

# Fate of Mountain Waves in the Stratosphere: A Spectral Approach

Ron Smith,  
Chris Kruse,  
(Yale University)

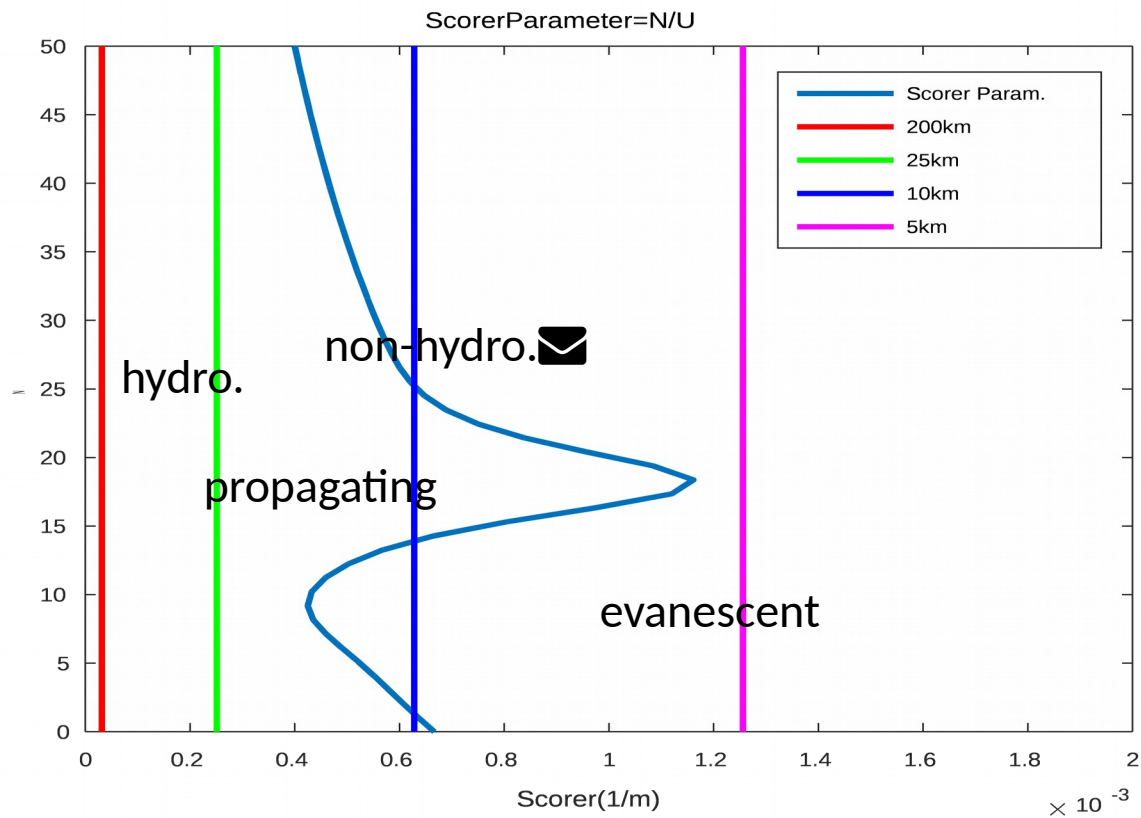
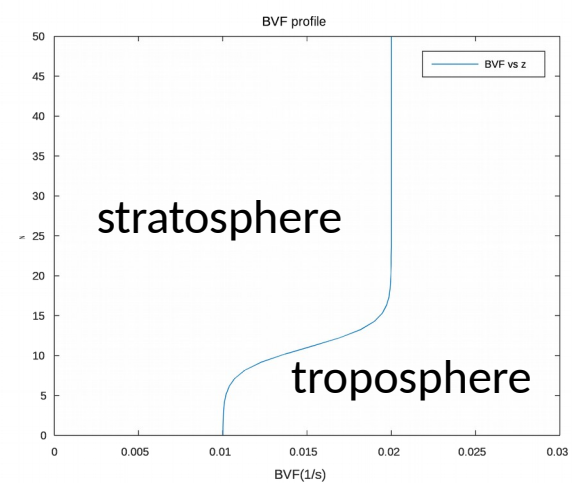
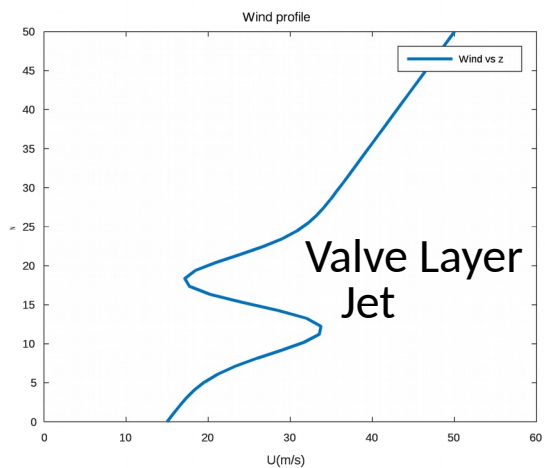
Mt. Cook  
South Island  
New Zealand

Support from NSF-AGS-1338655

# Outline

- Theoretical expectations
  - Scorer profiles
  - Broad spectra terrain
  - Wave measurements
  - Non-hydrostatic effects
- DEEPWAVE analysis
  - Aircraft data
  - WRF model results
  - Fate of waves in the stratosphere

# Generic Deepwave Sounding



# Gravity Wave Spectral Variances

- Mountain shape constrains horizontal displacement  $\eta(x)$

- Fourier Transform  $\hat{\eta}(k) = \int_{-\infty}^{\infty} \eta(x) \exp(-ikx) dx$

- $Var(\eta) = \int_{-\infty}^{\infty} \eta^2(x) dx = \left(\frac{1}{2\pi}\right) \int_{-\infty}^{\infty} \hat{\eta}(k) \hat{\eta}(k)^* dk$

From **hydrostatic** mountain wave theory  
From **hydrostatic** mountain wave theory

1.

$$1. \quad Var(w) = \int_{-\infty}^{\infty} w^2(x) dx = \left(\frac{U^2}{2\pi}\right) \int_{-\infty}^{\infty} k^2 \hat{\eta}(k) \hat{\eta}(k)^* dk$$

$$2. \quad Cov(u, w) = \int_{-\infty}^{\infty} u(x)w(x) dx = -\left(\frac{NU}{2\pi}\right) \int_{-\infty}^{\infty} |k| \hat{\eta}(k) \hat{\eta}(k)^* dk$$

Note: P-power and T-power are similar to u-power (3)

$$3. \quad Var(u) = \int_{-\infty}^{\infty} u^2(x) dx = \left(\frac{N^2}{2\pi}\right) \int_{-\infty}^{\infty} \hat{\eta}(k) \hat{\eta}(k)^* dk$$

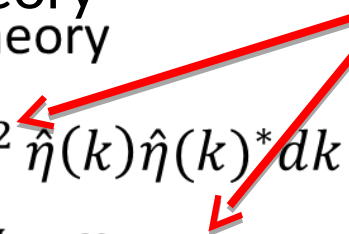
Weights are unimportant if spectrum is narrow, but important if the spectrum is broad

Note: P-power and T-power are similar to u-power (3)

Weights are unimportant if spectrum is narrow, but important

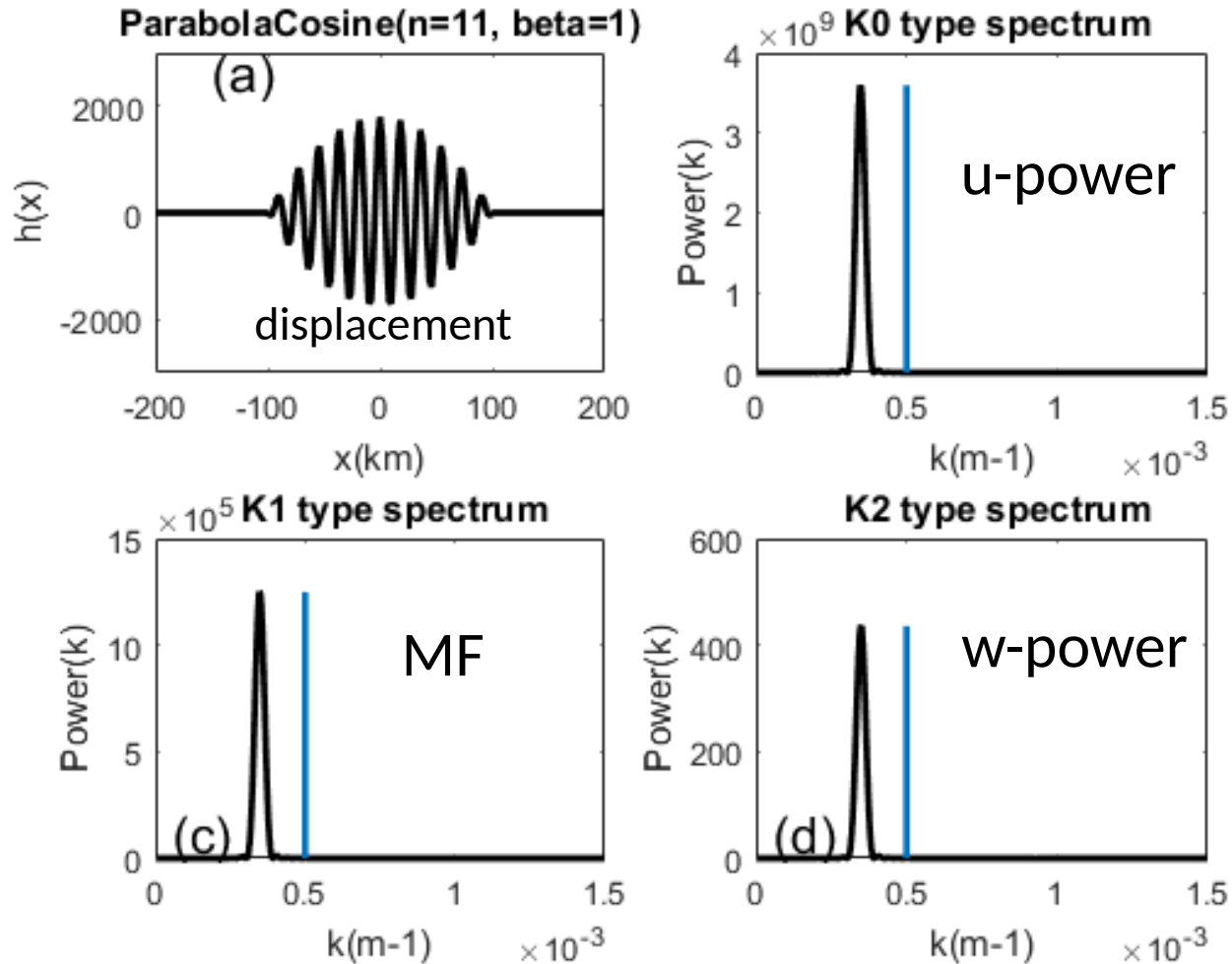
if the spectrum is broad .

weights



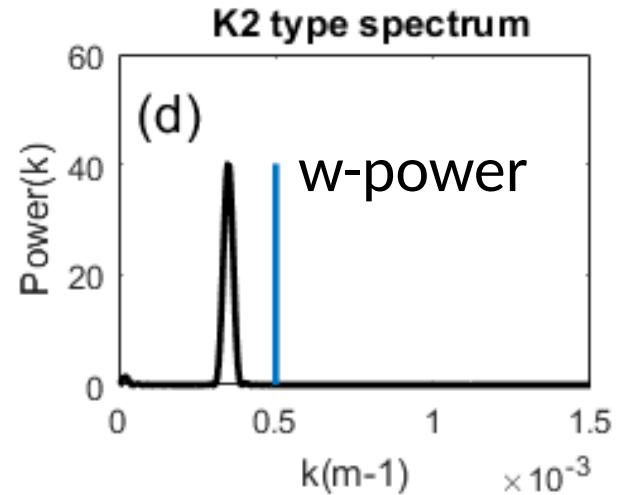
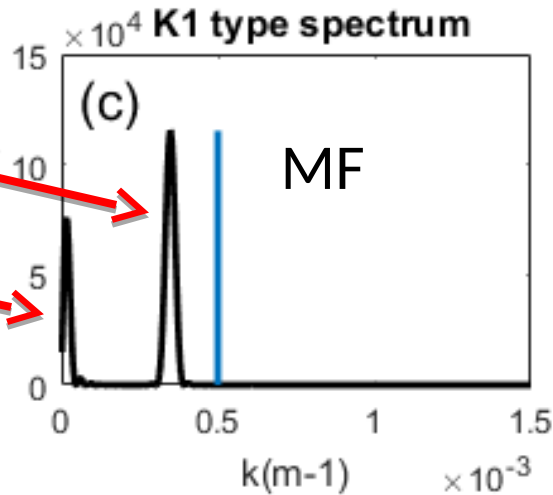
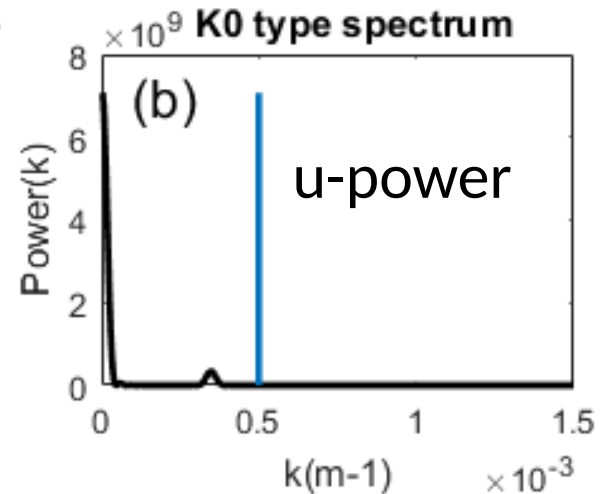
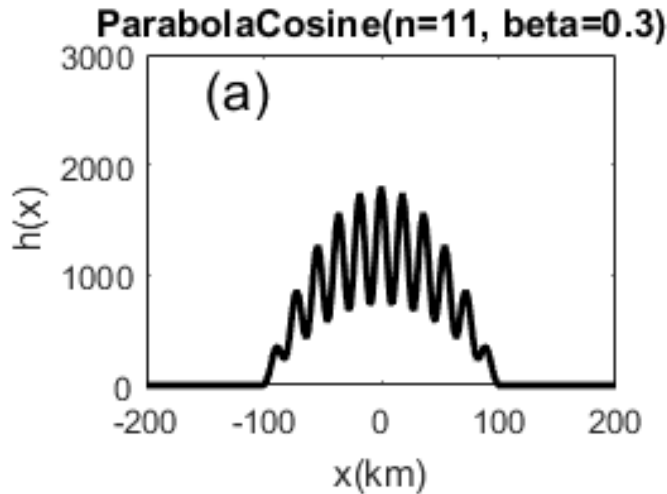
# Monochromatic Wave

(blue line: typical buoyancy cut-off)



# Ideal Rough hill

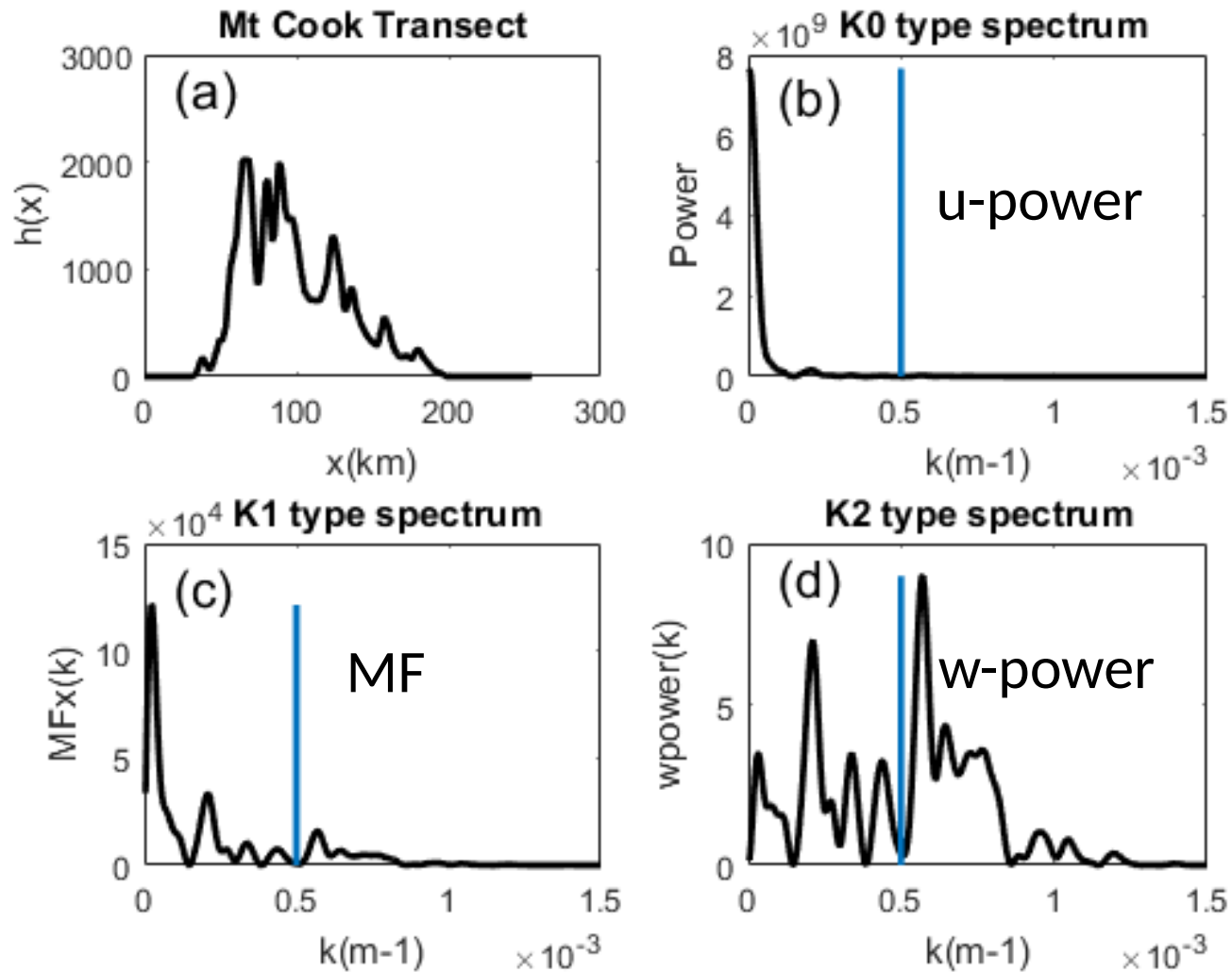
(blue line: typical buoyancy cut-off)





# New Zealand transect, Mt. Cook

(blue line: typical buoyancy cut-off)



# For terrain with volume and roughness (hydrostatic results)

- Variance spectra are broad and varied
- Volume mode dominates the u-power
- Roughness mode dominates the w-power
- Both modes contribute to MF
  - Volume mode: large  $u'$  and small  $w'$
  - Roughness mode: small  $u'$  and large  $w'$



# Measuring GWs and MFs

- T' measurements mostly see the Volume mode
  - Passive IR (e.g. AIRS)
  - Rayleigh LIDAR
- U' measurements mostly see the Volume mode
  - Ascending balloons
  - VHF Doppler radar
  - Constant pressure balloons
- W' cannot be inferred from T' and U' as polarization relations do not apply to broad spectra. Can't get MF.
- Aircraft can directly observe U' and W'

# Non-hydrostatic mountain waves near the buoyancy cut-off

Evanescent

Vertically Propagating

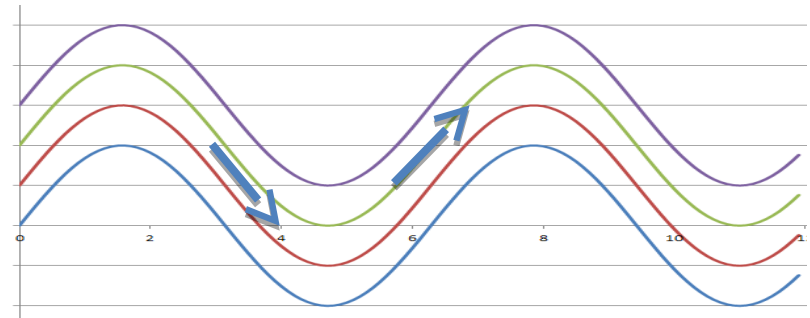
Wavelength  $\rightarrow$

$$k = N/U$$

$$\lambda = 2\pi U/N \sim 10\text{km}$$

$$m = \left(\frac{N}{U}\right) \left[1 - \left(\frac{kU}{N}\right)^2\right]^{1/2} \rightarrow 0$$

$$\hat{u} = \left(\frac{m}{k}\right) \hat{w} \rightarrow 0$$



$m=0$

constant streamline spacing

$u'=0$

# Short waves in the stratosphere

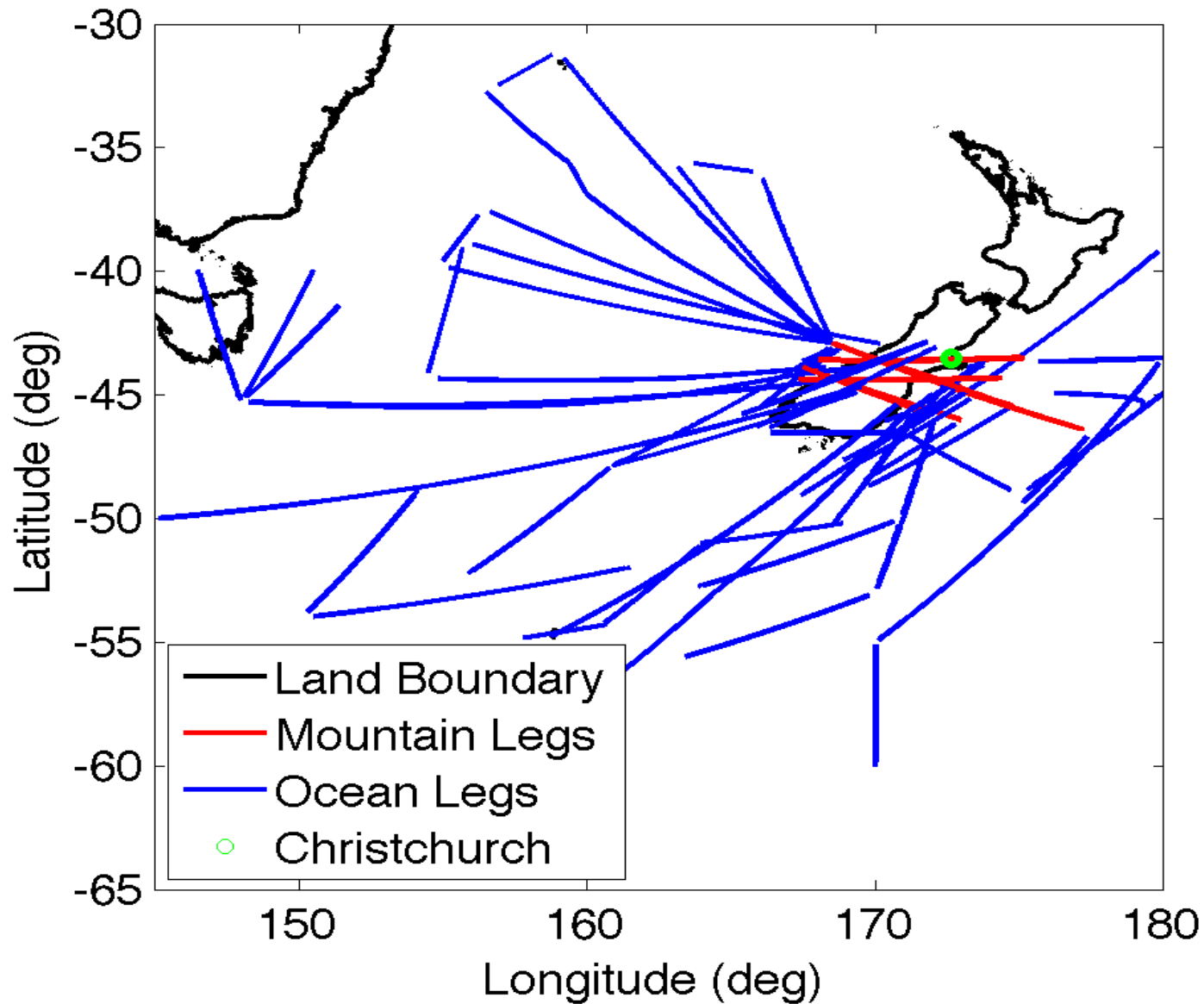
- Wavelengths near 10km may be found in the stratosphere over rough terrain due to their slow decay or leakage.
- They will have strong w-power but little u-power or MF
- Wavelengths near 5km may be generated by rough terrain but will not reach the stratosphere due to evanescence.

# DEEPWAVE GV legs over New Zealand

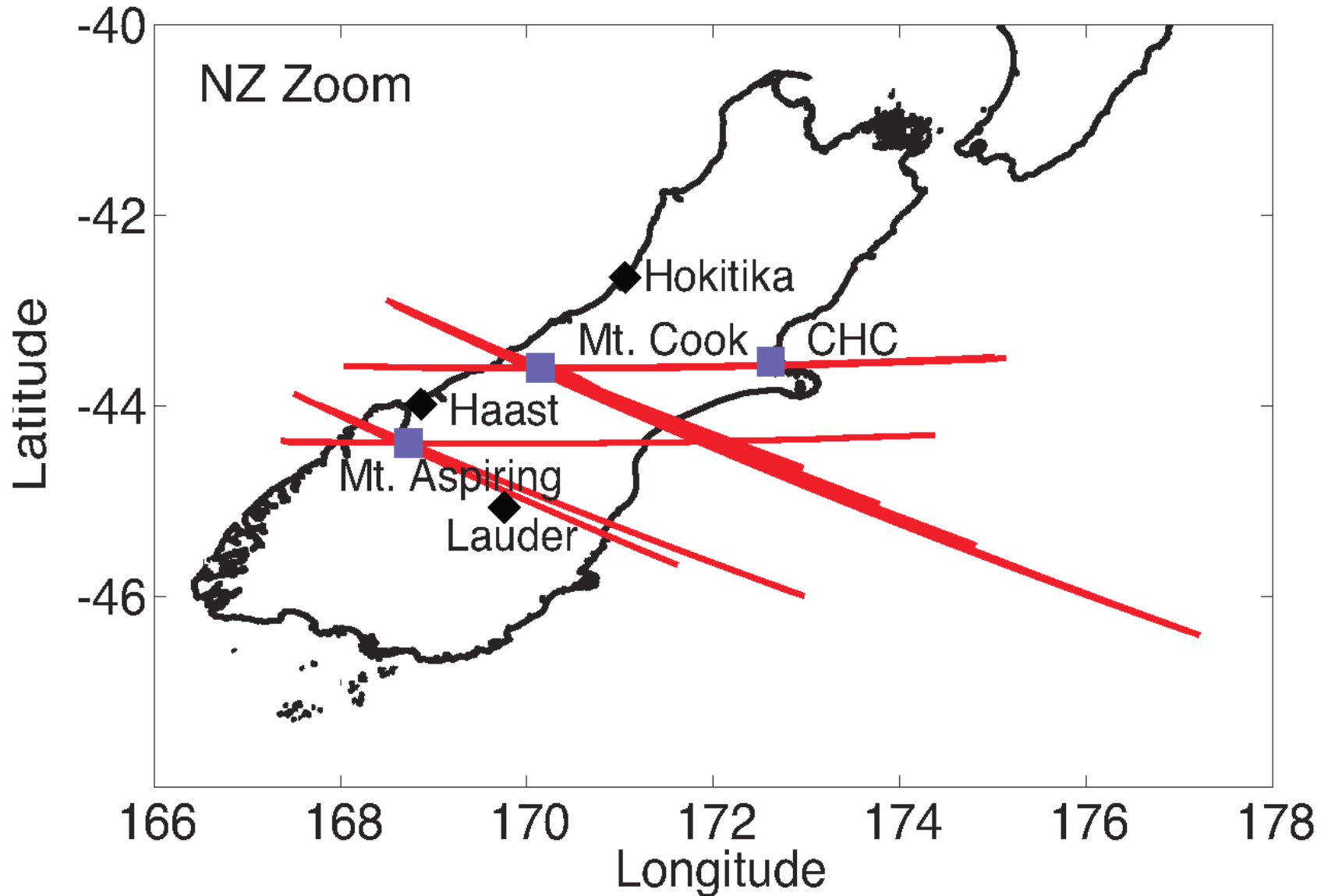


- Observing period: SH Winter: June/July 2014
- Total (26 flights, 180 hours)
- Over New Zealand (97 legs; 49.1 hours)
- Over Ocean (157 legs; 84.3 hours)
- Altitude: mostly 12.1km
- Typical leg length: 350km
- **Variables measured: u,v,w,p,T**
- Publications
  - Smith et al. 2016, *J. Atmos. Sci.*
  - Smith and Kruse, 2017, *J. Atmos. Sci.*

# NGV Legs: mostly at z=12.1km

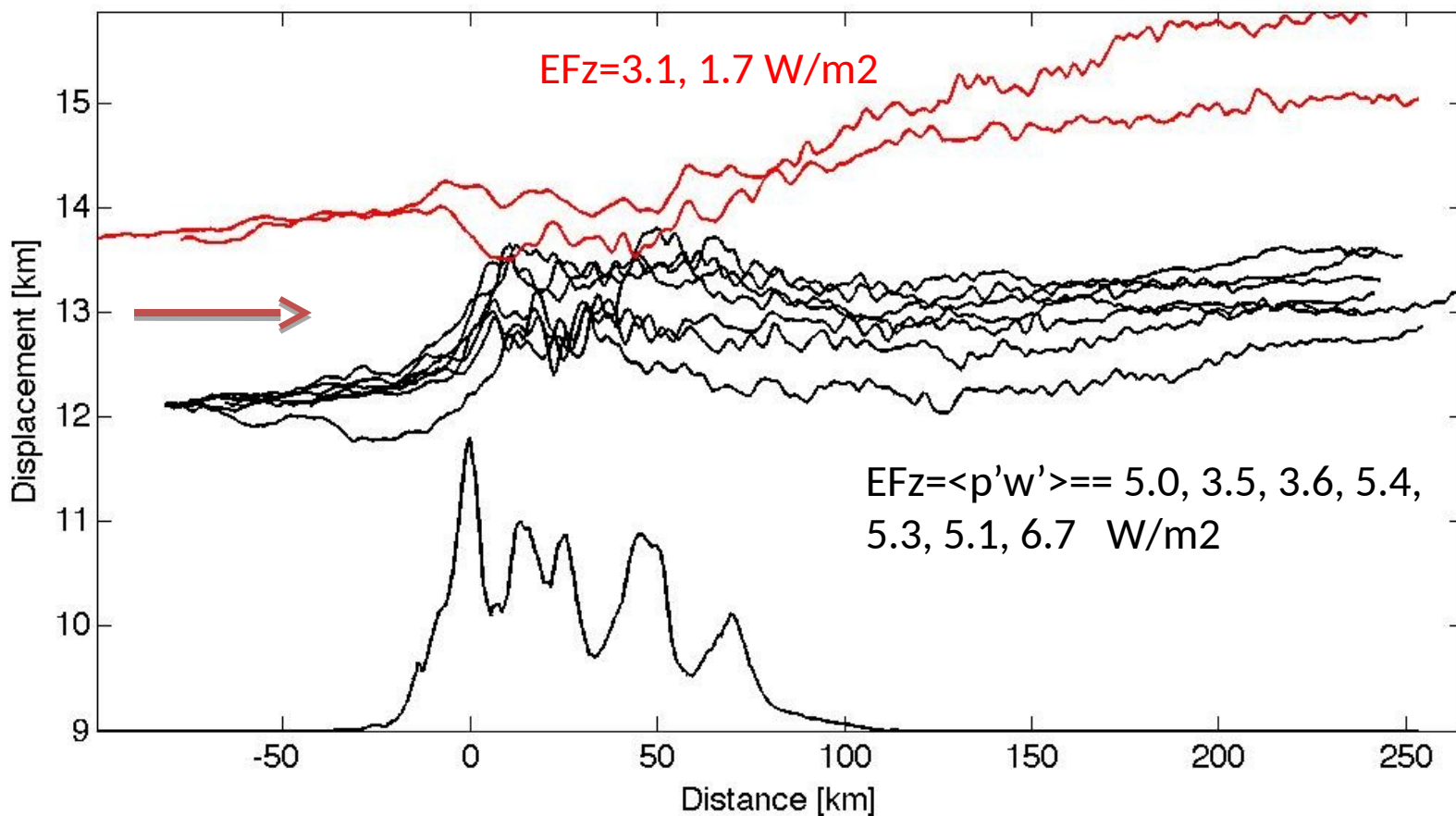


# NGV Legs over New Zealand (mostly at z=12.1km)



Mt  
Cook

# RF05: 9 Legs Vertical displacement



Mountain to scale but offset vertically  
Vertical exaggeration = 25:1



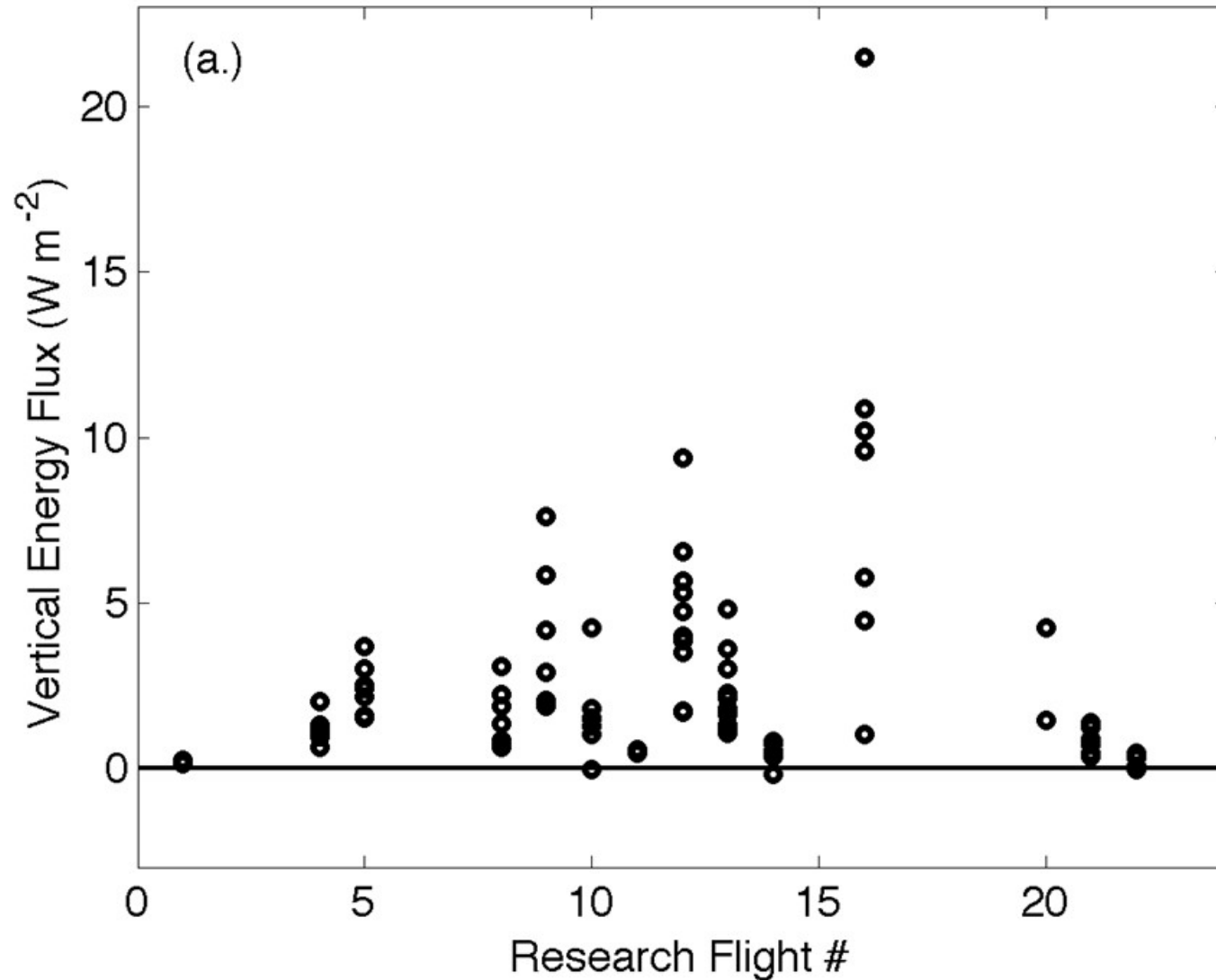
# Flight level Flux calculations

The fluxes are computed from

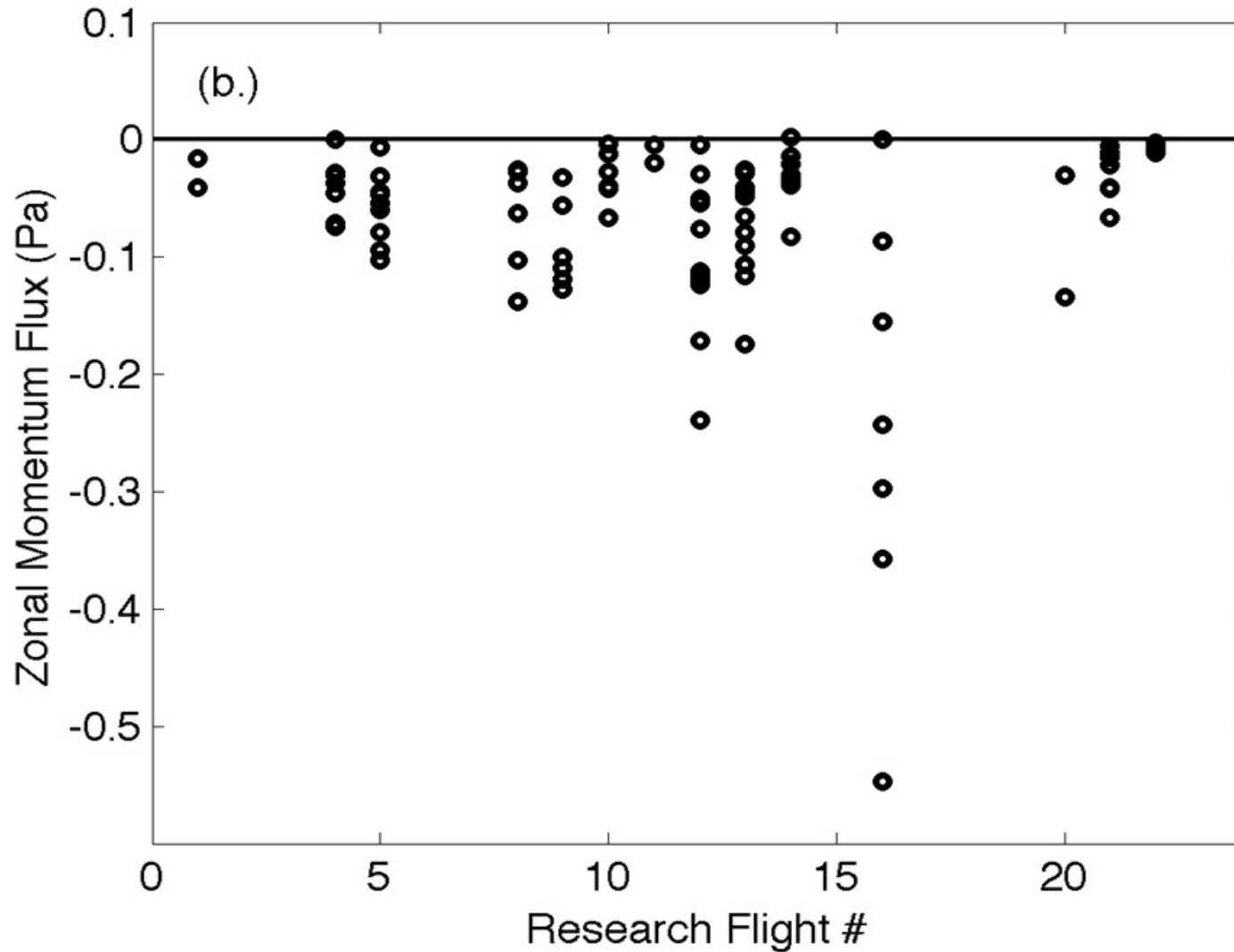
- $MFx = \bar{\rho} \langle u'w' \rangle$
- $MFy = \bar{\rho} \langle v'w' \rangle$
- $EFz = \langle p'w' \rangle$
- $EFx = \langle p'u' \rangle$
- $EFy = \langle p'v' \rangle$
- $EFZM \equiv -(U^*MFx + V^*MFy)$  (Eliassen-Palm, 1960)
- $NER = (u'^2 + v'^2) / (U^2 + V^2)$



# Vertical Energy flux for 14 NZ flights

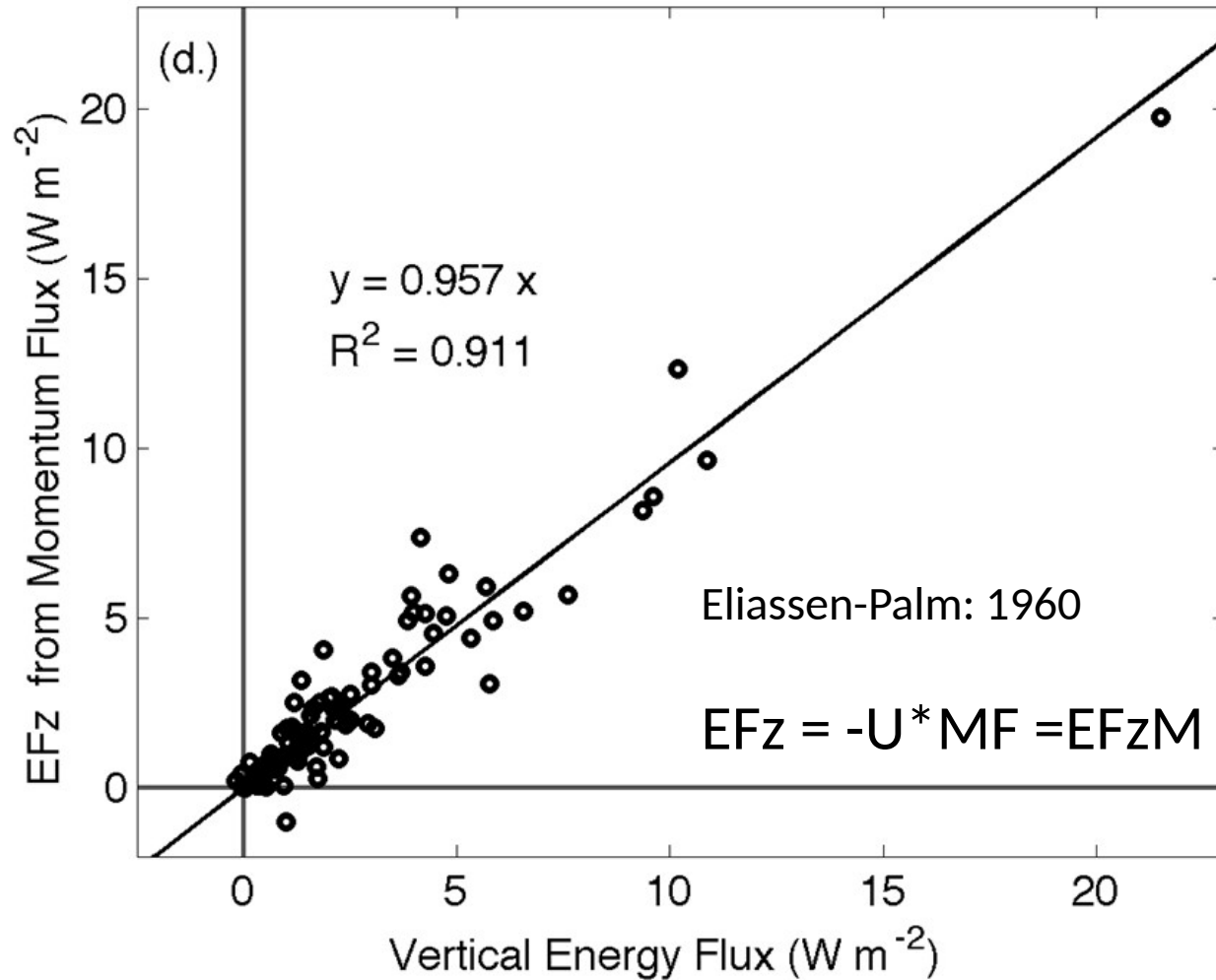


# Zonal Momentum Flux for 14 NZ flights



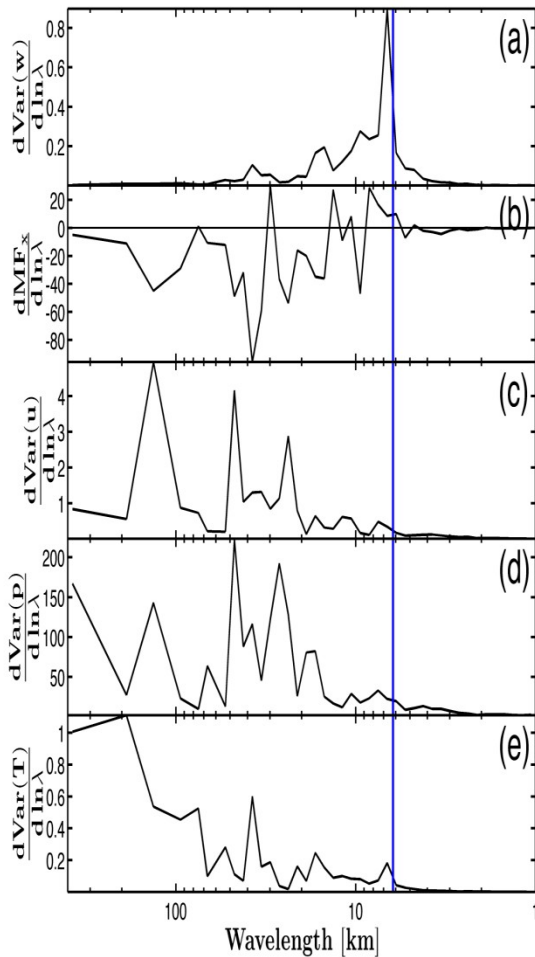
# Comparing Energy and Momentum Fluxes

## EFz versus EFzM

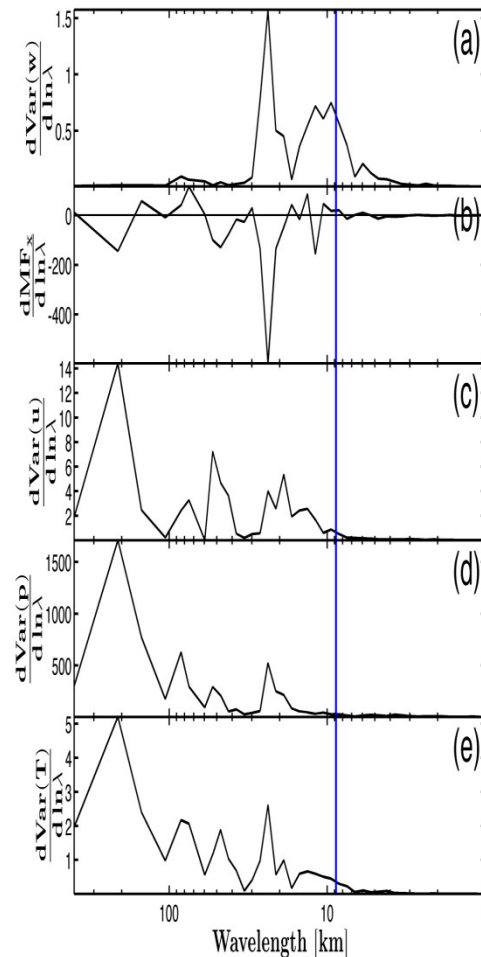


Implies  $u'$  and  $p'$  are proportional

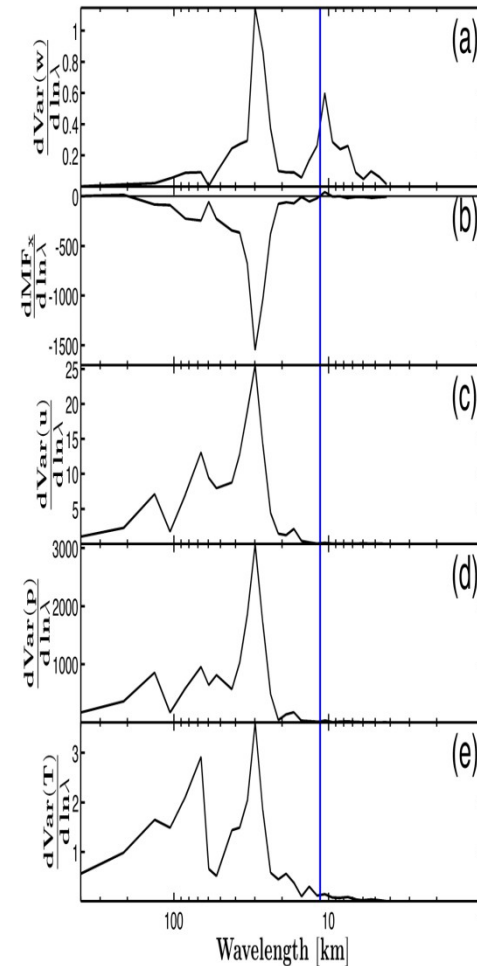
# GV Aircraft Mountain Wave Spectra



RF05



RF09



RF16

Blue line is the buoyancy cut-off

w-power

MF<sub>x</sub>

u-power

P-power

T-power

# DEEPWAVE cross-terrain flight statistics

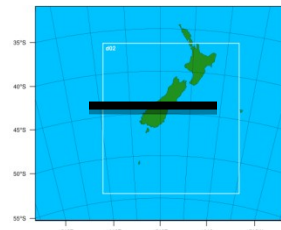
Volume Mode: ; Roughness Mode:  $\lambda < 60km$

TABLE 2. Volume and roughness contributions to 92 DEEPWAVE aircraft leg variances ( $m^2 s^{-2}$ ).

	Volume	Roughness	Total
$w$ power	0.02	0.41	0.45
MFx	-0.030	-0.030	-0.070
$u$ power	7.4	1.9	10.5

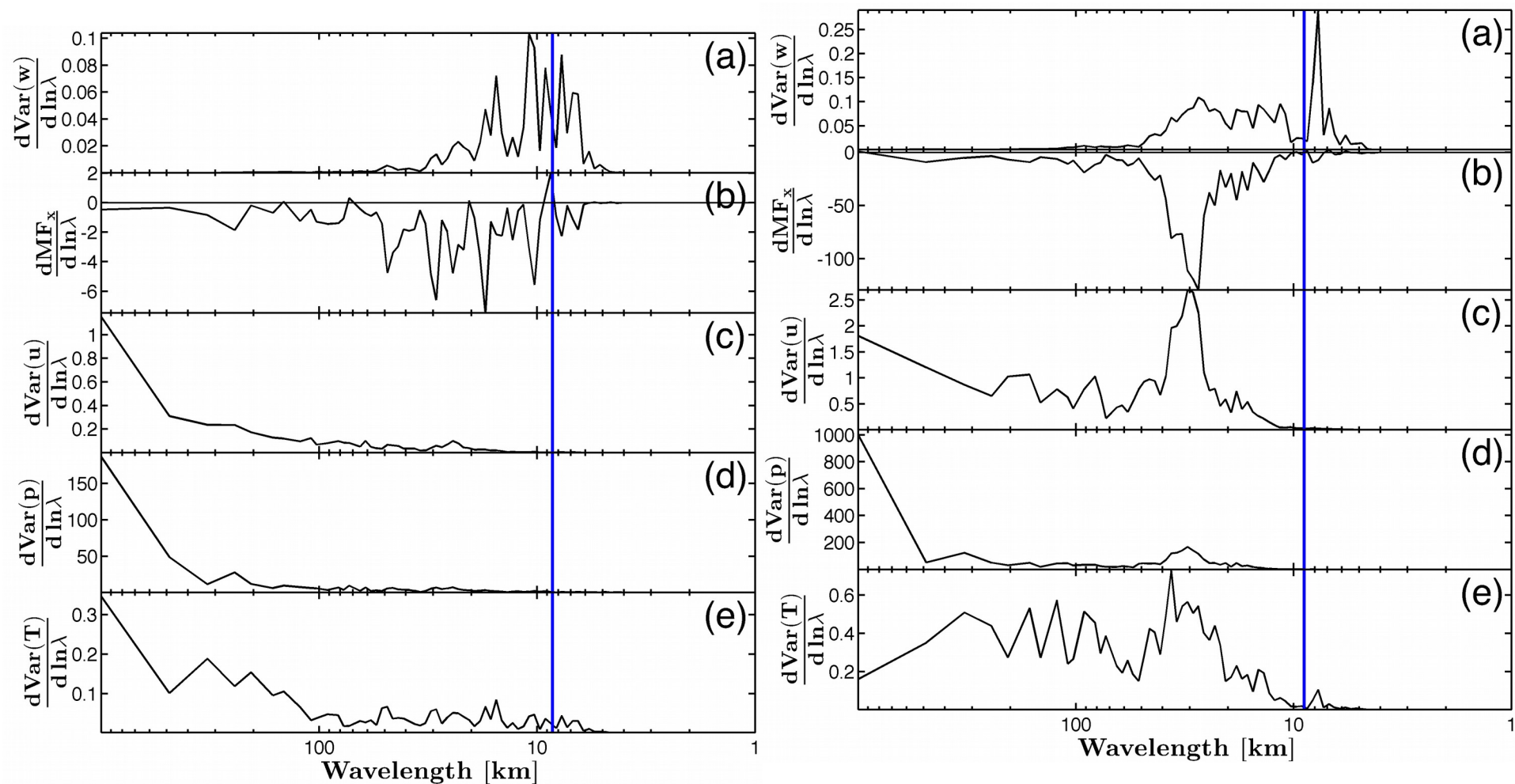


# WRF Spectra for RF days during Deepwave



RF04 15UTC 14 June

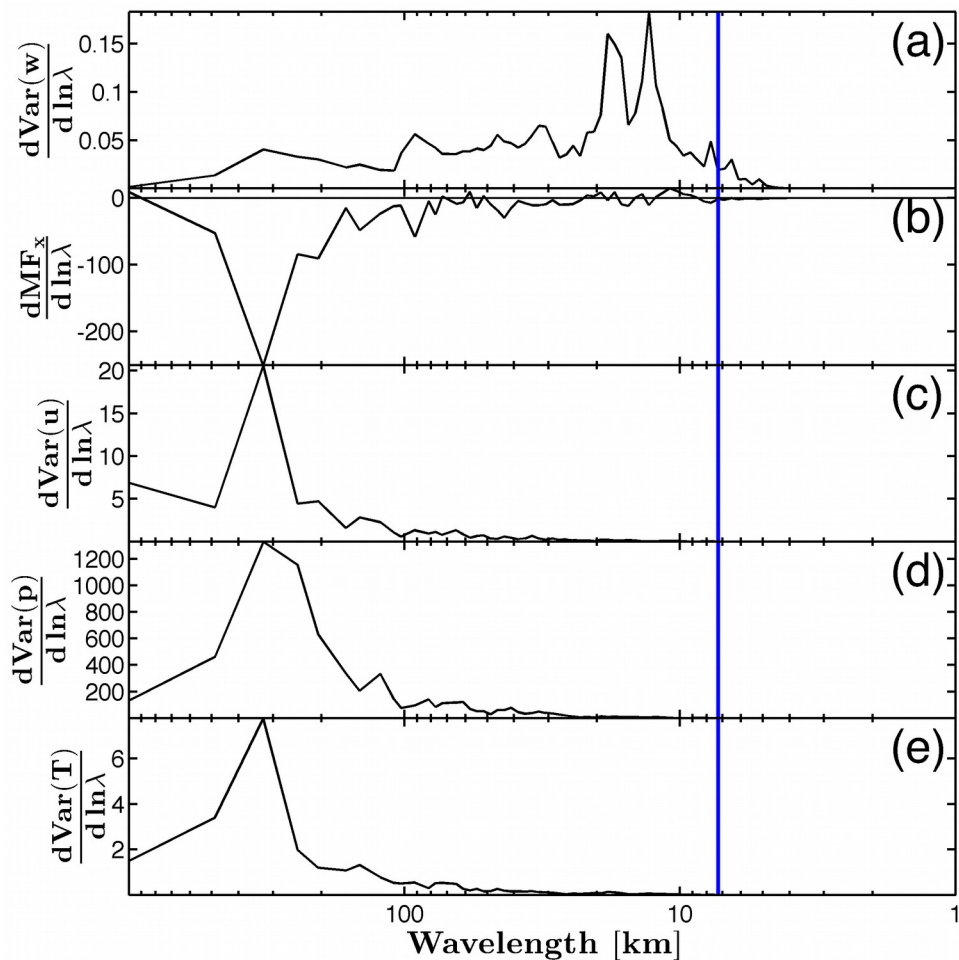
RF08 00UTC 19 June



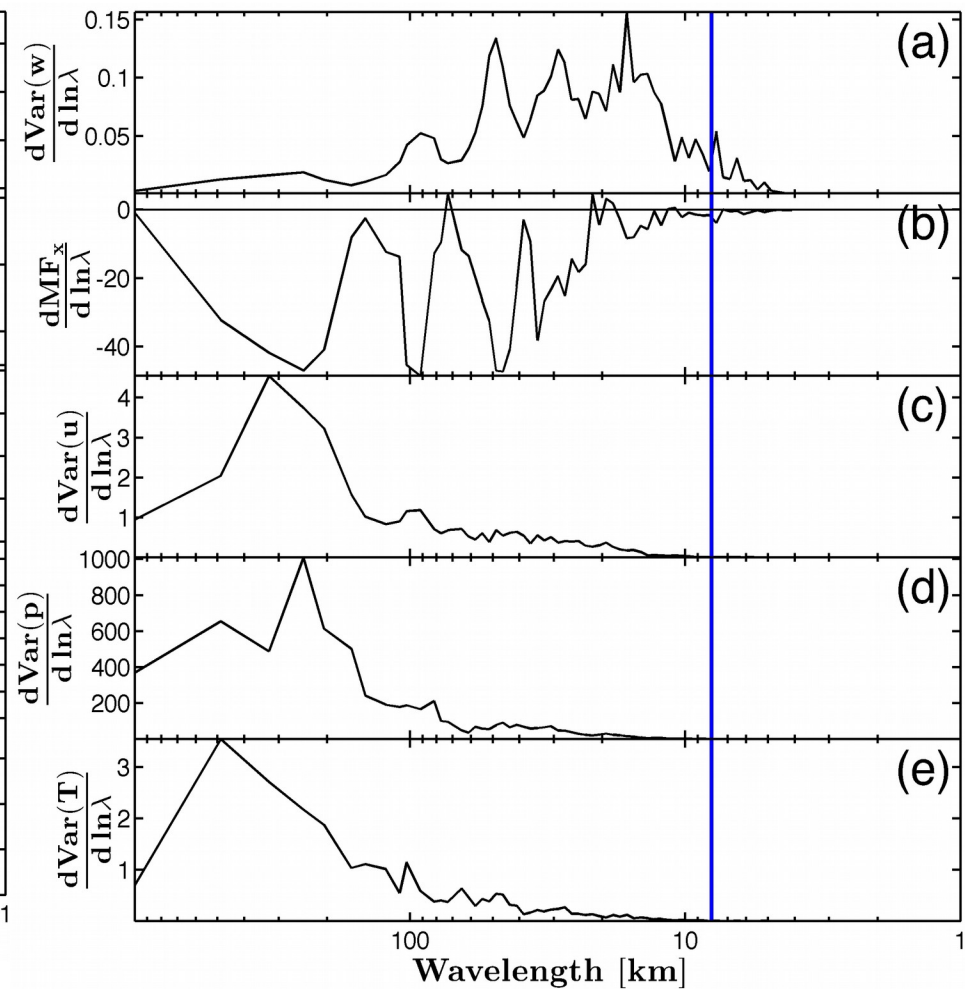
12Hour averages

# WRF Spectra for RF days

RF09 15UTC on 24 June



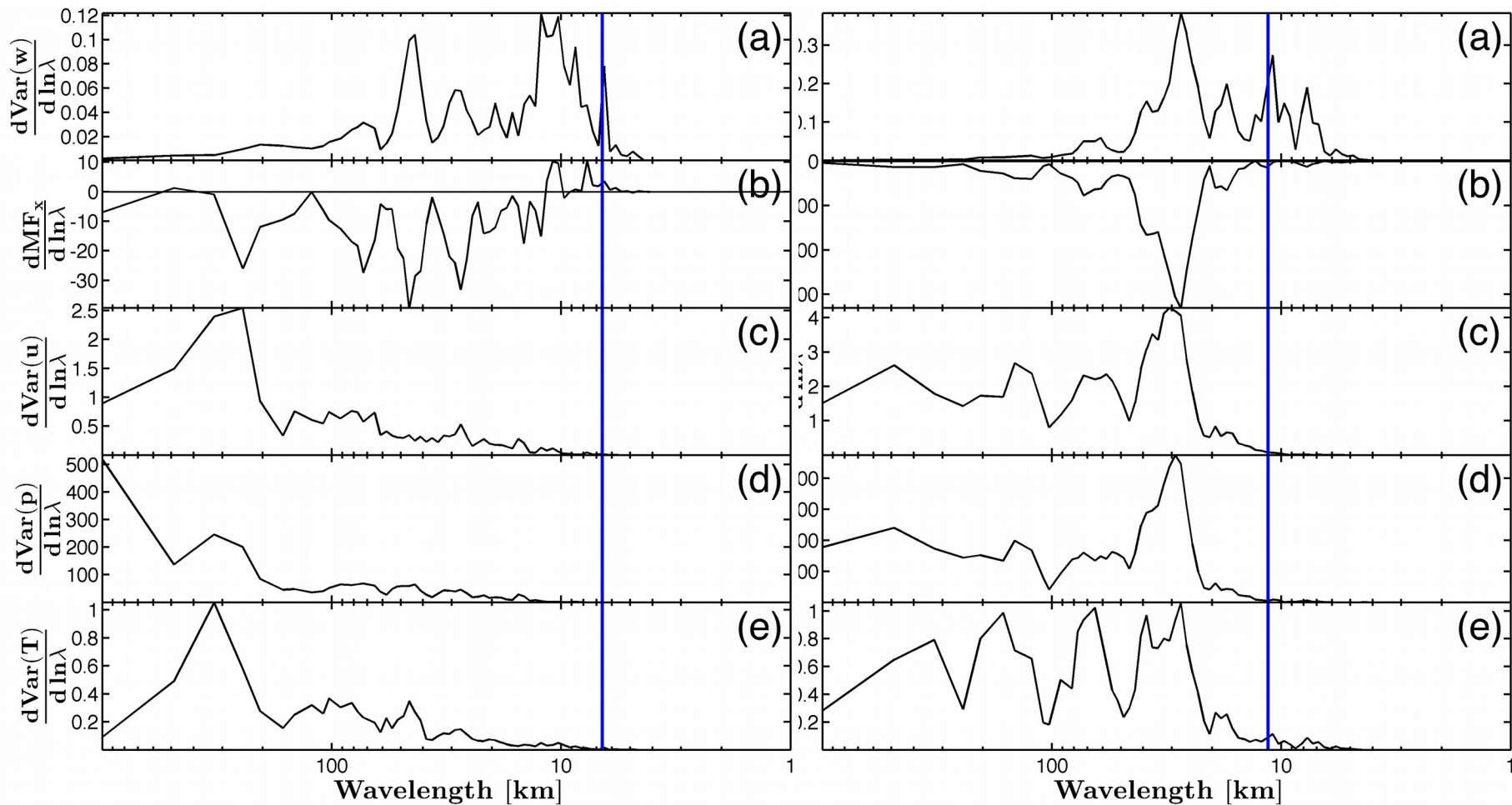
RF09 03 UTC on 25 June



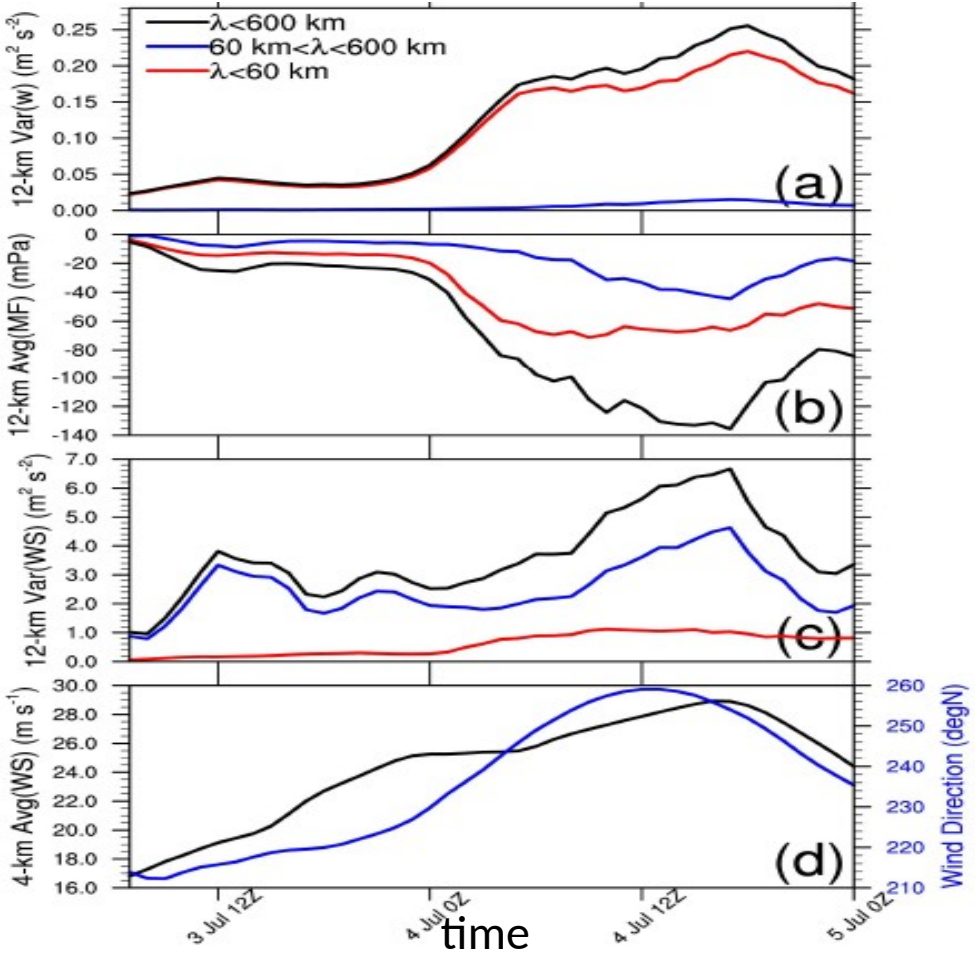
# WRF Spectra for RF days

RF12 09 UTC 30 June

RF16 07 UTC 4 July



# 2km WRF Simulation: 3-day wave event; z=12km



w-power

MFx

u-power

Wind Speed  
& Direction

Red = Red = Roughness Mode  $< 60 \text{ km}$   
 Blue = Blue = Volume Mode  $> 60 \text{ km}$   
 Black = Total

# DEEPWAVE Mountain Waves

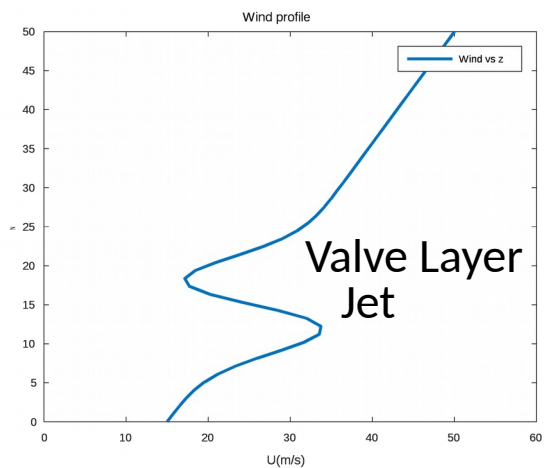
## Spectral Categories

- **Roughness Mode I:**  $\lambda = 8 \text{ to } 15 \text{ km}$ 
  - Near the buoyancy cut-off, Non-hydrostatic
  - Large w-power, Very little u-power or MF
- **Roughness Mode II:**  $\lambda = 15 \text{ to } 60 \text{ km}$ 
  - Nearly hydrostatic
  - Large w-power, Small but significant u-power
  - Largest Momentum Flux
- **Volume Mode:**  $\lambda = 60 \text{ to } 300 \text{ km}$ 
  - Hydrostatic
  - Large u-power, P-power and T-power
  - Small but significant w-power
  - Significant Momentum Flux

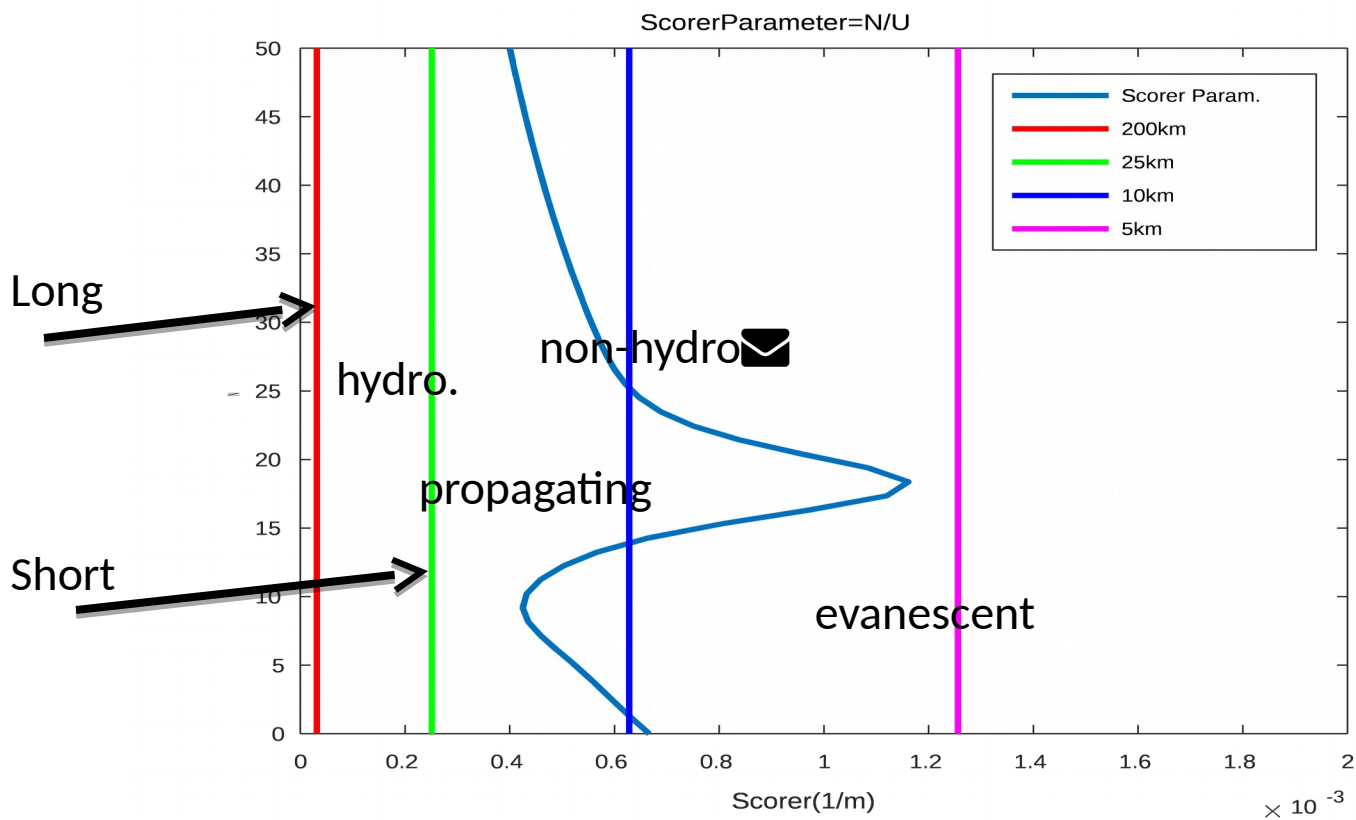
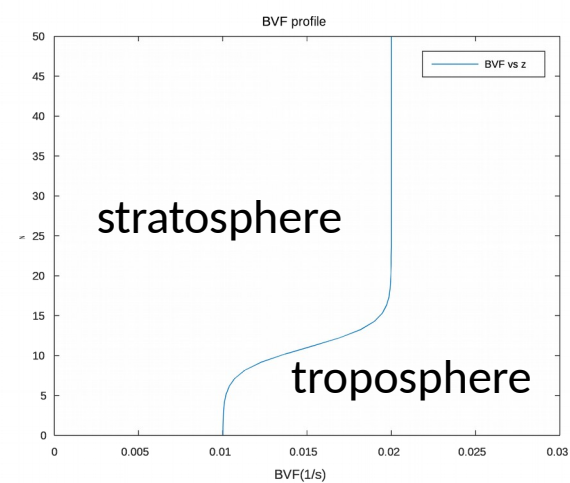
# Fate of Mountain Waves in the Stratosphere: A spectral approach

- Questions
  - How do the broad spectrum waves, observed by aircraft at  $z=12\text{m}$ , propagate and break down in the stratosphere?
- Method
  - Split spectrum into long and short propagating waves with equal MFs ( $L>60\text{km}$  and  $L<60\text{km}$ )
  - Project upwards using the hydrostatic assumption and constant MF
  - Use a generic Deepwave sounding



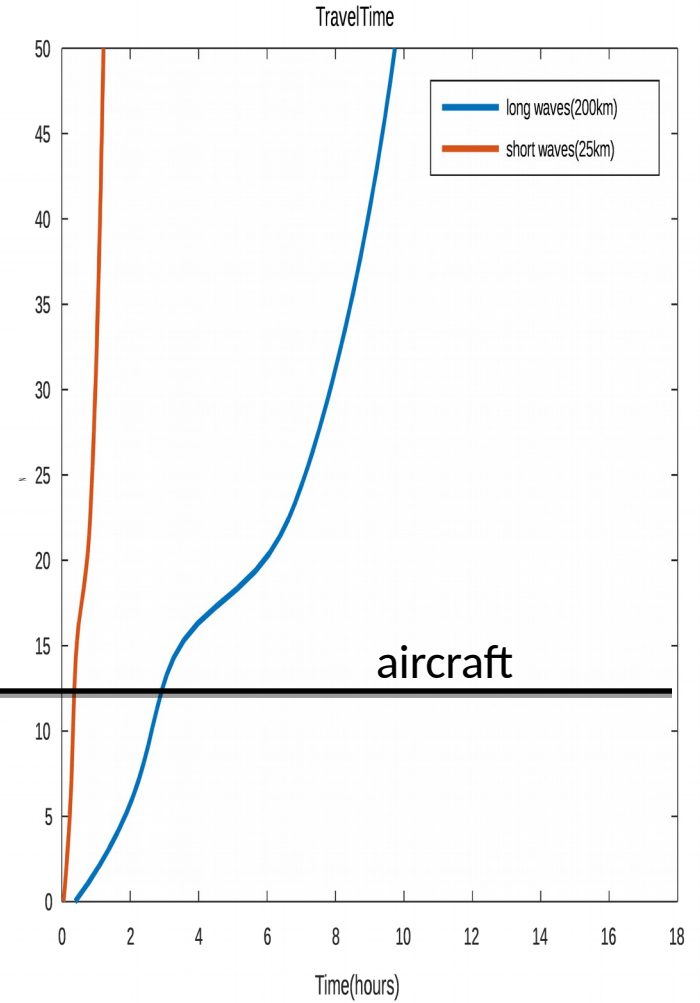
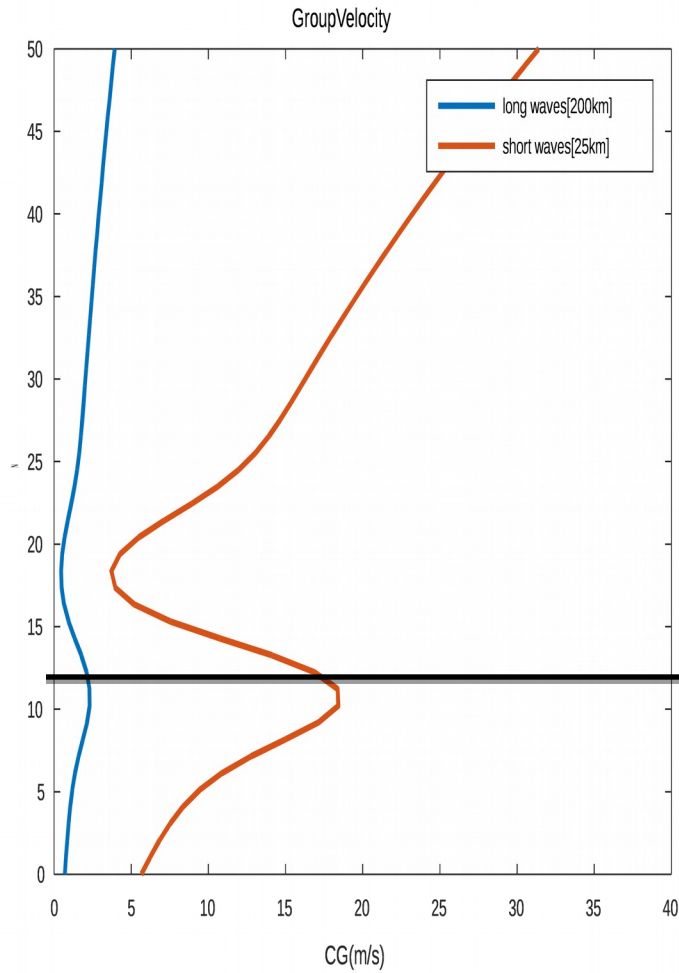


# Generic Deepwave Sounding





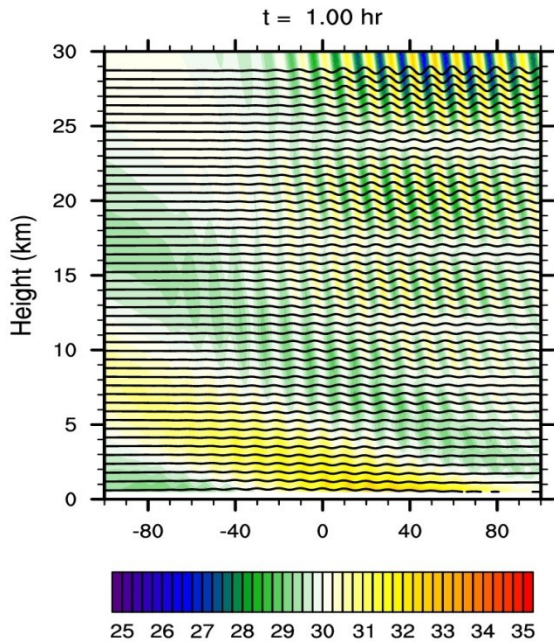
# Group Velocity and Travel Time



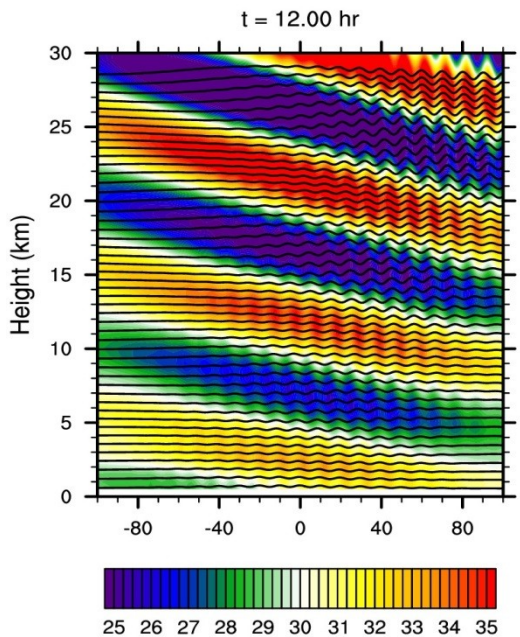
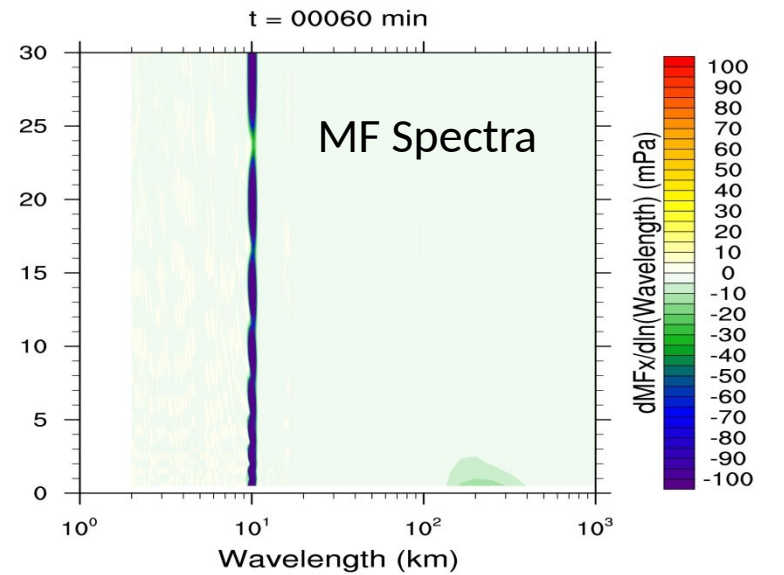
$$Cg = kU^2 / N$$

$$Time(z) = \int_0^z \frac{dz}{Cg}$$

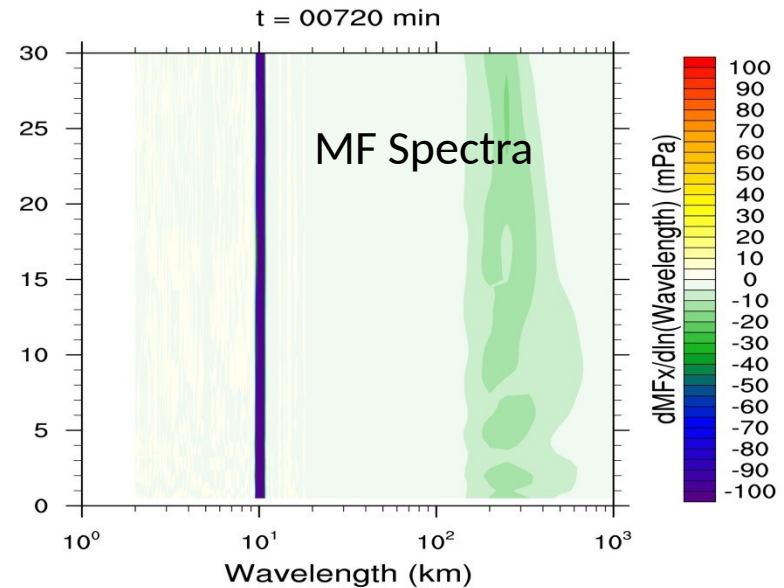
# Idealized WRF simulated 12 hour wave event with rough terrain



**T=1 hour**  
 Roughness waves reach 30km.  
 $C_{gz}=9\text{m/s}$   
 Small u-power  
  
 Volume mode waves reach 10km.  
 $C_{gz}=1.4\text{m/s}$



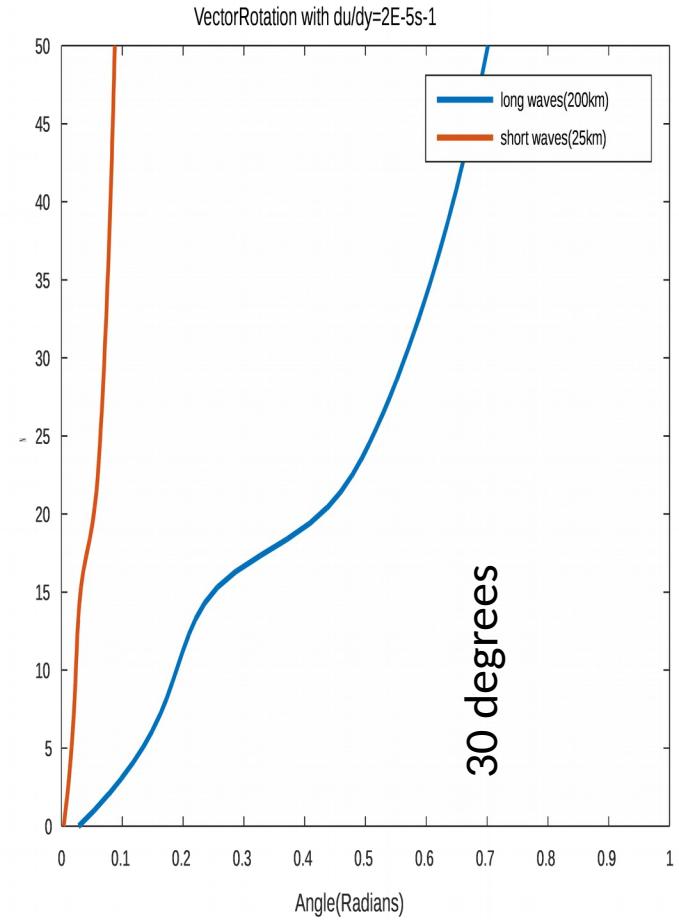
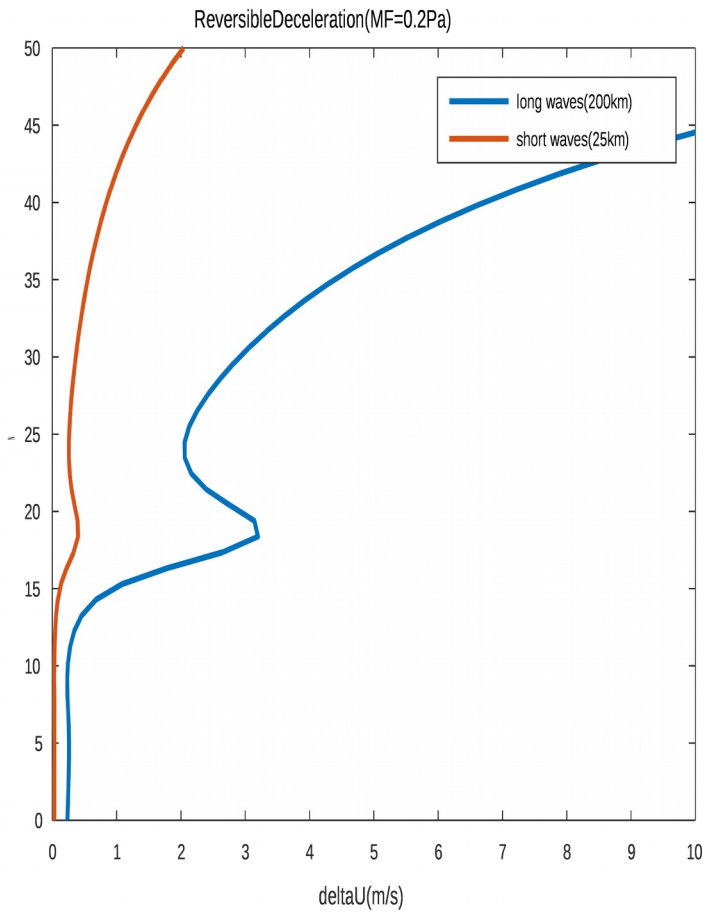
**T=12 hours**  
 Volume mode waves reach 30km.  
 Large u-power  
 Susceptible to breaking



**Ideal Rough Hill**  
 $n=20, \lambda=10\text{km}, \lambda_c=9.4\text{km}$   
 $n=20, \lambda=10\text{km}, \lambda_c=9.4\text{km}$

No shear

# Reversible deceleration and Wavenumber Vector Rotation

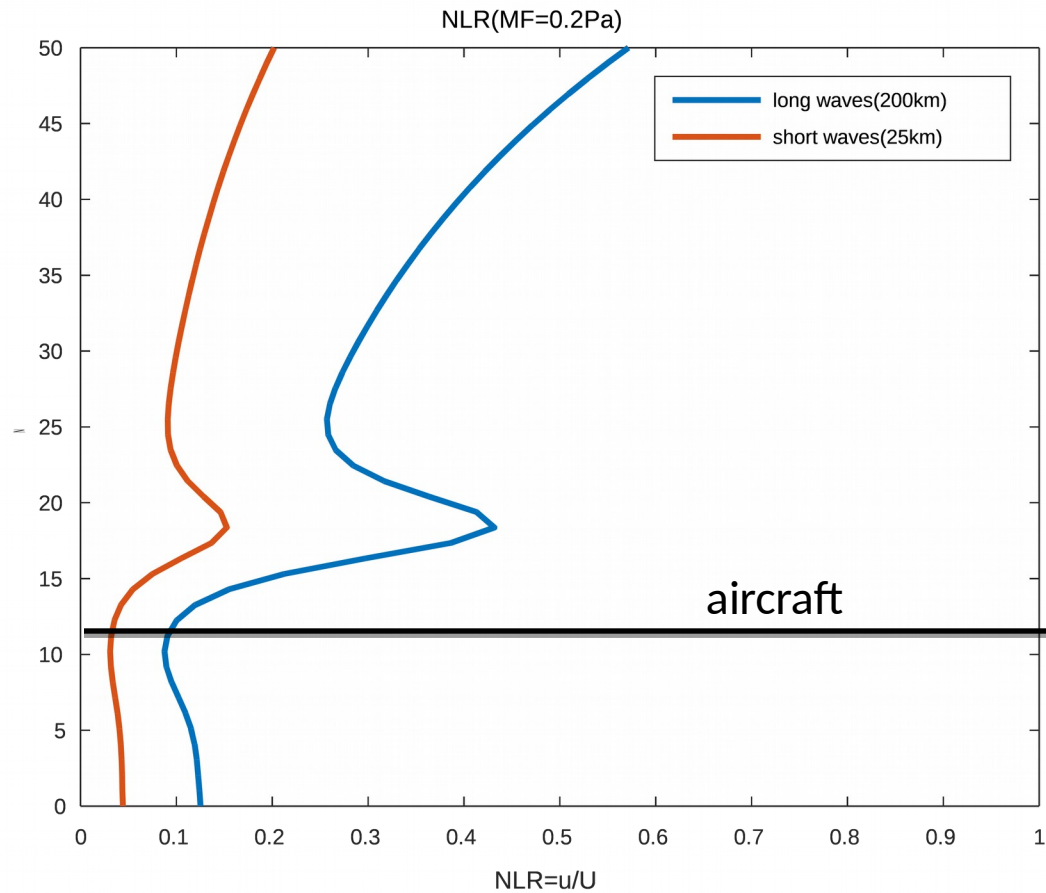


$$\Delta U = MF / (\rho C g)$$

$$\text{Rotation Angle} = \int_0^z (du/dy) \frac{dz}{Cg}$$

See next talk by Chris.

# Non-linearity Ratio and Wave Breaking



$$NLR = \left(\frac{u}{U}\right) = \sqrt{\frac{N[\text{constant } MF]}{\rho U^3 k}}$$

# Properties of Long and Short Hydrostatic Mountain Waves (i.e. 200 and 25km)

## Properties of the volume mode

1. Wavelengths from 60 to 300km
2. Carries half of the MF
3. Large u-power, small w-power
4. Detectable with T' and U'
5. Likely to break in the valve layer
6. Slow group velocity
  - a. Large time delay
  - b. Large refraction
  - c. Large reversible deceleration
7. Explicitly resolved in new GCMs

## Properties of the Roughness Mode

1. Wavelengths from 15 to 60km
2. Carries half of the MF
3. Small u-power, large w-power
4. Not seen with T' and U' instruments
5. Resistant to breaking until
  - a. High altitude
  - b. Impact of the volume mode; flow stagnation or turbulence
6. Fast group velocity
  - a. Little time delay
  - b. Little refraction
  - c. Little reversible deceleration
7. Must be parametrized; easier to do

# Implications for GWD schemes

- If the longer waves can now be explicitly resolved in global models, only the shorter waves need to be parametrized.
- The short waves have:
  - A narrower spectra
  - Faster group velocity
  - Little time delay
  - Little refraction
  - Little reversible deceleration
  - They are invisible to T' and U' sensors
- This may not be the right time to “improve” GWD schemes to include delay, refraction and reversible deceleration.
- Challenge: How to predict and verify short wave generation and dissipation?

The End



# Typical assumptions in GWD parametrization

1. Instantaneous propagation
2. Neglect Stokes drift (irreversible deceleration)
3. Vertical propagation (no refraction)
4. WKB; no reflection
5. Monochromatic
6. Saturation hypothesis
7. No Gray region ( no waves resolved, all parametrized)
8. Launching amplitude related to terrain roughness