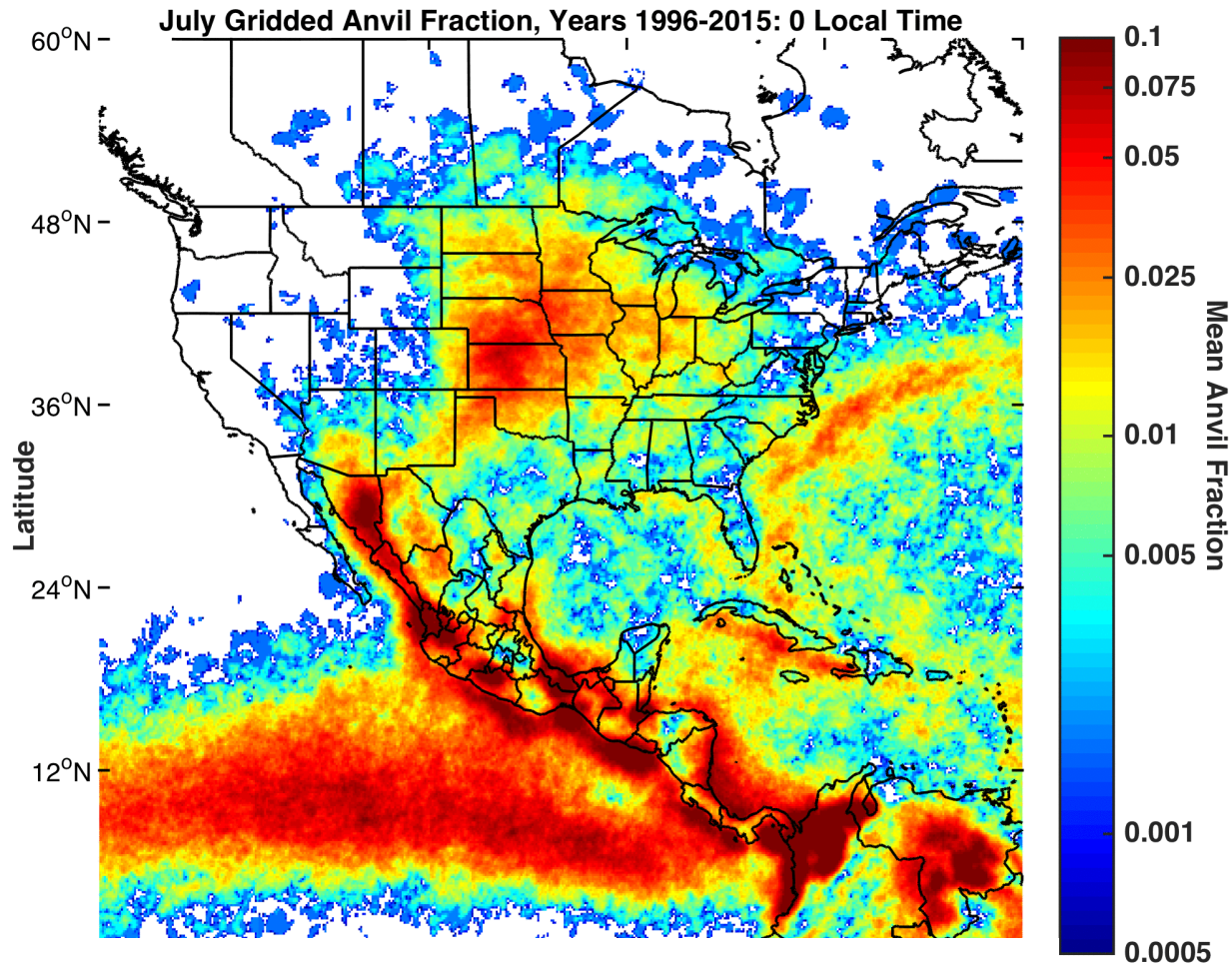


- Inclusion of cloud optical depth reduces HIWC == 0.5 POD by 10% and HIWC == 1.0 by 5%
- Reduces POD by 5% for HIWC == 1.5 with no FAR improvement



# GOES 20-Year Overshooting Top and Anvil Climatology

- GOES-East and -West data record from 1996-2015 being processed within Bedka and Khlopenkov (JAMC, 2016) OT detection algorithms. Hourly and monthly data products, up to 4 km resolution
- Parameters such as distance to nearest OT and OT-tropopause temperature difference can be calculated, allowing one to determine where HIWC cloud conditions have most frequently occurred during each month
- Products also useful for future flight campaign planning, revealing differences in storms for various ENSO states and time of day



# ***Publications and Presentations***

## **Conference Presentations**

Yost et al. 2016: Combining In-situ Measurements, Passive Satellite Imagery, and Active Radar Retrievals for the Detection of High Ice Water Content. 2016 American Geophysical Union Meeting. San Francisco, CA.

Bedka et al. 2017: Weather and Climate Applications of a Overshooting Convective Cloud Top Detection Product. 28<sup>th</sup> Conference on Weather Analysis and Forecasting, 22-26 January 2017. Seattle, WA.

Minnis et al. 2017: Satellite-Based Nowcasting Method for Estimating Probability of High Ice Water Content Aviation Hazards. 18<sup>th</sup> Conference on Aviation, Range, and Aerospace Meteorology. 22-26 January. Seattle, WA.

## **Peer-Reviewed Publications**

Minnis et al. 2017: Dependence of Satellite Imager Radiances and Cloud Properties Relationships on Cloud Ice Water Content for Detecting High Ice Water Content. To be submitted, J. Tech., February 2017.

Yost et al. 2017: A Prototype Method for Diagnosing High Ice Water Content Probability Using Satellite Imager Data. To be submitted, J. Tech., Match 2017

## Summary

- Based on HIWC-HAIC total water content (TWC) field measurements, we developed a satellite-based technique to estimate probability of HIWC (PHIWC)
- Little sensitivity in satellite-derived products to  $TWC > 0.25 \text{ g m}^{-3}$
- HIWC rarely observed outside a 40 km radius from a textured and/or cold region
- Texture and OT Probability threshold quite liberal, causing low to moderate TWC to be detected too
- IR BT-tropopause difference used to help confine HIWC probability to cold regions
- Validation shows highest PHIWC for HIWC events. Statistical metrics based on pixel scale POD/FAR can paint an unrealistic picture of product accuracy
- Methods designed to be globally applicable, dependent only on channels common to all satellites
- We have immediate ability to implement prototype PHIWC product on any near-real-time GEOSat domain including Himawari-8 and GOES-R ABI. See GOES-13 product imagery at <http://clouds.larc.nasa.gov/overshooting-tops>

## Future Work

- Confine visible texture detection closer to convective cores. Smooth COD before applying to PHIWC product
- Analyze HIWC detection characteristics as a function of 5-sec IKP2, aircraft temperature, campaign location
- Deliver Darwin and Cayenne OT database to NCAR archive
- Finalization of MODIS-CloudSat HIWC diagnostic and PHIWC nowcasting papers
- Analysis of “outliers”, i.e. low (high) TWC in favorable (unfavorable) satellite-derived parameters
- Further analysis of HIWC-Florida campaign datasets
  - Flights days with 1-minute GOES-14 imagery very valuable
  - Collocate on-board weather radar with satellite observations
- Continue analyses with RASTA and CloudSat retrievals
  - Continue to explore max column TWC vs IKP within PHWC model
  - Use profiling technique to estimate altitude of HIWC from satellite obs
  - Parameterization based on satellite cloud properties (e.g., T, IWP) and CloudSat retrievals

# Evidence of Small Ice Crystals At Cloud Top: Himawari-8 AHI

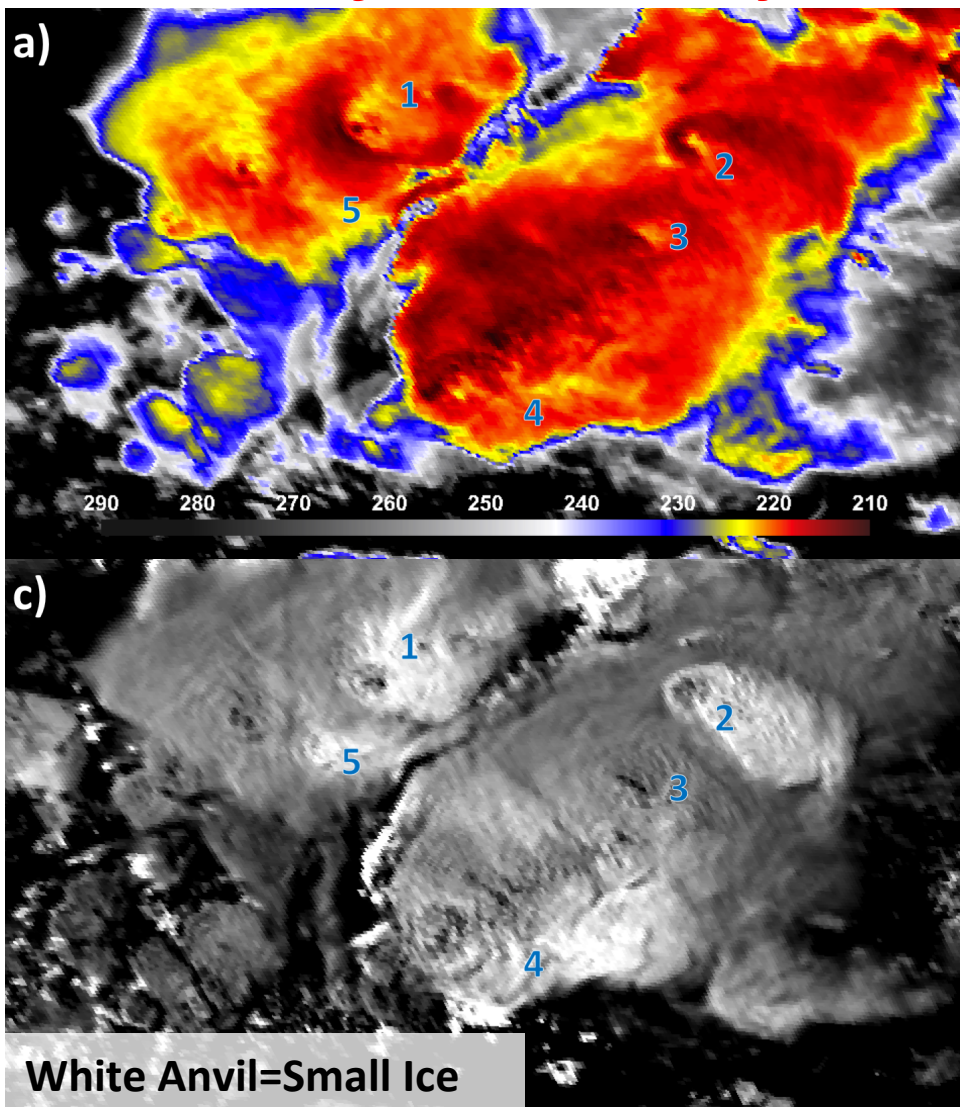


Figure: An example of multispectral Himawari-8 imagery for above-anvil plume producing storms over northeastern China on 13 June 2016 at 0840 UTC. a) 2 km color-enhanced 10.4  $\mu\text{m}$  longwave IR temperature b) 0.5 km 0.64  $\mu\text{m}$  visible reflectance, and c) 2 km 1.6  $\mu\text{m}$  near-IR reflectance

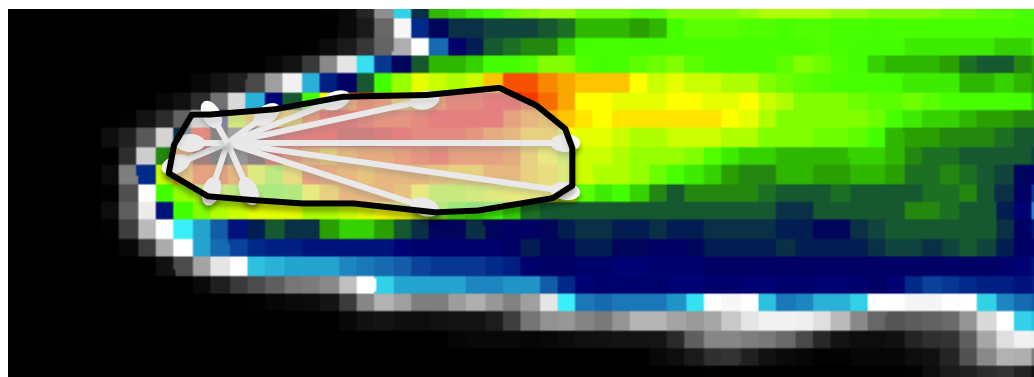
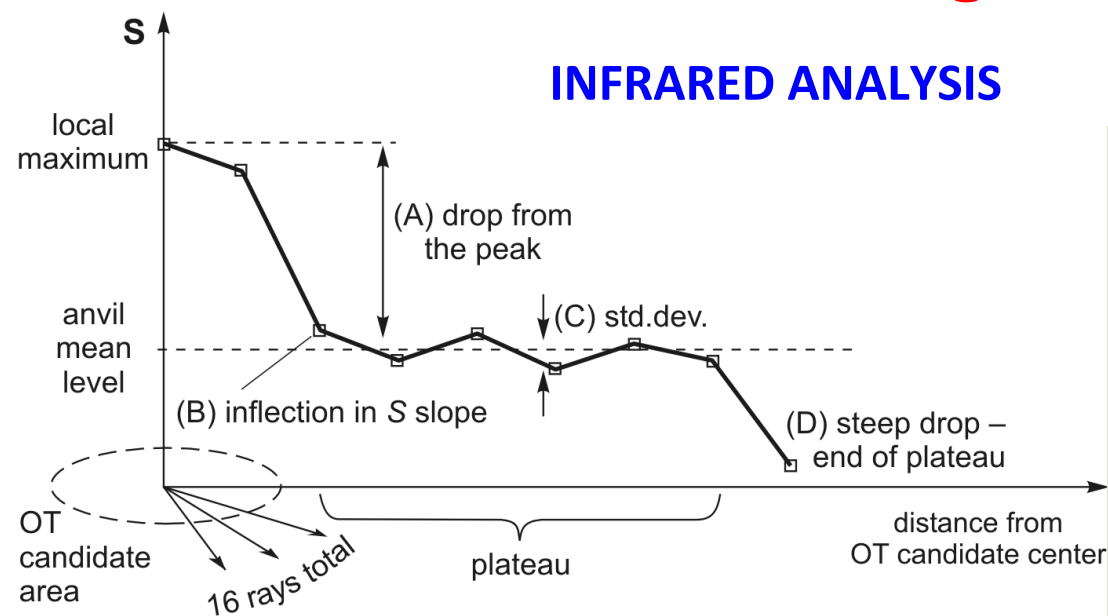
Enhanced 1.6  $\mu\text{m}$  reflectance (White Shading, 1,2,4,5 above) indicate smaller ice crystals at cloud top detrained from updrafts with greater vigor than neighboring storms (Levizanni and Setvak 1996; Lindsey et al. 2006; Rosenfeld et al. 2008). Small ice can be correlated with warm anomalies in anvil (i.e. enhanced-V signatures, above-anvil cirrus plumes)



**Extra/Backup Slides**

# OT Pattern Recognition Analyses

## INFRARED ANALYSIS



## VISIBLE ANALYSIS

- Identify anvil clouds by spatial analysis and thresholding of calibrated visible reflectance
- Quantify texture via pattern recognition within Fourier transforms computed in small windows in anvils
- Detect OT-induced shadows at high solar zenith angle

Normalize IR BT relative to regional 400x400 pixel window)BT distribution. We call this a “BT Score”

Pattern recognition used to ensure that the cold region being analyzed is 1) within deep convection and 2) has characteristics typical of OTs

Pattern recognition uses

- OT shape correlation
- BT Score prominence relative to surrounding anvil
- Anvil flatness, roundness, and edge sharpness

The net result is a cumulative rating for each possible OT region. Pixels with a non-zero rating at the end of all the tests above are considered final “OT Candidate” regions

OT Candidates are then assigned an OT Probability based on BT comparison with anvil mean BT, NWP tropopause and equilibrium level temps

Logistic regression applied to large training database of human-identified OT and non-OT regions to derive weights for each parameter. OT-anvil BTD is the

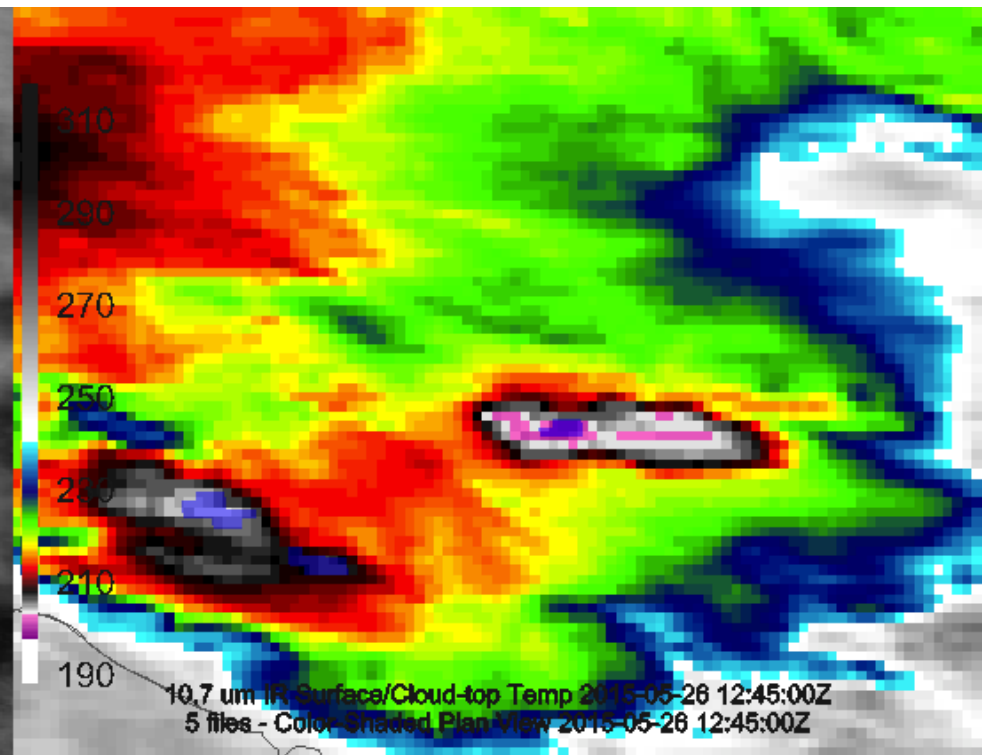
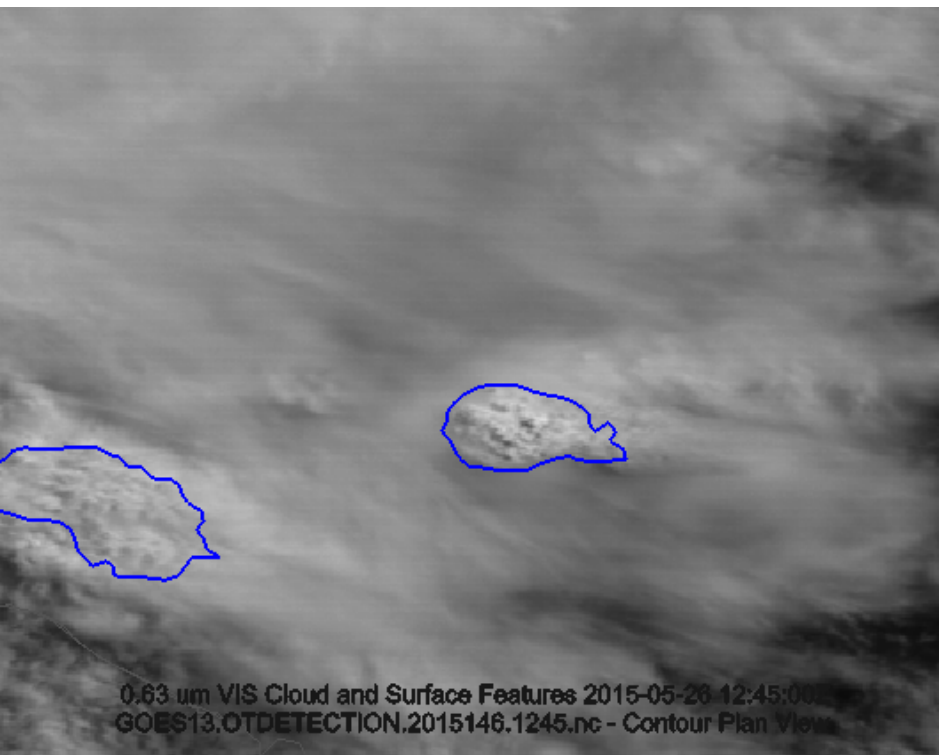


# *Characteristics of Missed HIWC Events and False Positives*

**GOES-13 26 May 2015: 1245 UTC**

**Blue contour: LaRC Visible Texture Detection**

**Blue semi-transparent block: OT Probability > 0.5**

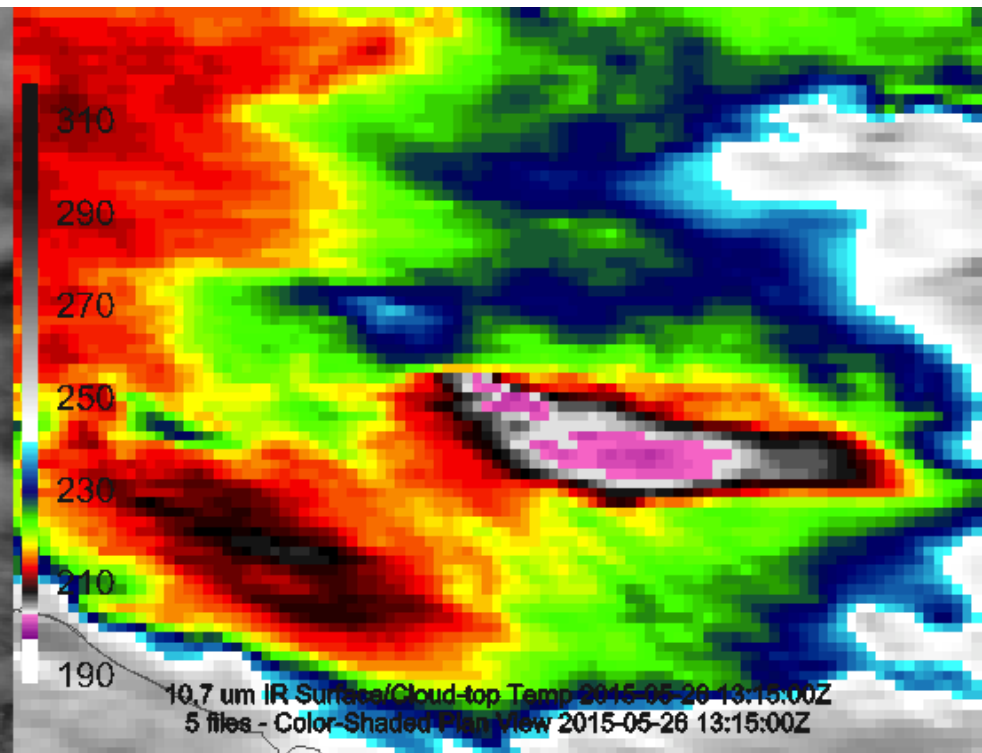
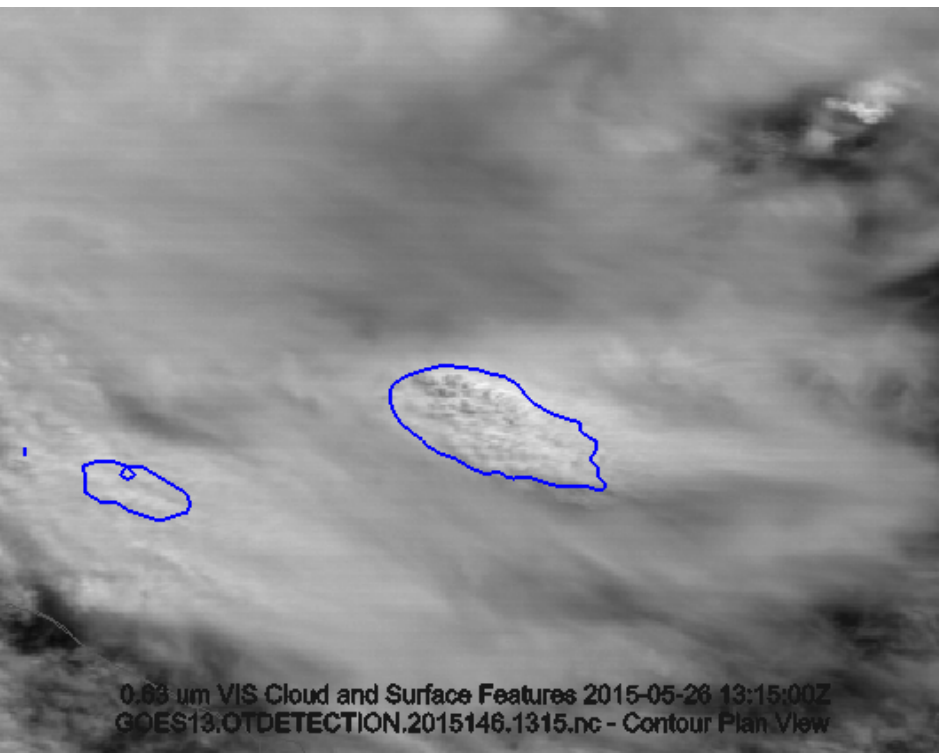


# Characteristics of Missed HIWC Events and False Positives

GOES-13 26 May 2015: 1315 UTC

Blue contour: LaRC Visible Texture Detection

Blue semi-transparent block: OT Probability > 0.5



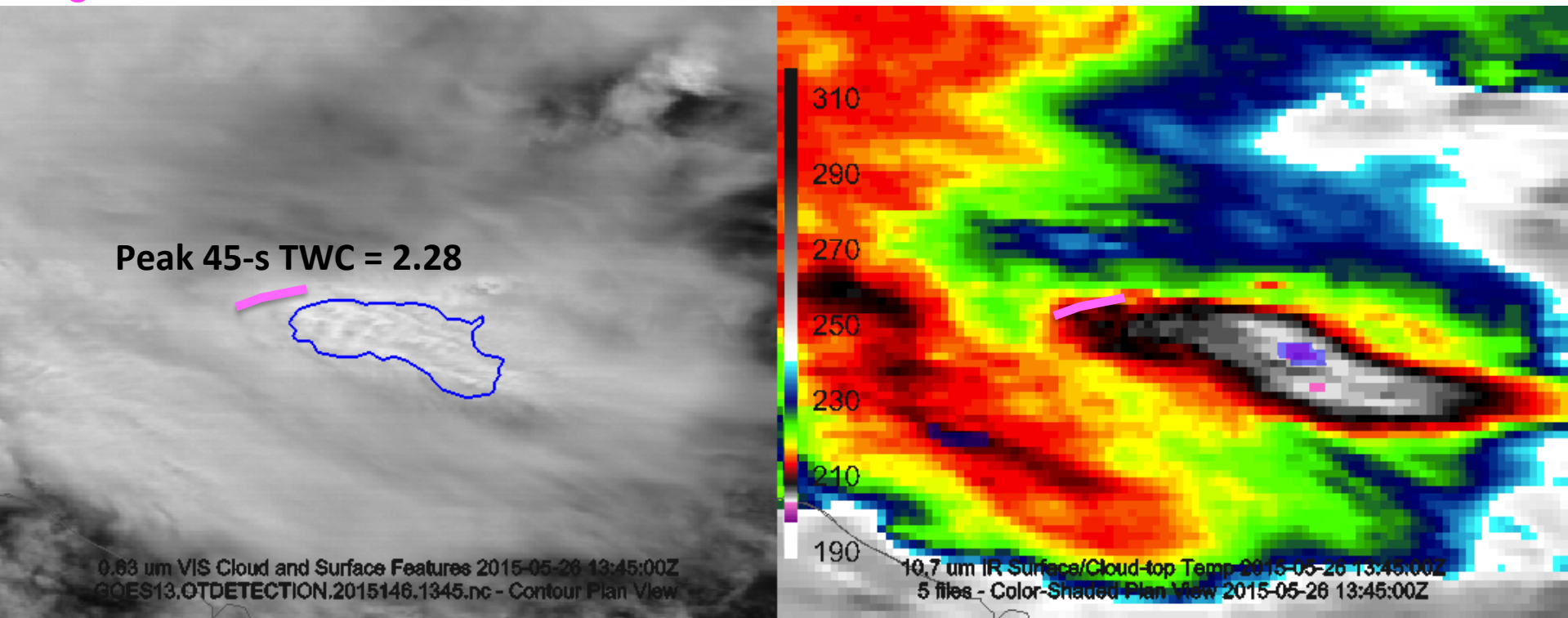
# Characteristics of Missed HIWC Events and False Positives

## GOES-13 Missed Event: 26 May 2015: 1345 UTC

Blue contour: LaRC Visible Texture Detection

Magenta: HIWC Encounter

Blue semi-transparent block: OT Probability > 0.5



Aircraft flew along edge of cold IR temperature and textured region but did not penetrate coldest pixels

Close distance to visible texture detection (< 10 km) but warm IR BT at aircraft location, weak WV-IR BTD, and reduced optical depth relative to brighter/colder region make the region hard to detect using parameter space consistent with vast majority of HIWC eventsc

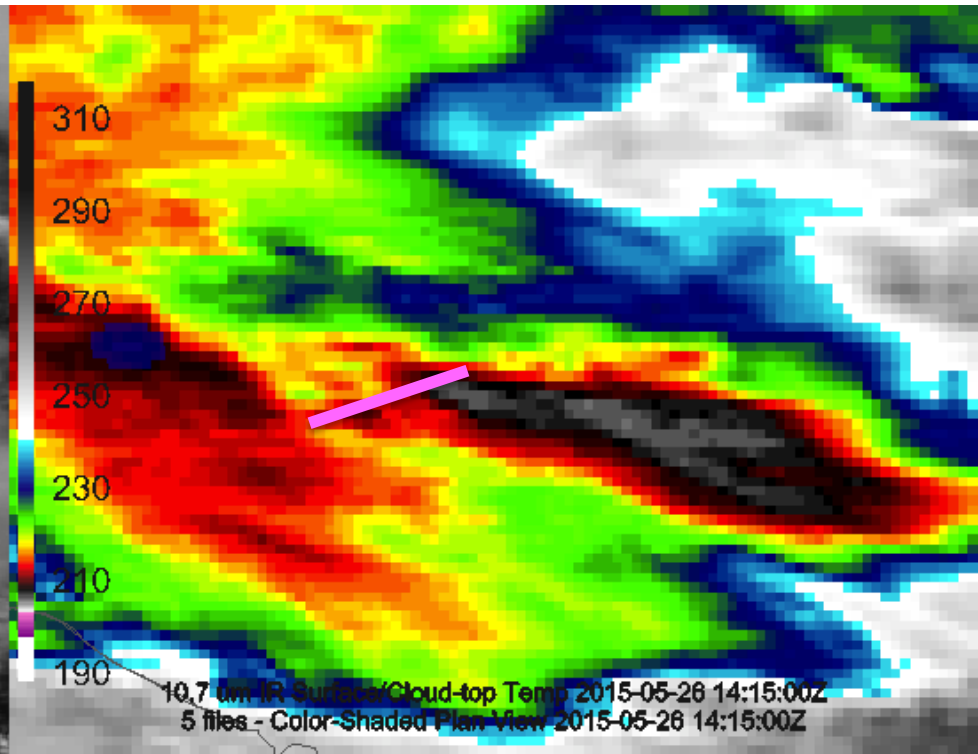
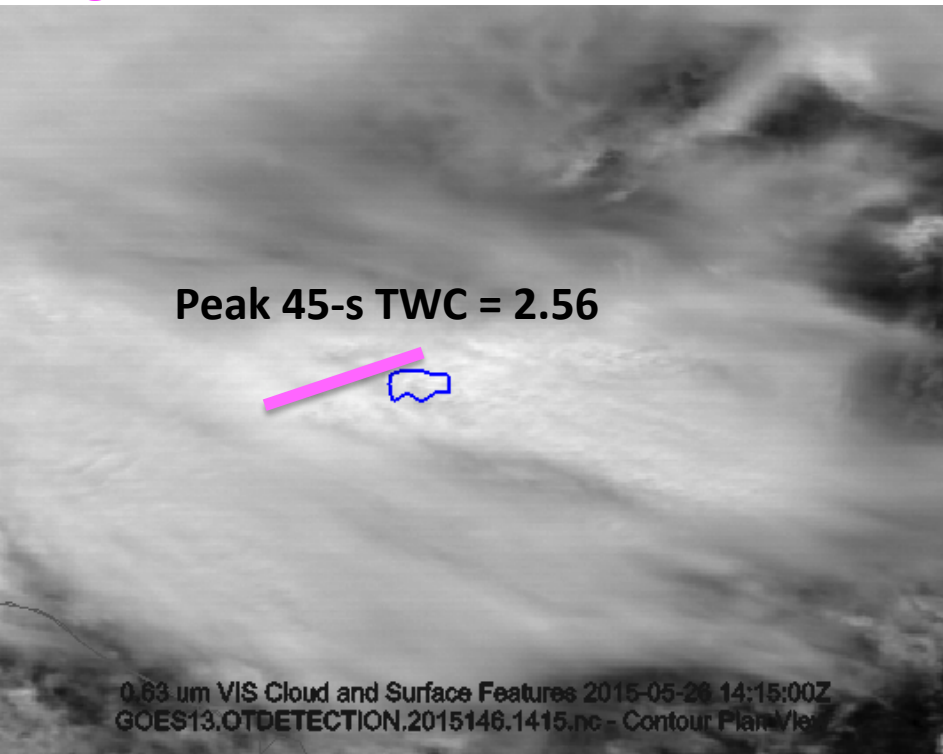
# Characteristics of Missed HIWC Events and False Positives

## GOES-13 Missed Event: 26 May 2015: 1415 UTC

Blue contour: LaRC Visible Texture Detection

Magenta: HIWC Encounter

Blue semi-transparent block: OT Probability > 0.5



Aircraft flew along edge of cold IR temperature and textured region but did not penetrate coldest pixels

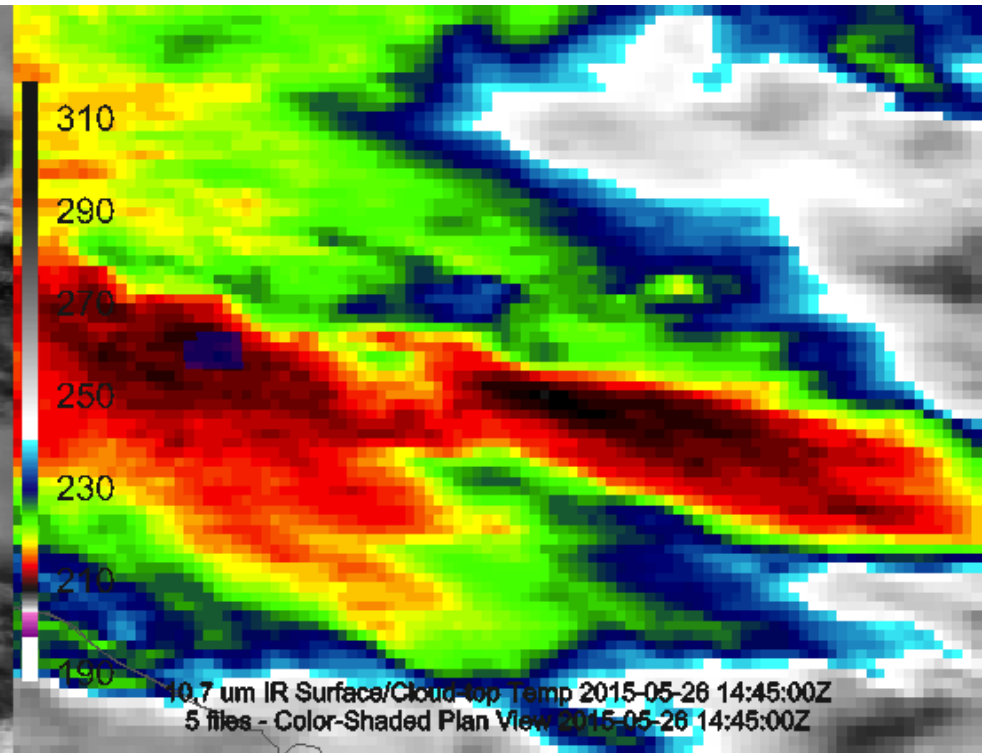
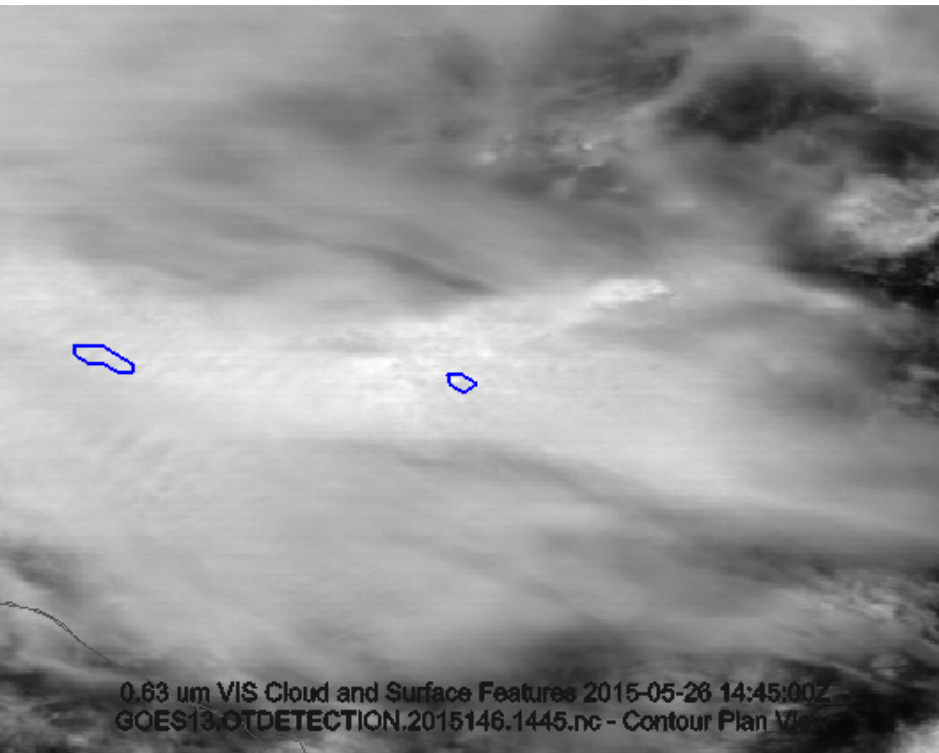
Close distance to visible texture detection (< 10 km) but warm IR BT at aircraft location and weak WV-IR BTD make the region hard to detect using parameter space consistent with vast majority of HIWC events

# *Characteristics of Missed HIWC Events and False Positives*

**GOES-13 26 May 2015: 1445 UTC**

**Blue contour: LaRC Visible Texture Detection**

**Blue semi-transparent block: OT Probability > 0.5**

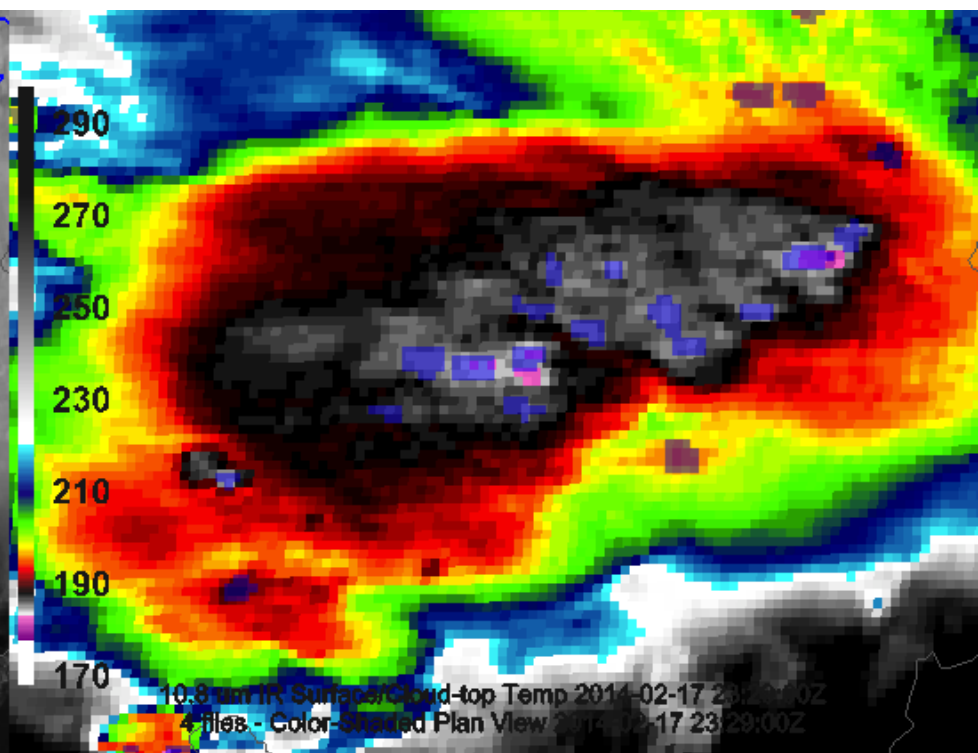
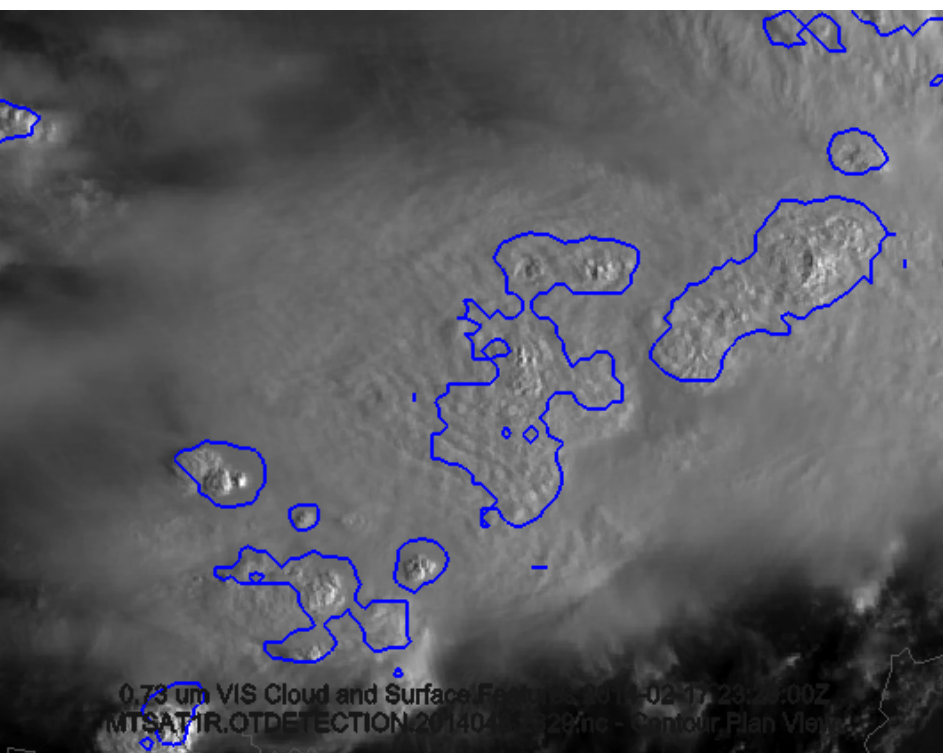


# Characteristics of Missed HIWC Events and False Positives

## MTSAT-1R False Positive: 17 February 2014: 2329 UTC

Blue contour: LaRC Visible Texture Detection

Blue semi-transparent block: OT Probability > 0.5



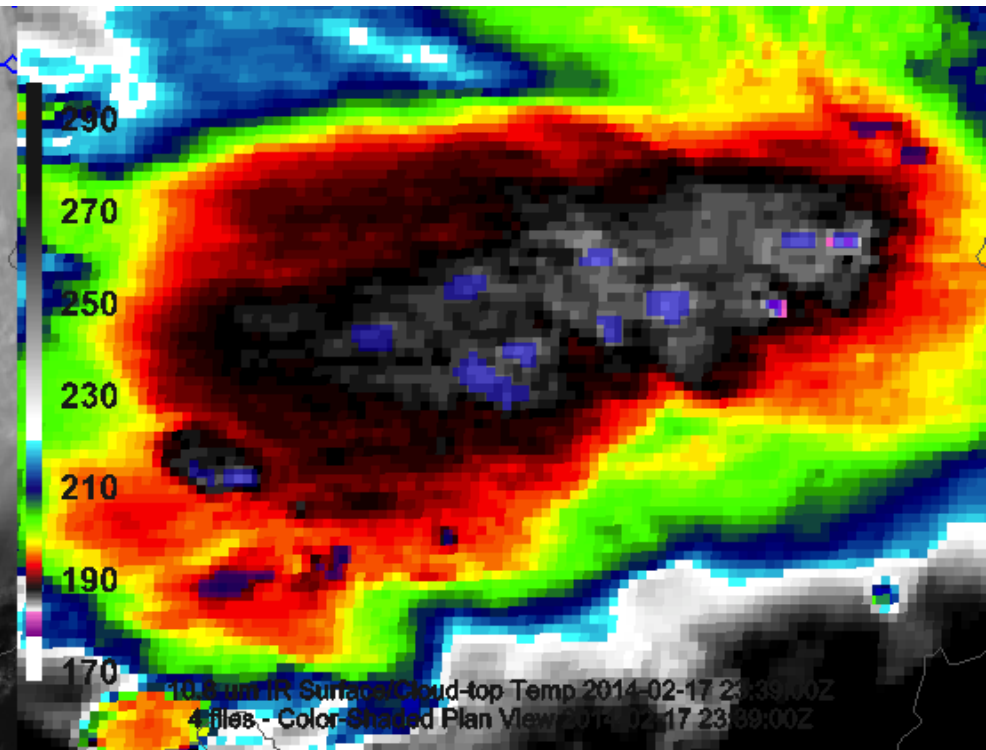
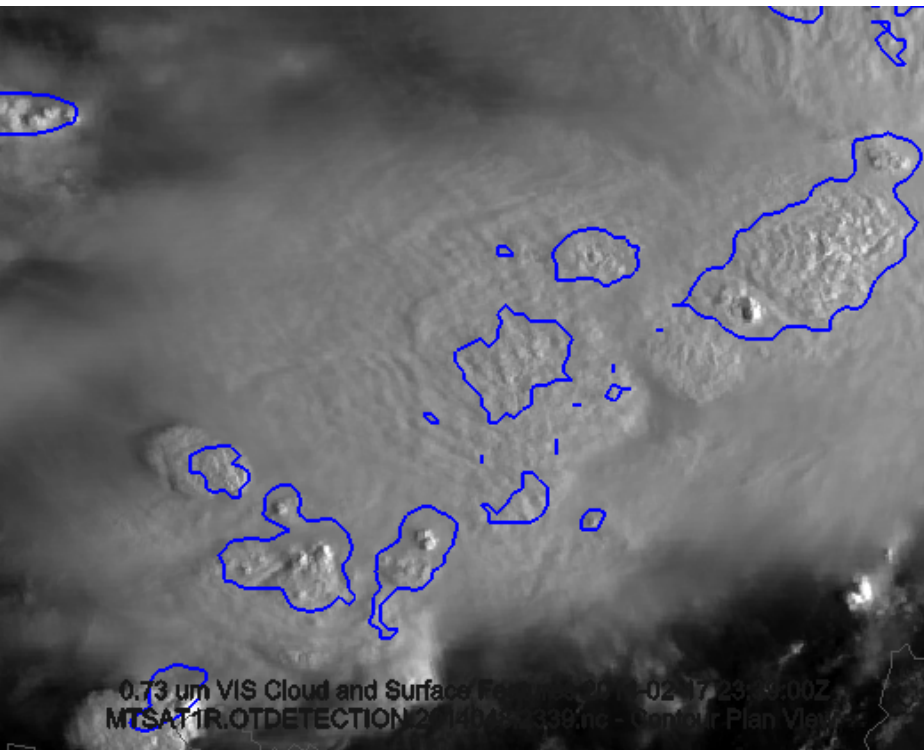


# ***Characteristics of Missed HIWC Events and False Positives Based on Probability of HIWC Scores***

**MTSAT-1R False Positive: 17 February 2014: 2339 UTC**

**Blue contour: LaRC Visible Texture Detection**

**Blue semi-transparent block: OT Probability > 0.5**

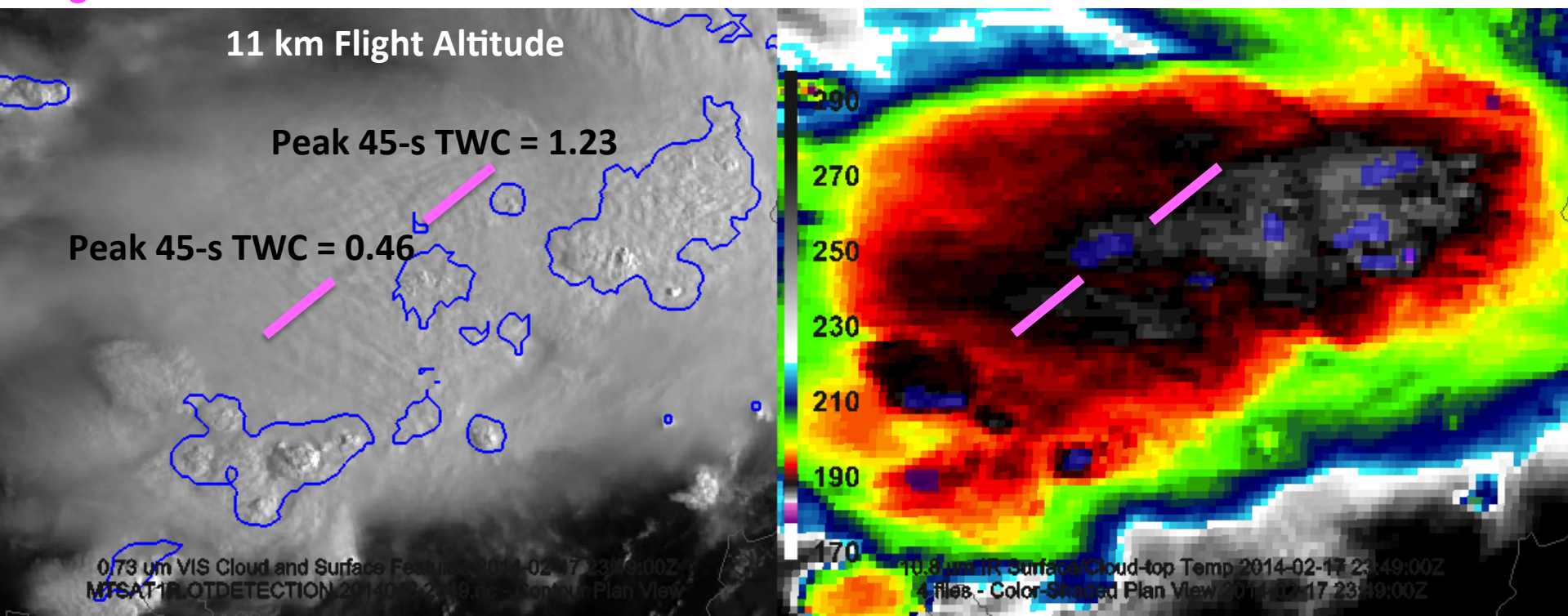


# Characteristics of Missed HIWC Events and False Positives Based on Probability of HIWC Scores

MTSAT-1R False Positive: 17 February 2014: 2349 UTC

Blue contour: LaRC Visible Texture Detection

Magenta: Low and Moderate TWC Encounter Blue semi-transparent block: OT Probability > 0.5



Aircraft flew beneath very cold, optically thick anvil region (190 K) with many gravity waves near to prominent cold spot. This parameter combination triggered a high HIWC probability.

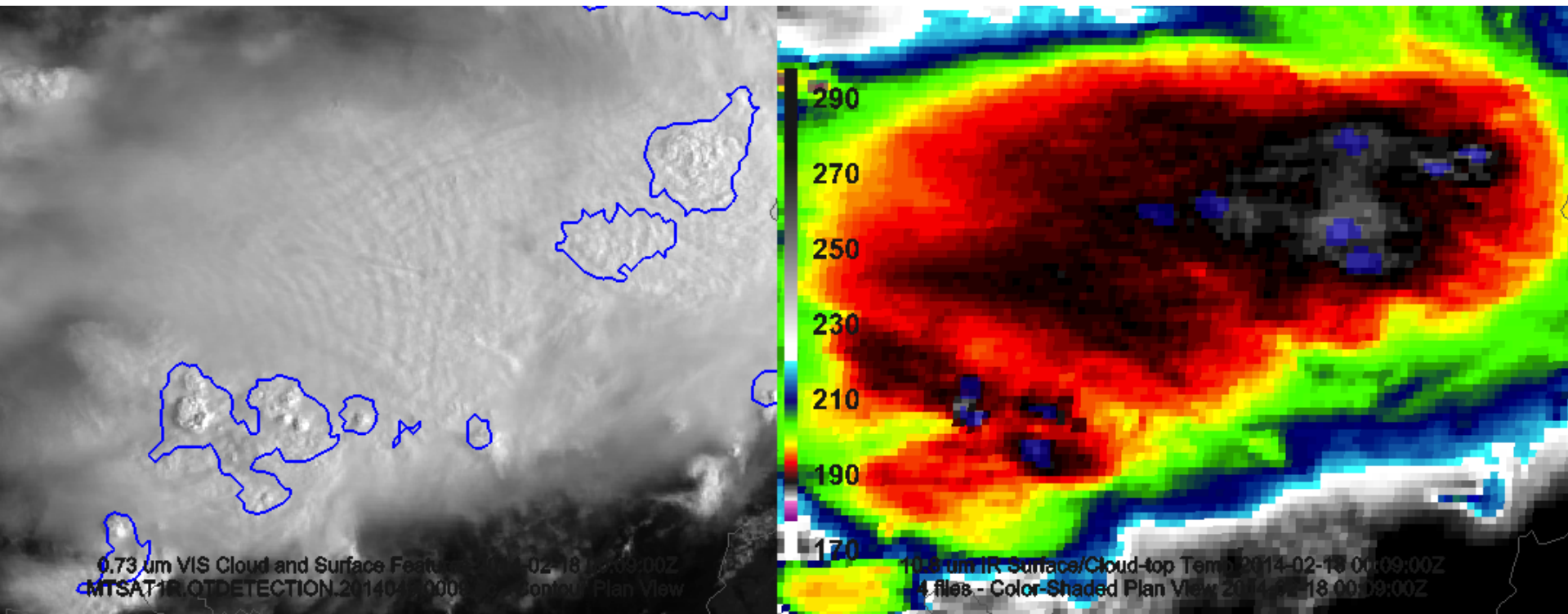
Region likely is not connected to updraft beneath the anvil. There is no way of knowing this via an automated algorithm based on the MTSAT-observed cloud characteristics. **There is also virtually no difference in pixel appearance between the regions where 0.46 and 1.23  $\text{g m}^{-3}$  are present!** Only temporal trend to differentiate is that the 1.23 observation is co-located with a prominent convective core that dissipated 20 mins prior

# Characteristics of Missed HIWC Events and False Positives

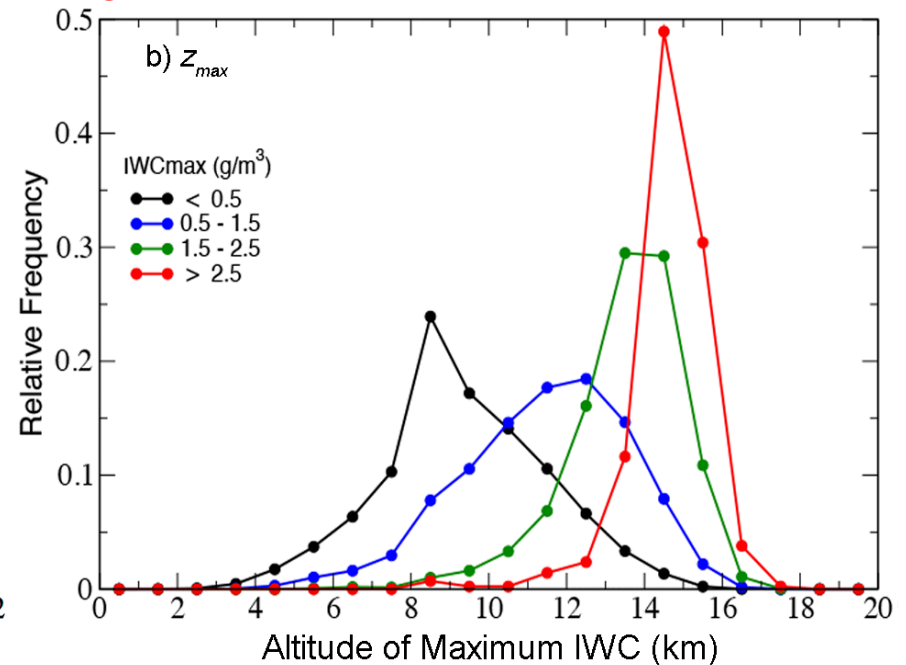
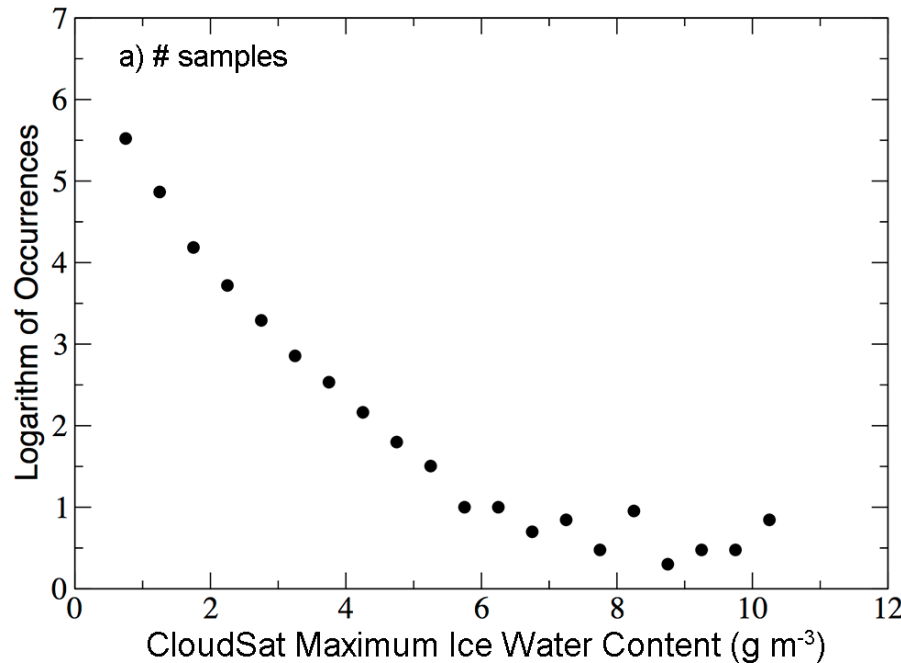
## MTSAT-1R False Positive: 18 February 2014: 0009 UTC

Blue contour: LaRC Visible Texture Detection

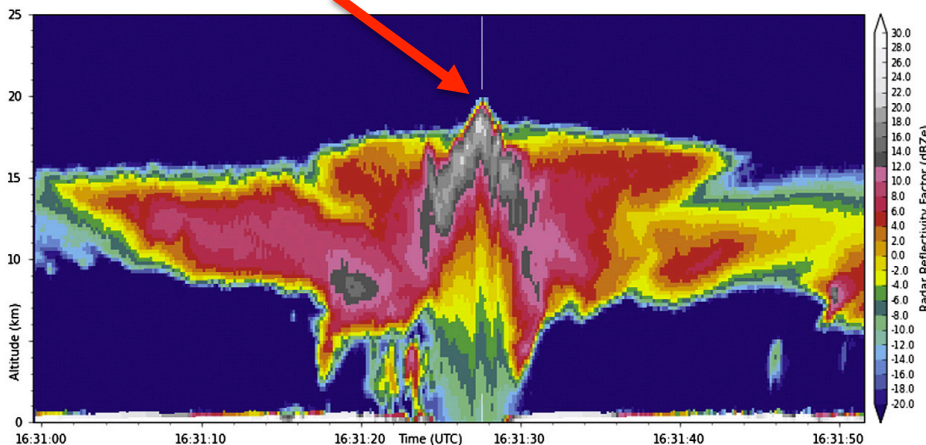
Blue semi-transparent block: OT Probability > 0.5



# Vertical Distribution of CloudSat IWC



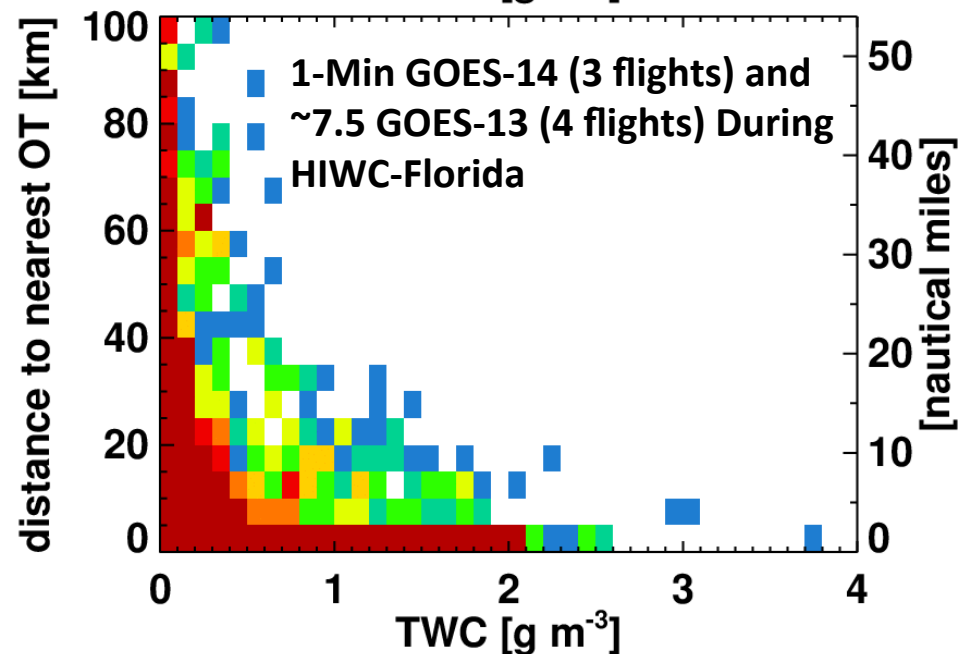
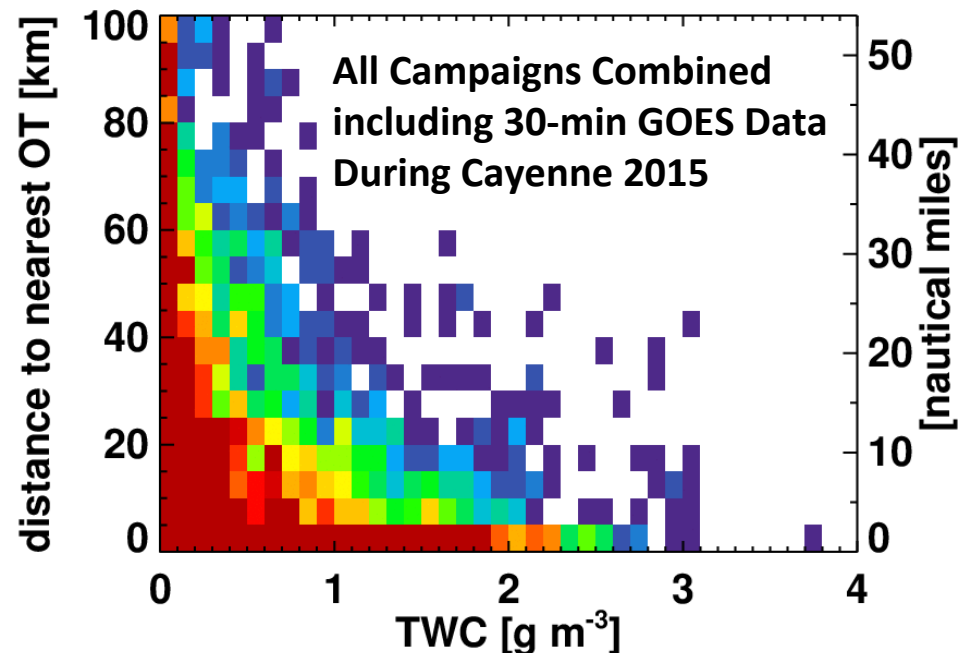
## Overshooting Top



Setvak et al. (Atmos Res., 2013)

- Height of max IWC is well correlated with IWC magnitude
- Previous results tie IWC extremes to convective cores
- CloudSat radar signal attenuates strongly in core regions, inhibiting profiling deeper into the cloud where an even greater IWC could be located. So by default, the max IWC is near storm top
- The pattern shown above again points to convective cores being responsible for HIWC

# Impact of Image Temporal Resolution on OT-TWC Relationships



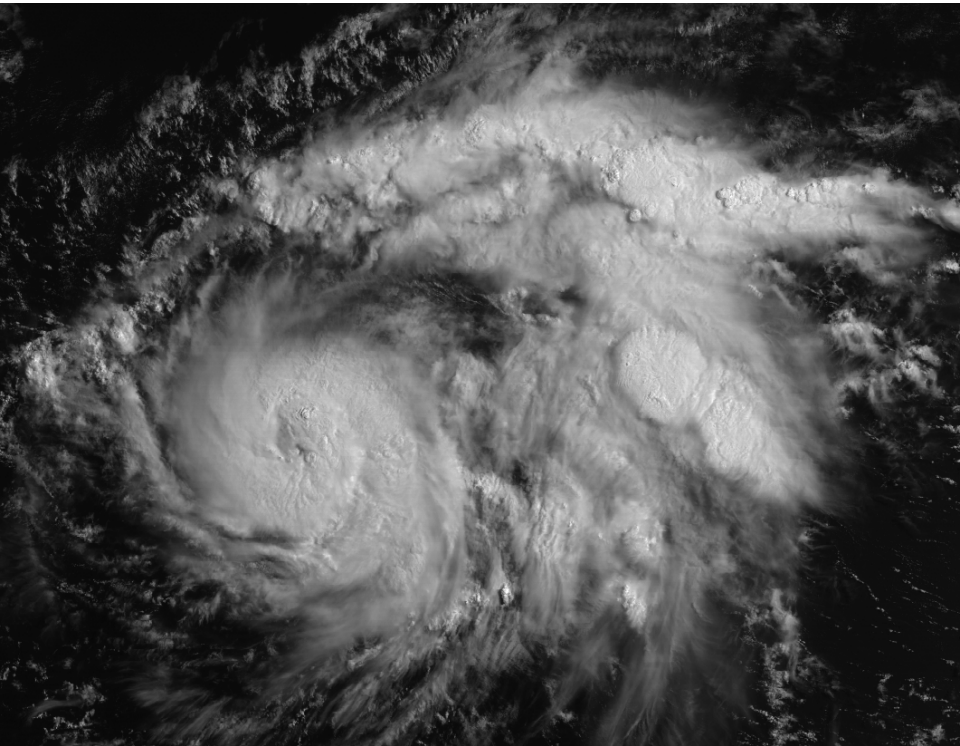
- Overshooting cloud tops can evolve very rapidly!
- Some last for 5-mins whereas those in supercell storms can persist continuously for over an hour
- The time window for matching cloud top properties with TWC was up to 10 mins during 2015 Cayenne.
- OTs can grow and decay in 10 mins, blurring OT-TWC relationships
- Use of up to 1-min frequency GOES data reduces scatter, clearly showing the strong relationship between moderate to high TWC and distance to OT



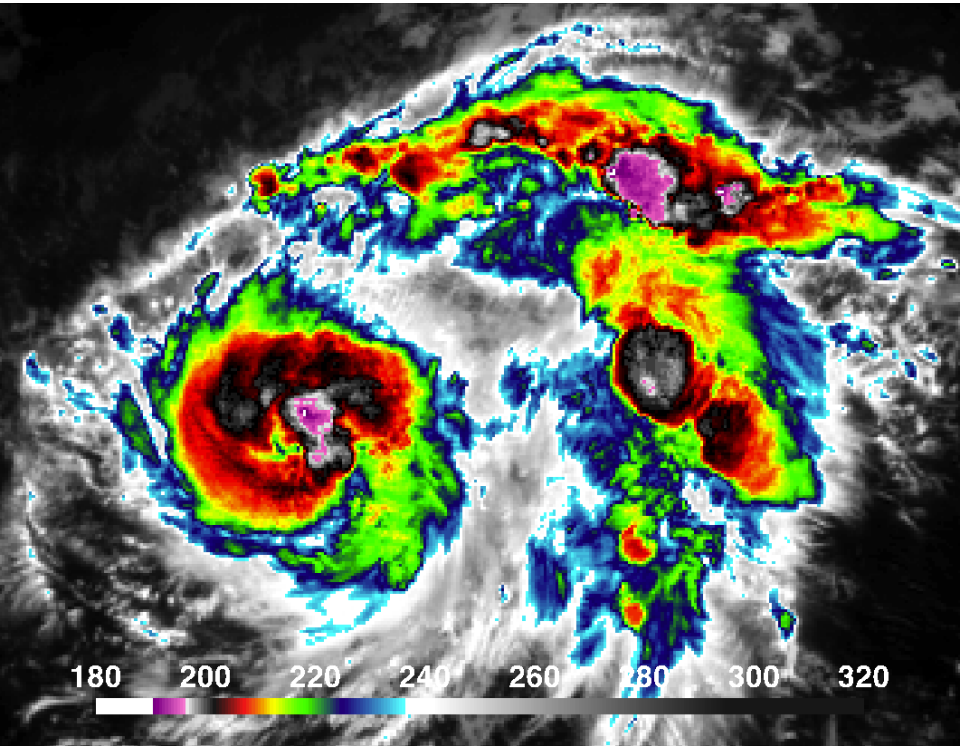
# ***Visible and IR-Based Probabilistic Overshooting Cloud Top Detection***

***Bedka and Khlopenkov (JAMC, 2016)***

**GOES-15 Visible Image of Hurricane Guillermo**



**GOES-15 Color-Enhanced Infrared Image**



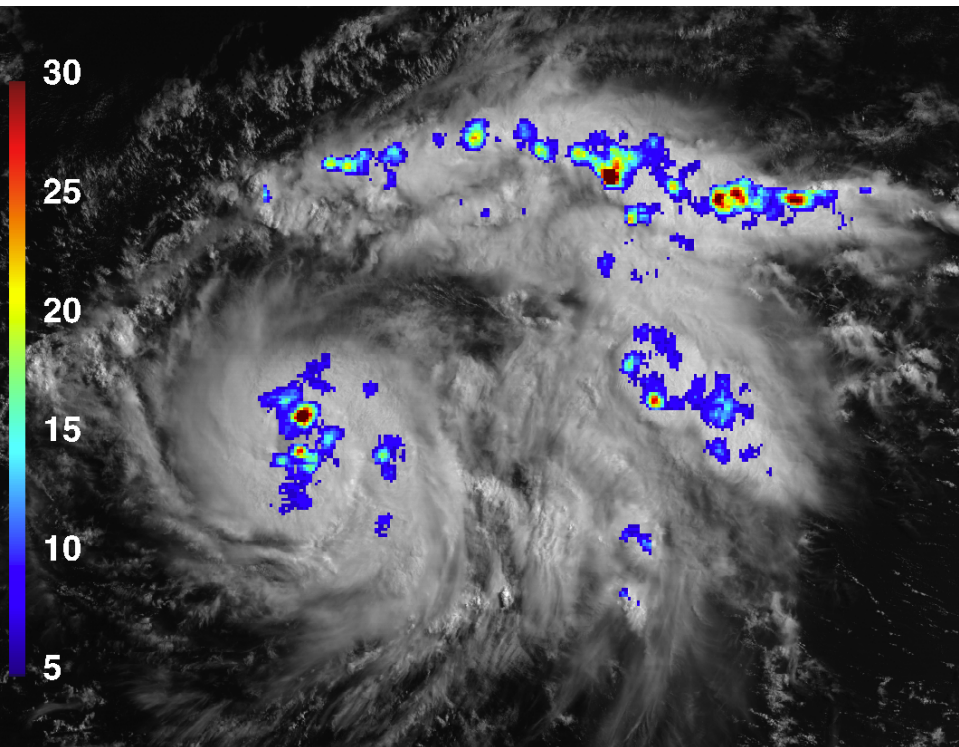
***GOAL: Mimic the human mind's overshooting top identification process using IR & visible imagery combined with numerical weather prediction model data within an automated computer algorithm***



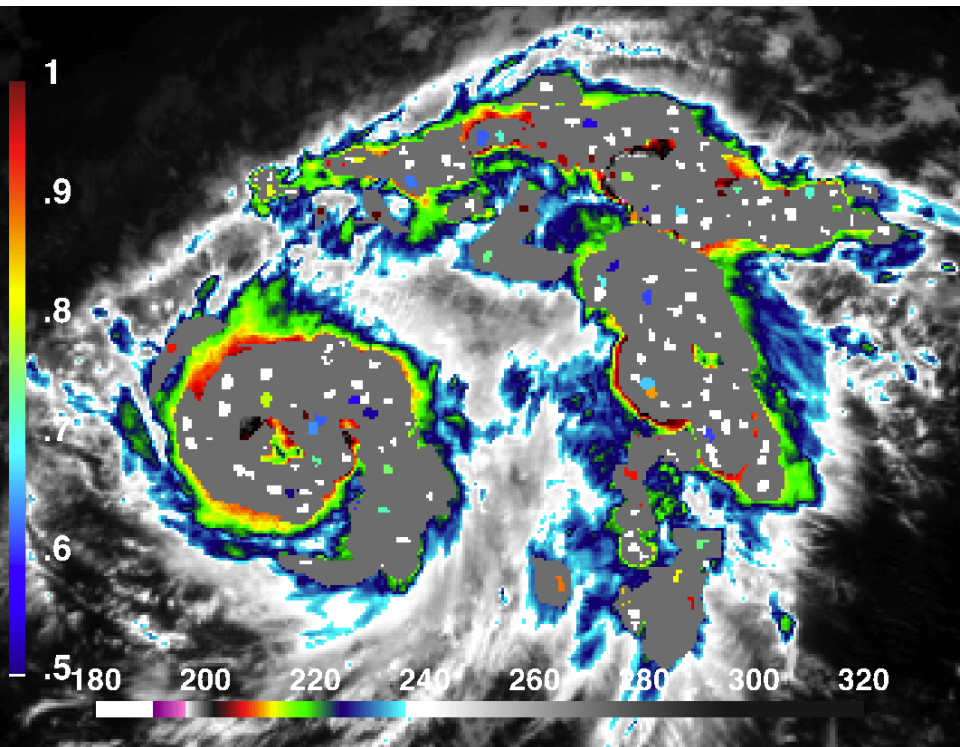
# ***Visible and IR-Based Probabilistic Overshooting Cloud Top Detection***

***Bedka and Khlopenkov (JAMC, 2016)***

**Visible Texture Detection Rating**



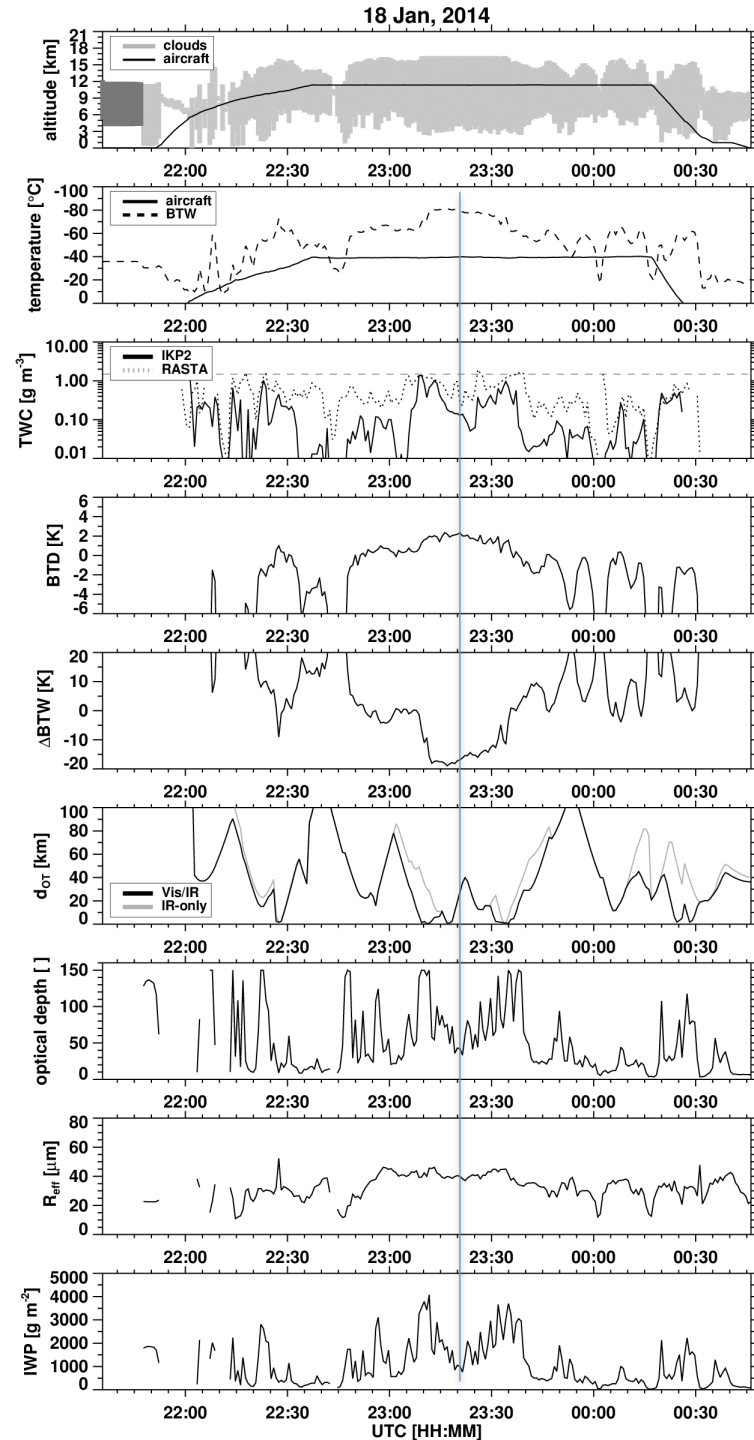
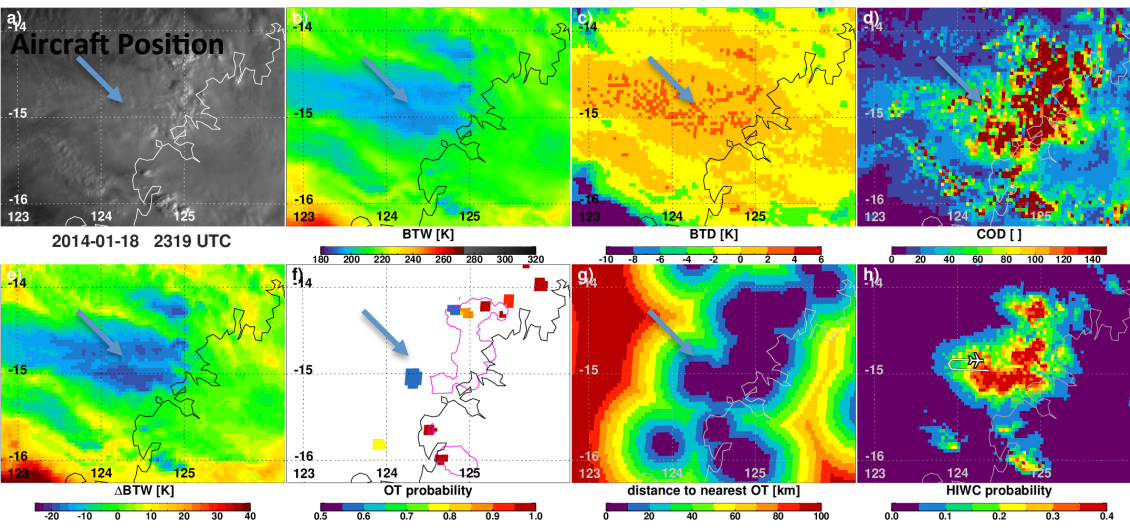
**Infrared Cirrus Anvil Detection (Grey) Overlaid With  
OTProbability  $\geq 0.5$  (Colored Dots)  
OT Probability  $< 0.5$  (White Dots)**



***GOAL: Mimic the human mind's overshooting top identification process using IR & visible imagery combined with numerical weather prediction model data within an automated computer algorithm***

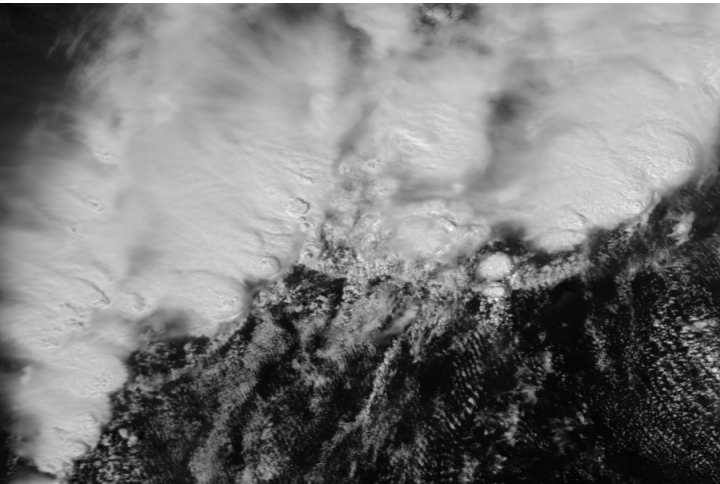
# Low IR Temperature Alone Not a Good HIWC Indicator!

- At the time of this scene, IR BT (i.e. BTW) are almost the coldest at any point during the flight and WV-IR BTD was also quite large.
- Yet TWC values were around  $0.2 \text{ g m}^{-3}$  at this time
- The cold region above where the aircraft flew was produced by outflow from OTs to the east along the Australia coastline
- Relatively low optical depth and large distance from IR OT or textured region were indicators that the HIWC was unlikely
- Some HIWC nowcast algorithms may use IR BT as a key parameter, and this case illustrates the downsides of such an approach. Spatial structure of the cloud also needs to be taken into account!

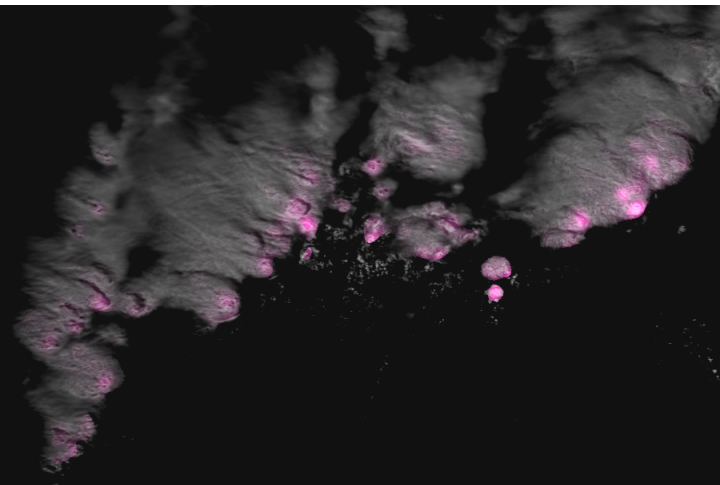


# *Visible Channel Pattern Recognition*

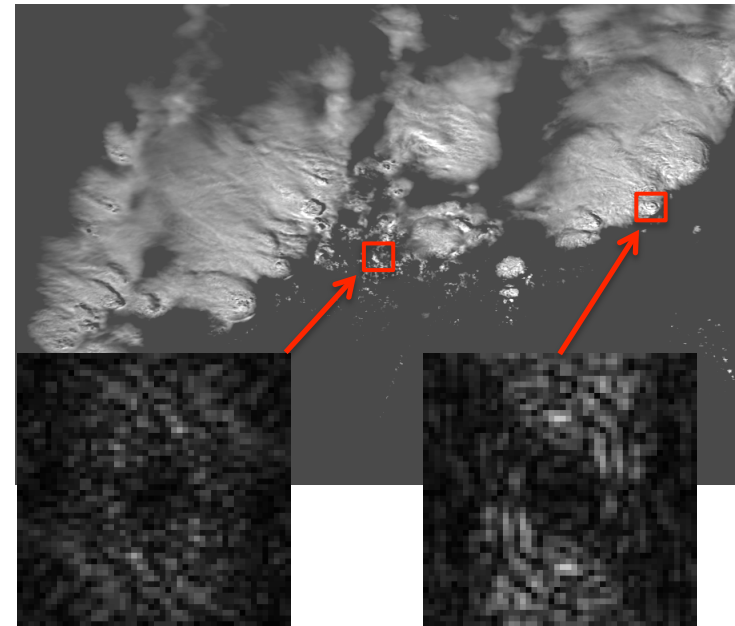
Input MODIS 1 km Visible Image



Final OT Candidate Regions  
Based on Visible Analysis



Non-linear Brightness Correction to Highlight  
Convective Clouds and Suppress Other Cloud Types



Fourier frequency  
spectrum of an area  
with random spatial  
variability.

No ring pattern in  
the spectrum

Fourier frequency  
spectrum of a typical  
OT region

Ring fragments in the  
spectrum can be  
identified