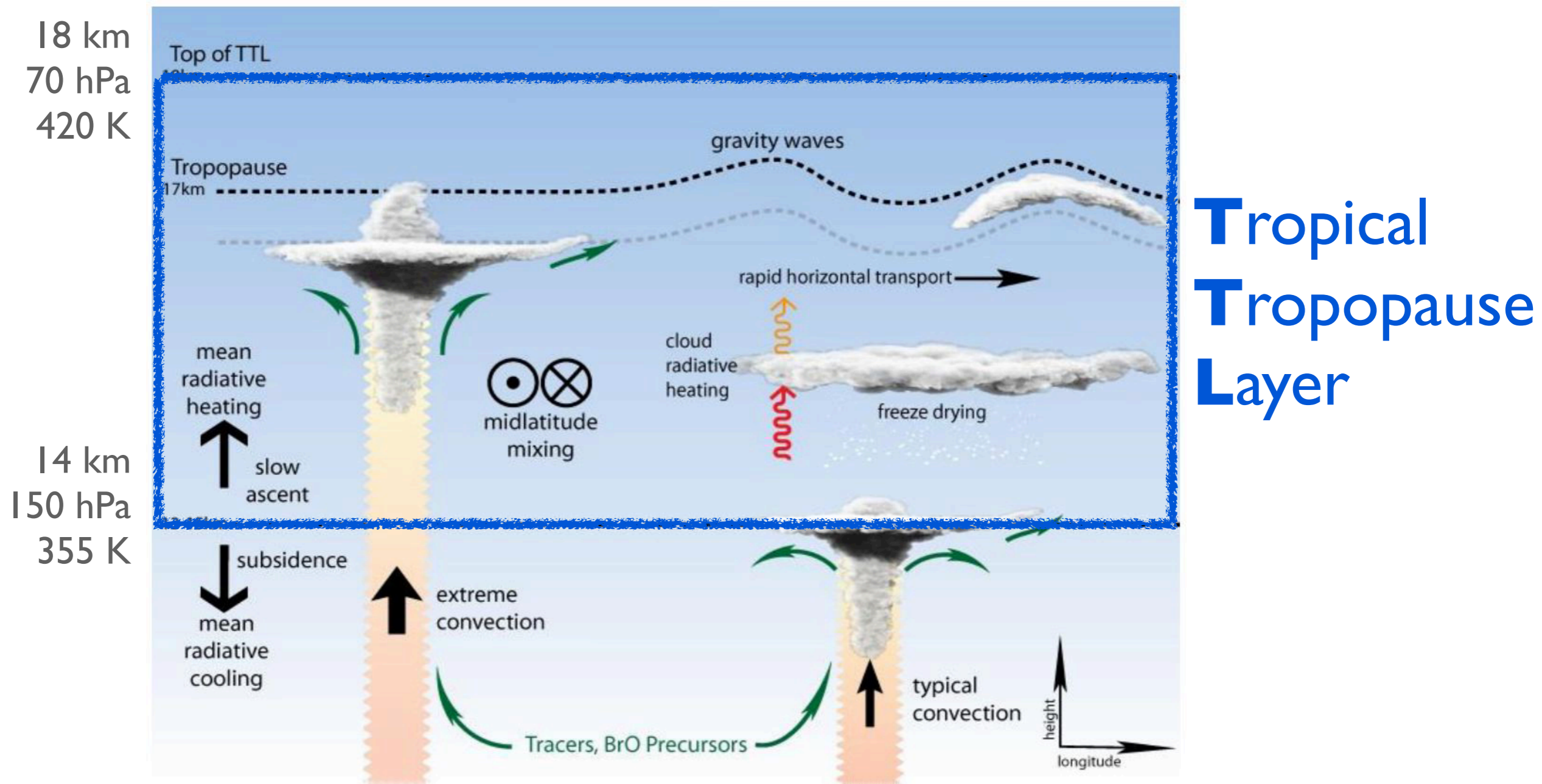


# Impacts of microphysics, convection and waves on wintertime distributions of TTL water and clouds

Rei Ueyama, Eric Jensen, Leonhard Pfister  
NASA Ames Research Center



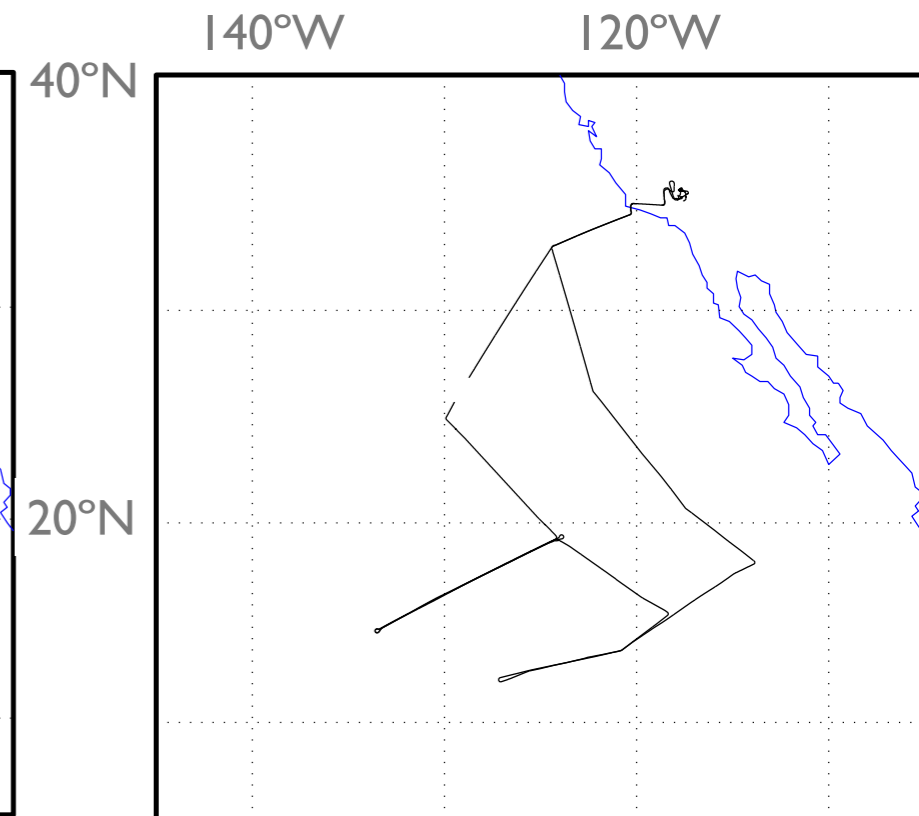
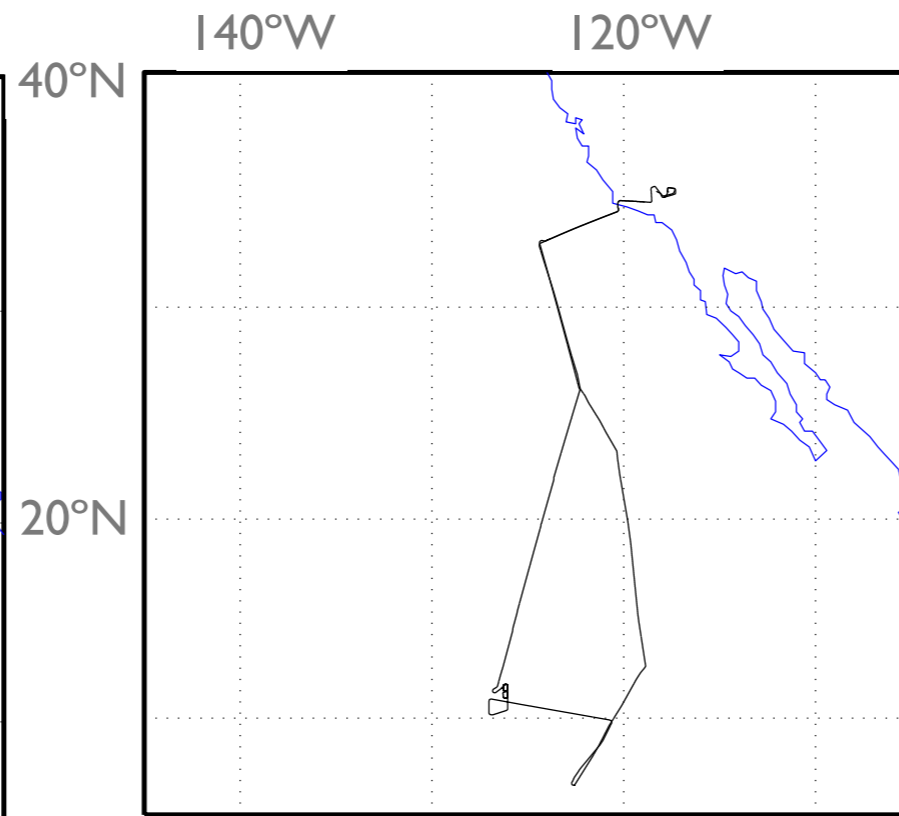
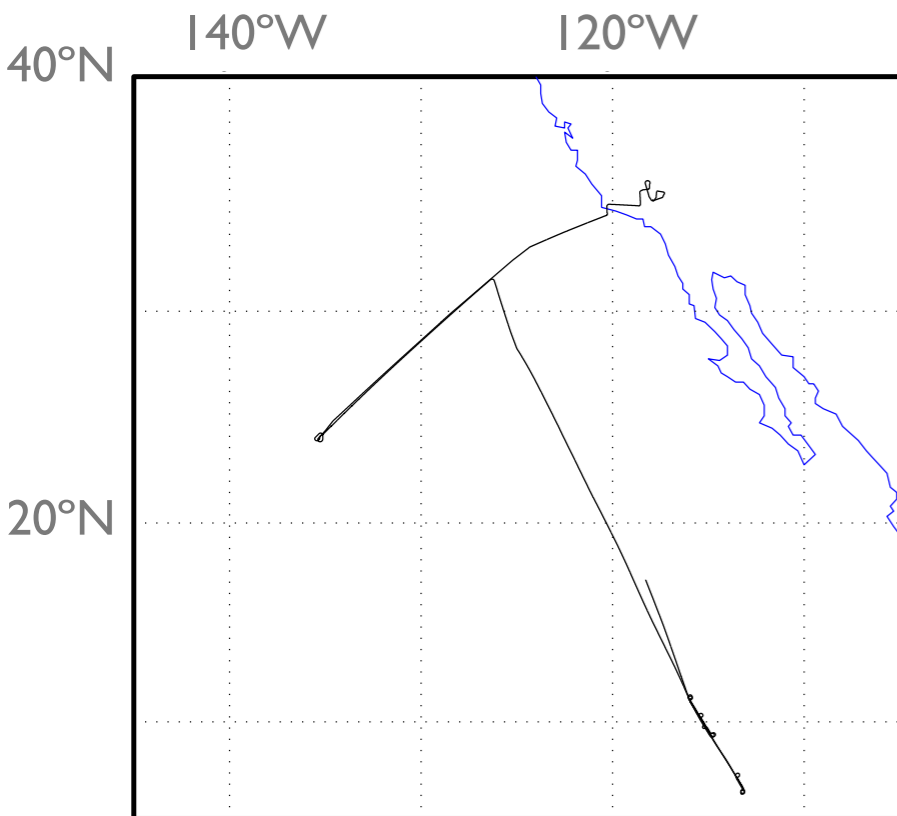


# ATTREX Fall 2011 Flight Tracks

28-29 Oct

5-6 Nov

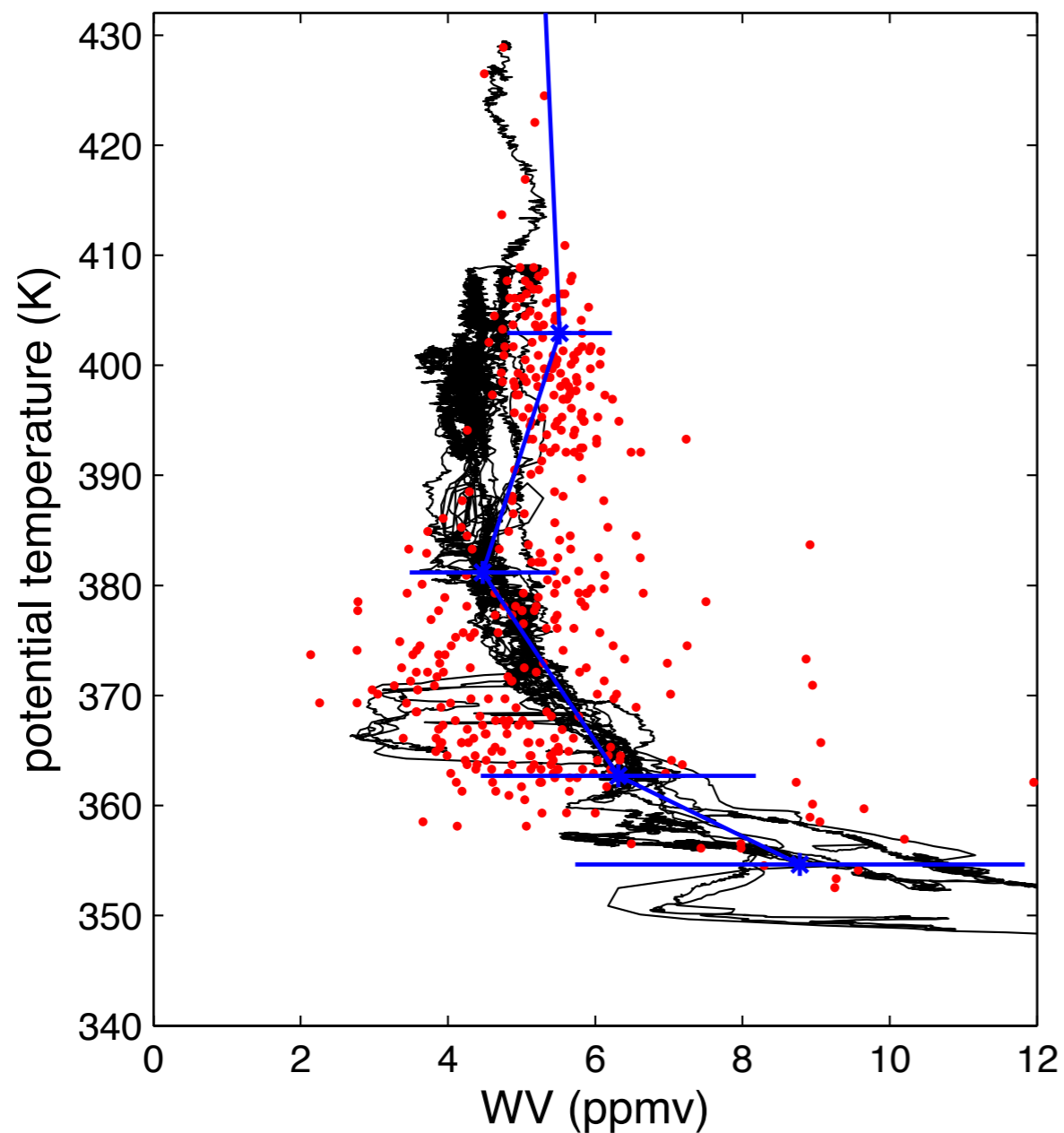
9-10 Nov



# Vertical profile of H<sub>2</sub>O

(5-6 Nov 2011)

**wave+micro+conv**



aircraft (DLH)

MLS

model

- waves  $-0.5$  ppmv
- microphysics  $+1$  ppmv
- convection  $+1 - 5$  ppmv

# Research Goal

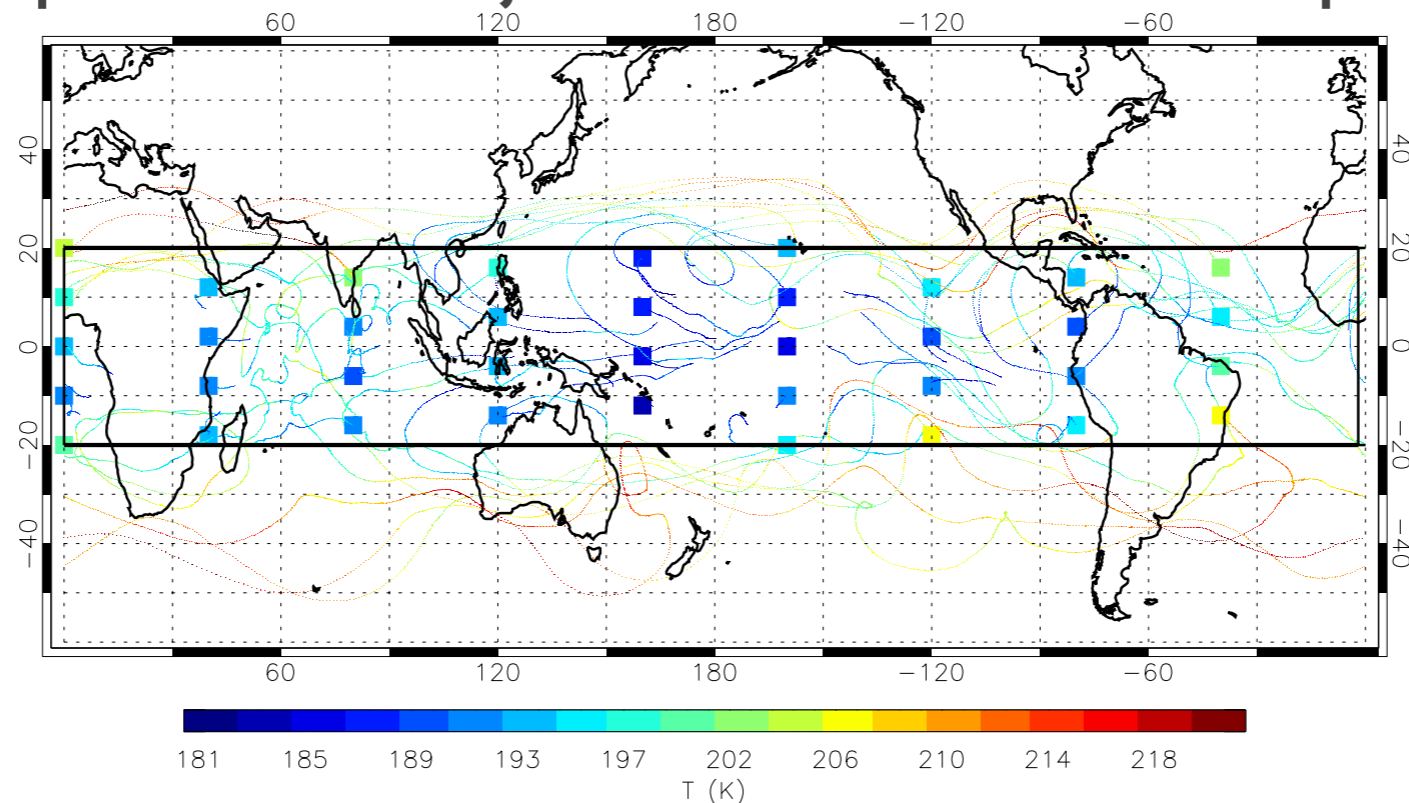
To apply our case study method to a global TTL study to improve understanding of processes (microphysics, convection, waves) that control TTL H<sub>2</sub>O and cirrus cloud formation

# Method

(modified version of *Jensen and Pfister 2004*, *Bergman et al. 2012*, *Ueyama et al. 2014*)

1. Calculate 60-day backward diabatic trajectories from every  $2^\circ$  lat x  $2^\circ$  lon grid points in the tropics ( $20^\circ\text{S}$  -  $20^\circ\text{N}$ ) at 371 K ( $\sim 100$  hPa) level ending at 1 Feb 2007 using ERA-Interim temperatures and winds

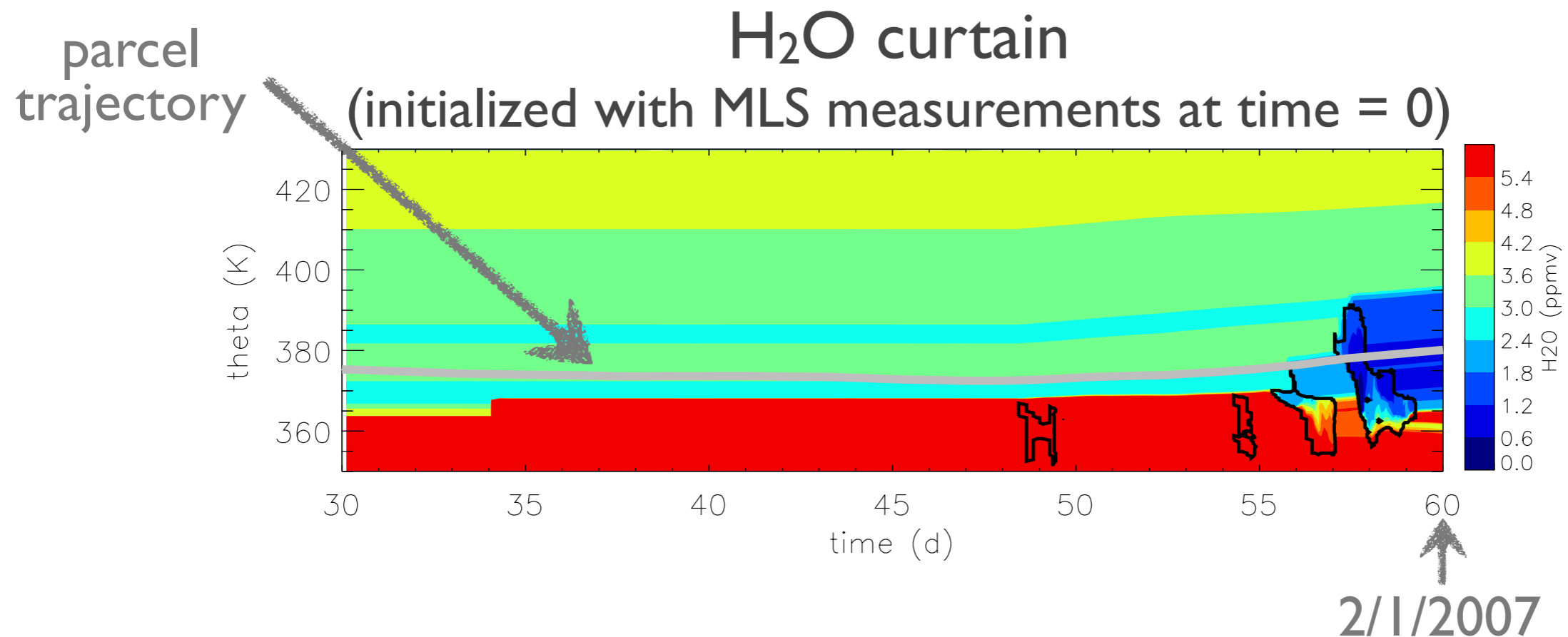
a sample of the trajectories and their temperatures



# Method

(modified version of *Jensen and Pfister 2004*, *Bergman et al. 2012*, *Ueyama et al. 2014*)

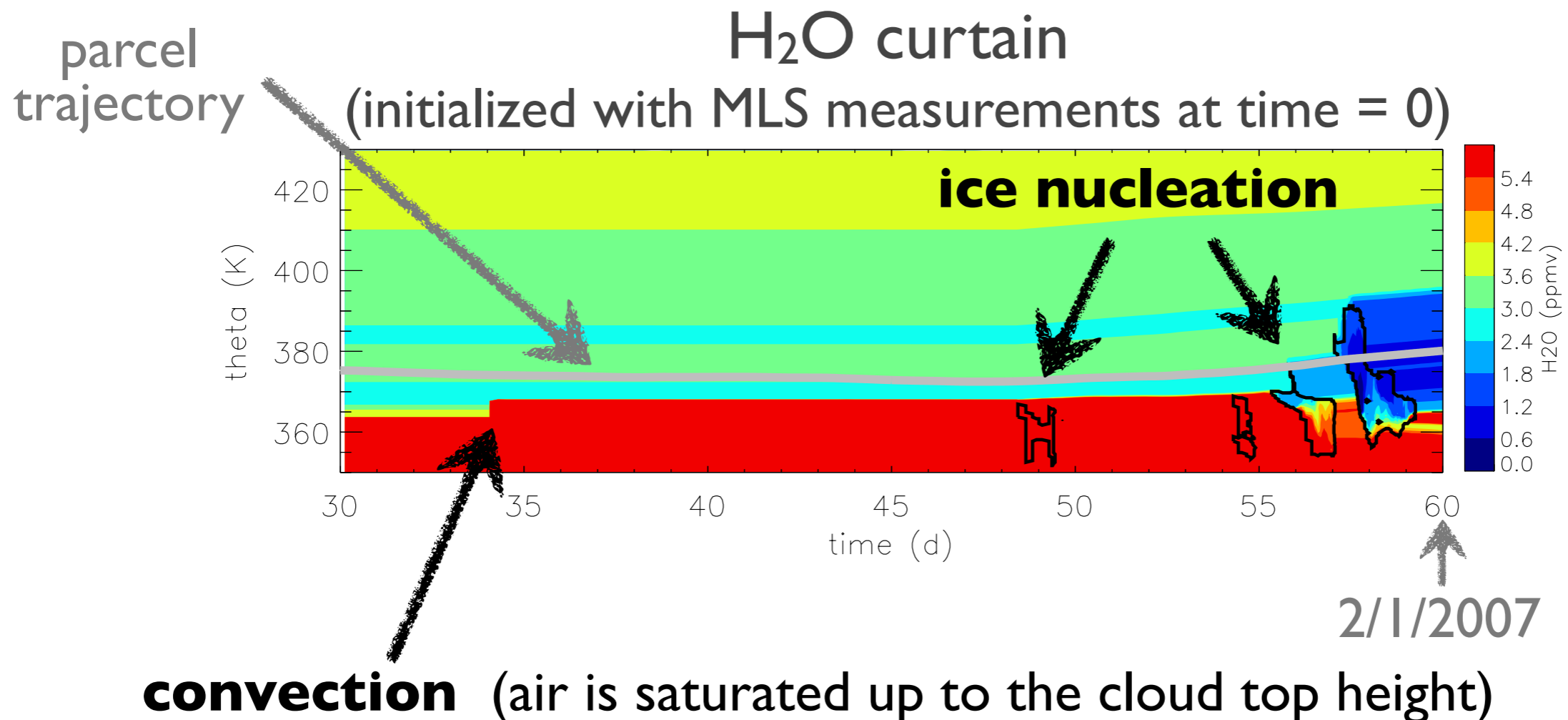
2. Use 1D (height) time-dependent microphysical model to simulate clouds along each parcel trajectory and calculate their time-integrated effects on H<sub>2</sub>O mixing ratio



# Method

(modified version of *Jensen and Pfister 2004*, *Bergman et al. 2012*, *Ueyama et al. 2014*)

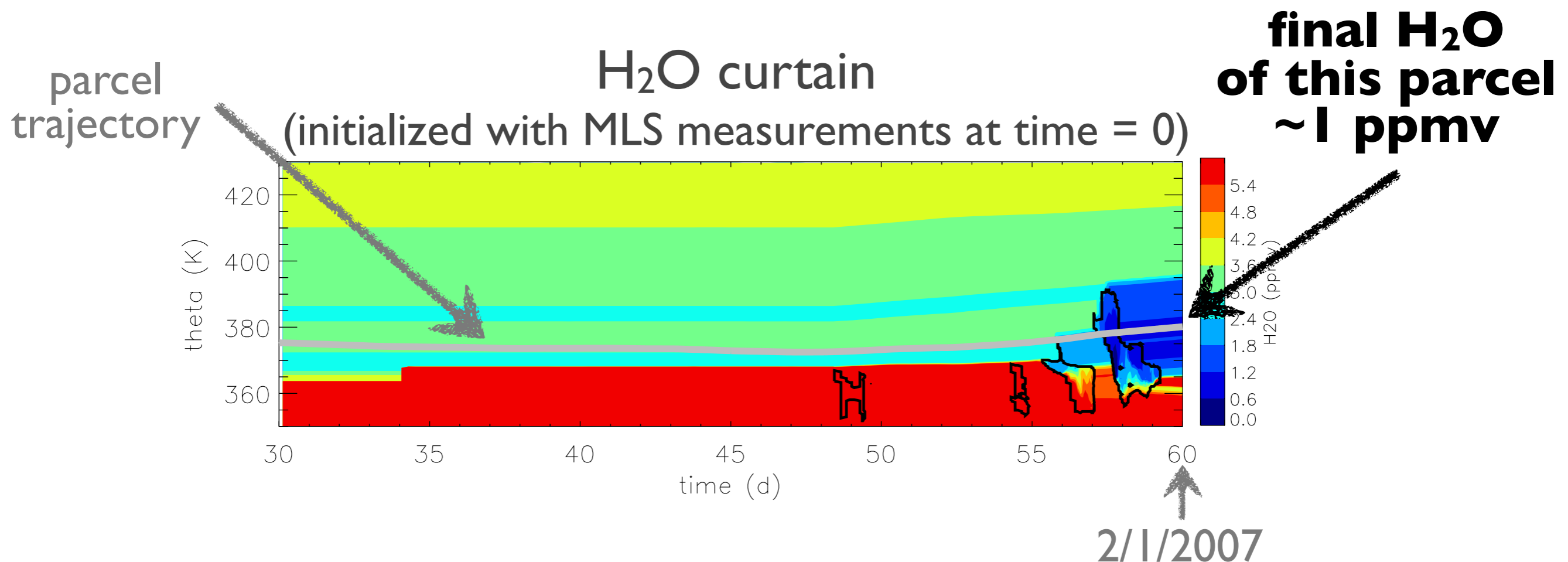
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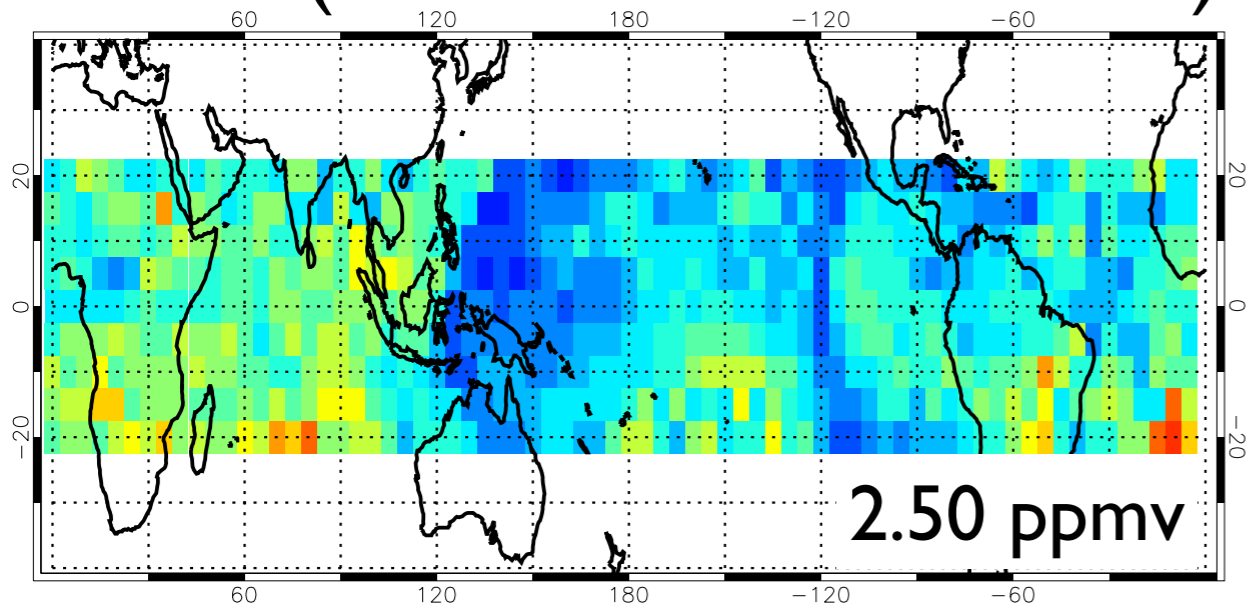
3. Compare the simulated H<sub>2</sub>O mixing ratios on the final day of the trajectories (MLS averaging kernel applied) with corresponding MLS measurements at 100 hPa



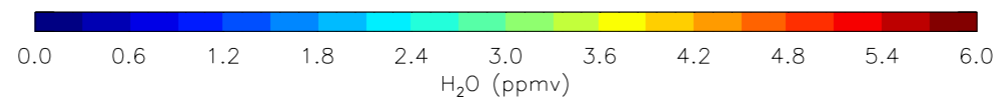
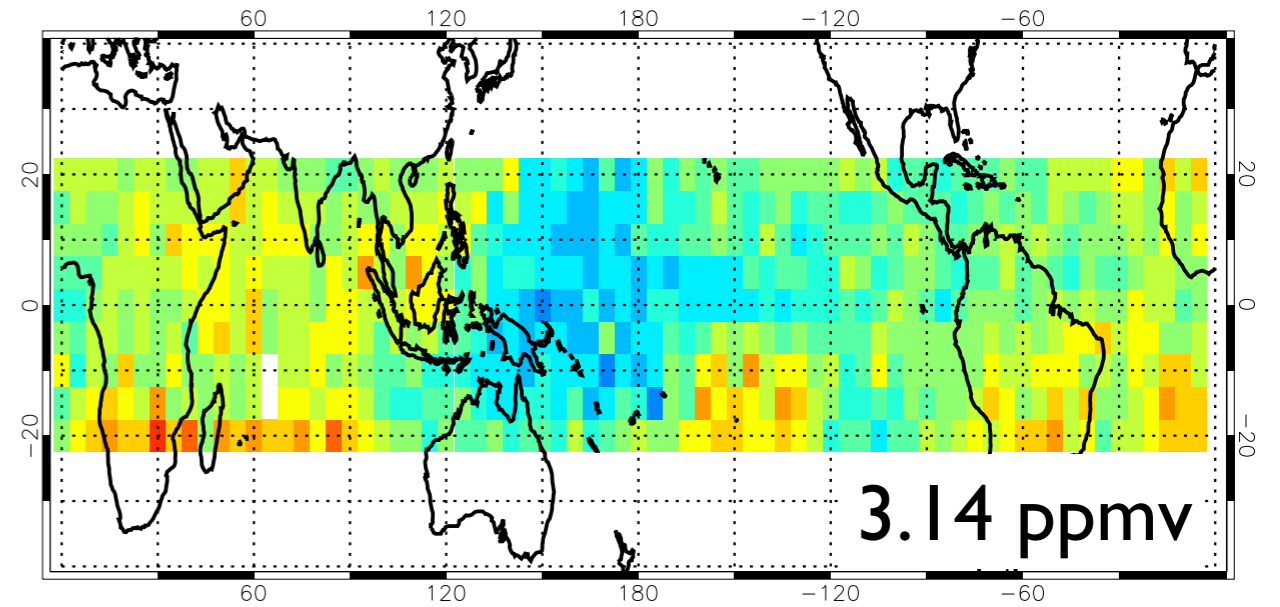


# 100 hPa H<sub>2</sub>O

model (micro + conv + waves)



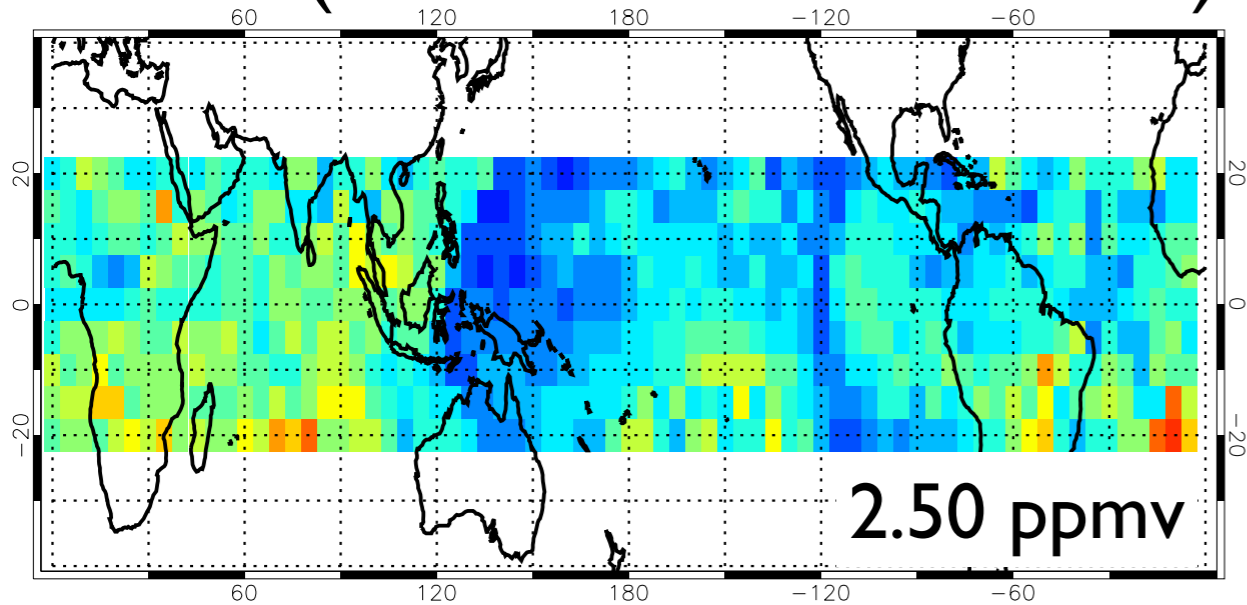
MLS



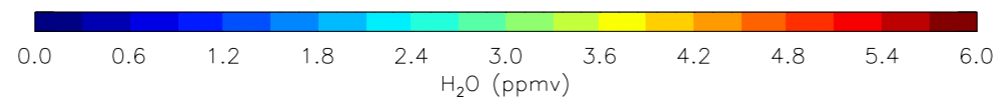
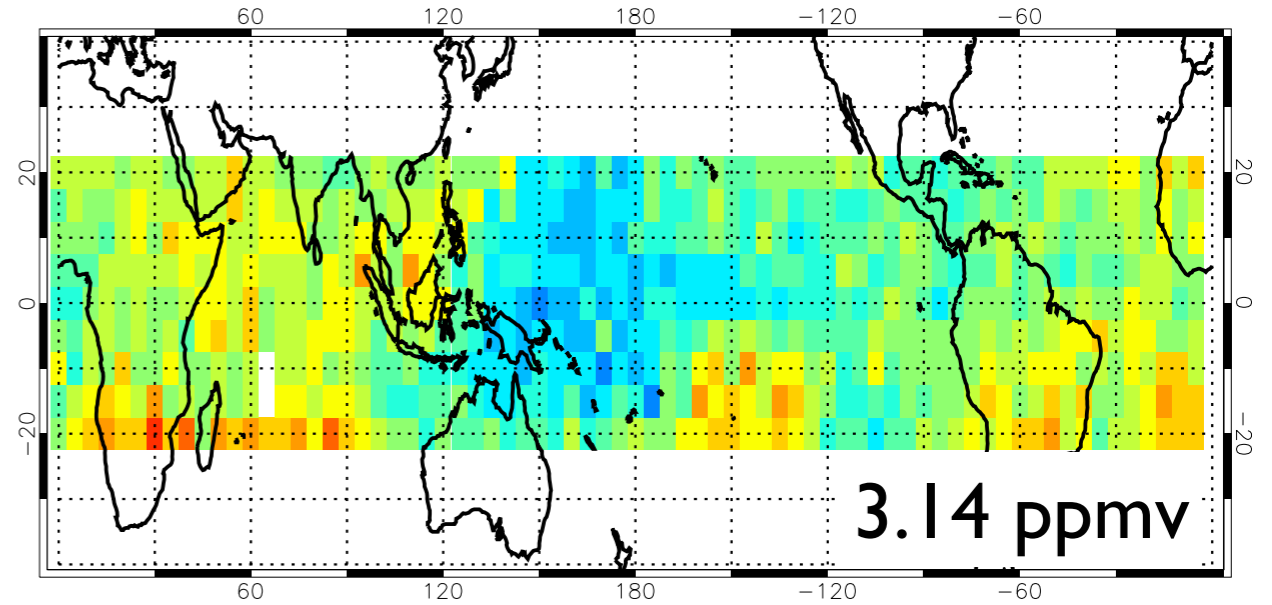
- reasonable agreement with MLS  
( $r = 0.62$ ,  $RMSE = 0.50$  ppmv)

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model (micro + conv + waves)

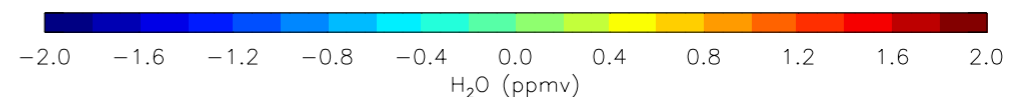
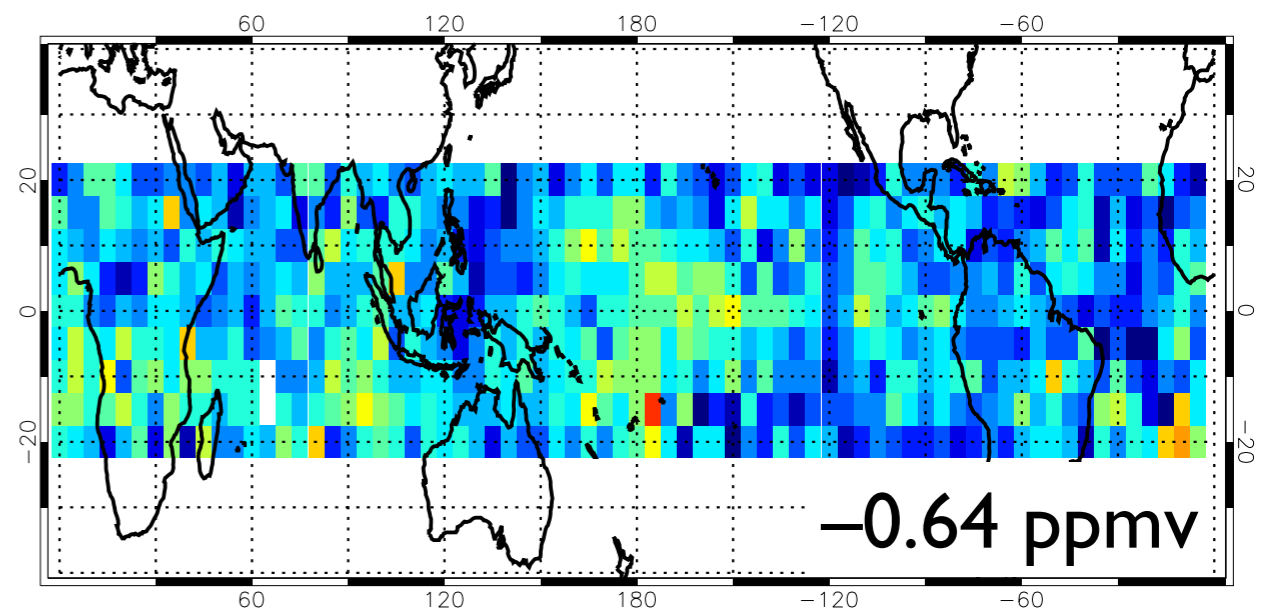


MLS



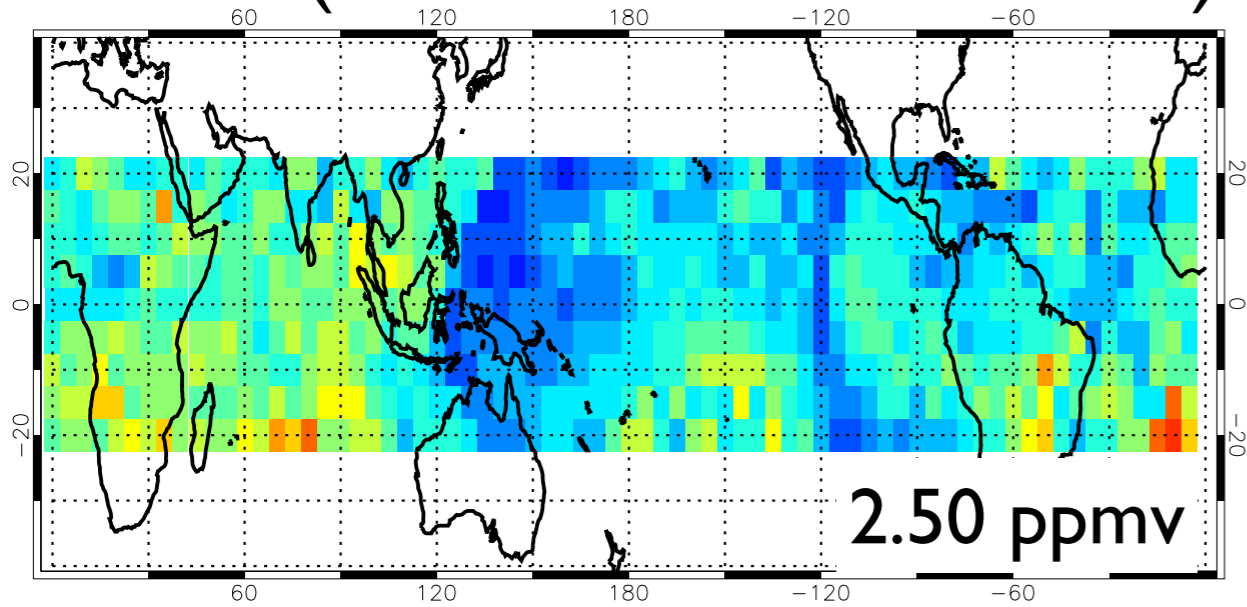
- reasonable agreement with MLS  
( $r = 0.62$ ,  $RMSE = 0.50$  ppmv)
- -20% model dry bias
  - $T$  too cold? **X**
  - too little convective influence? **X**
  - missing convective injection of ice? **X**
  - too many ice nuclei? **X**
  - lack of vertical mixing?
  - heating rate variability?

model - MLS

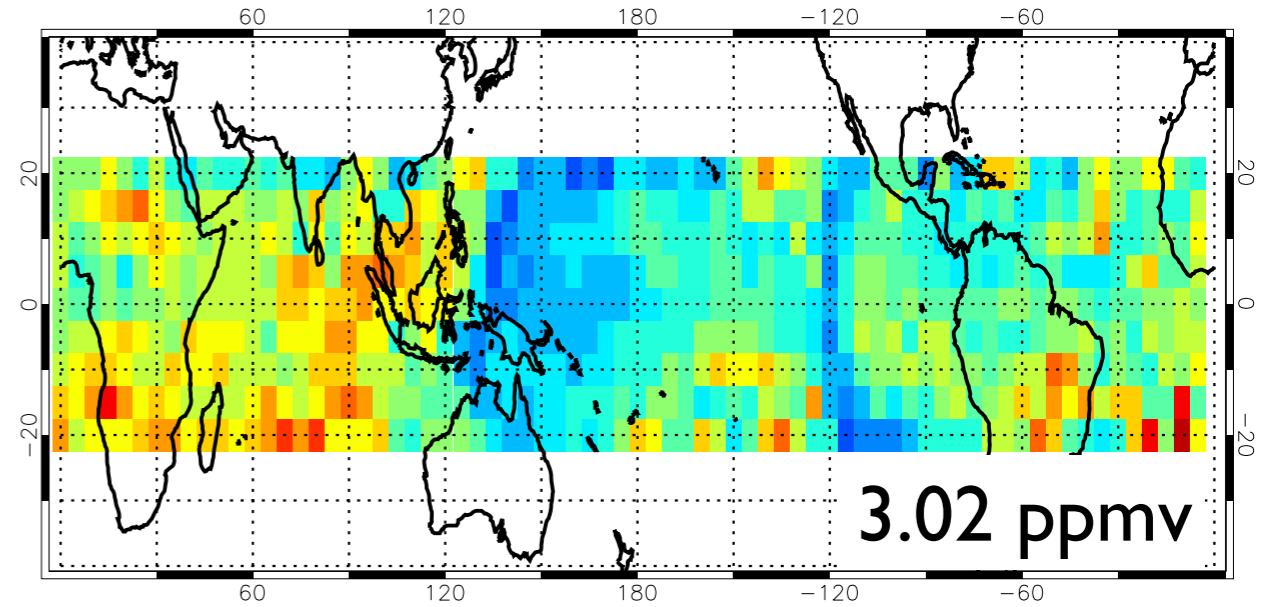


# 100 hPa H<sub>2</sub>O

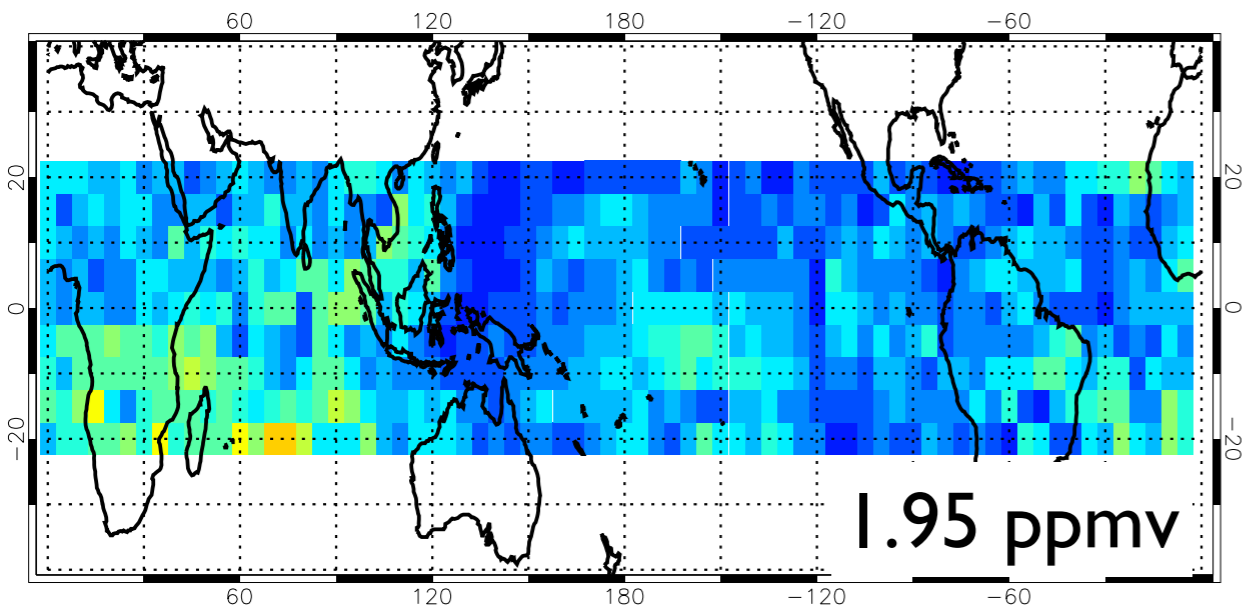
model (micro + conv + waves)



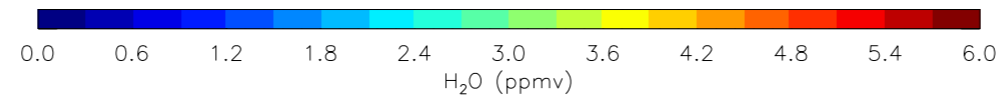
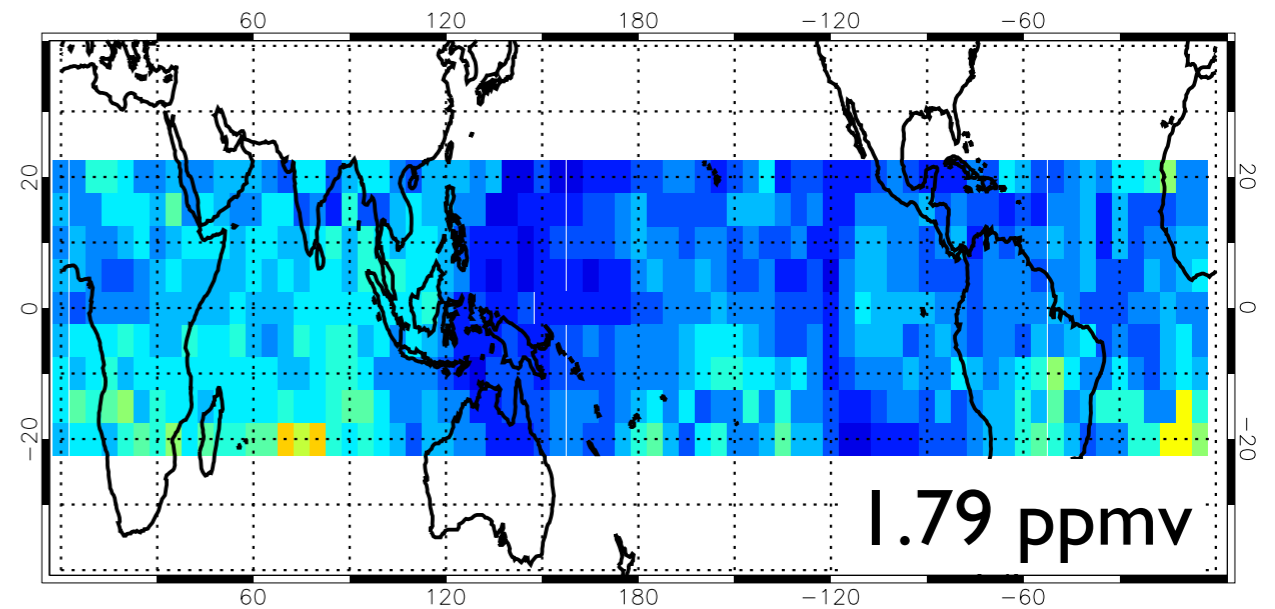
micro + conv + **no waves**



micro + **no conv** + waves



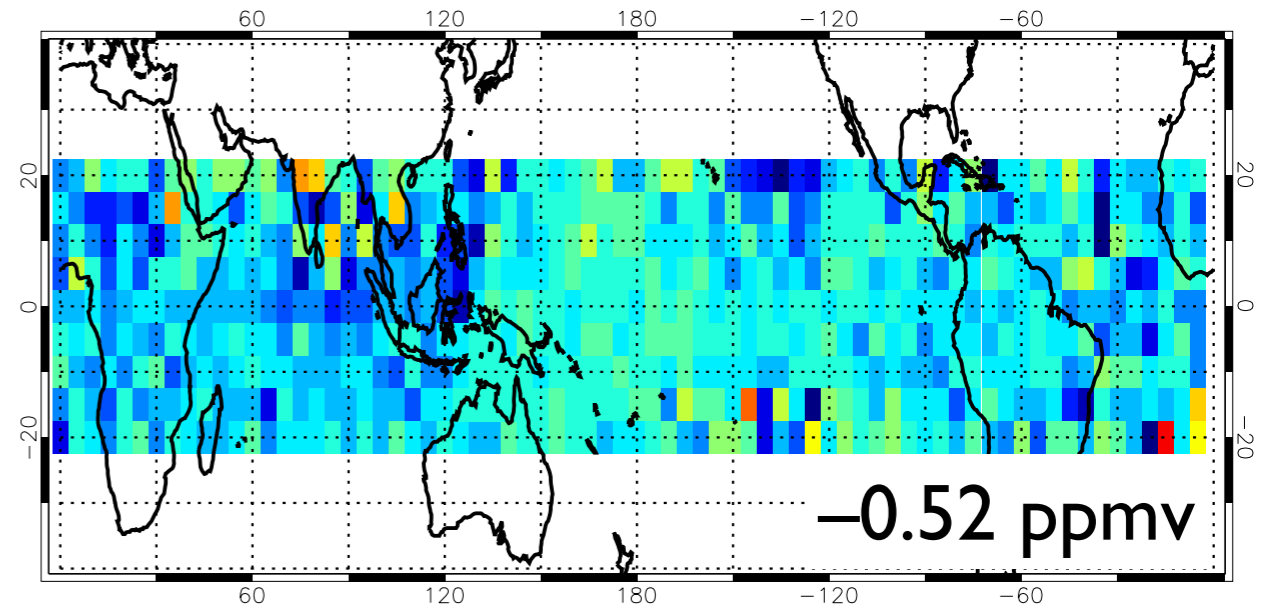
**no micro** + conv + waves



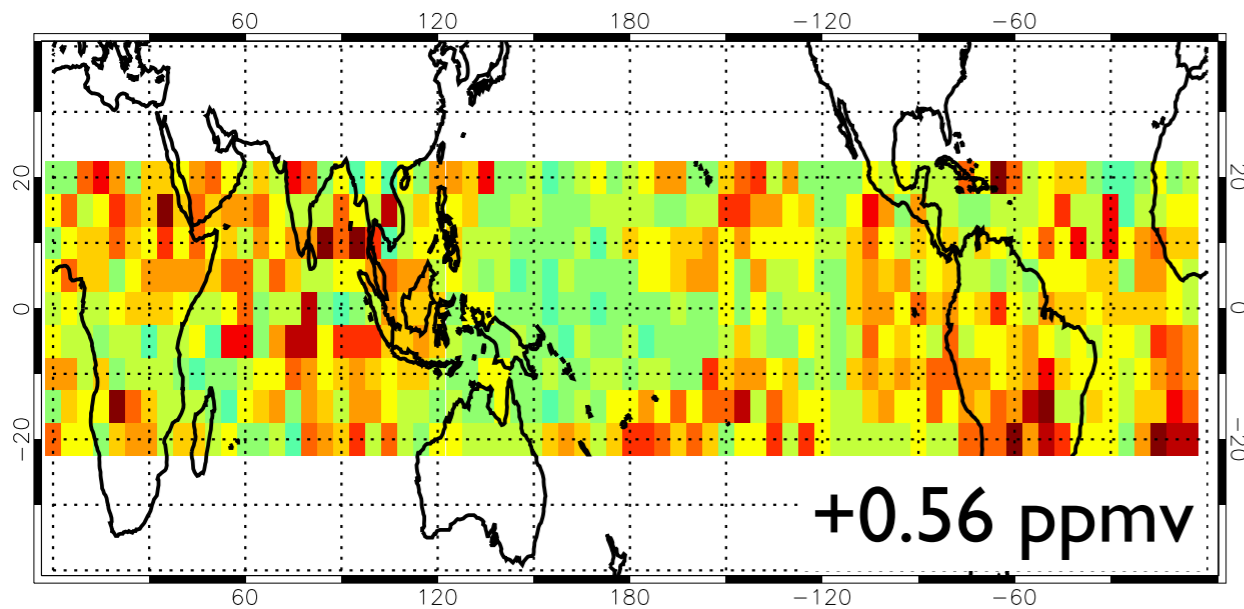
# Impact on 100 hPa H<sub>2</sub>O

- dehydration pattern by waves resembles CPT difference pattern
- convection moistens everywhere, except over cold T region in western Pacific
- moistening by microphysics due to supersaturation and ice sublimation

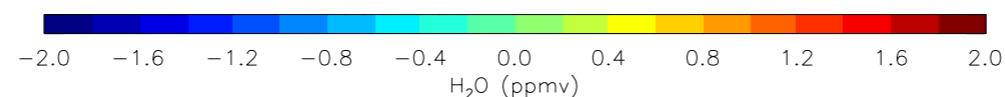
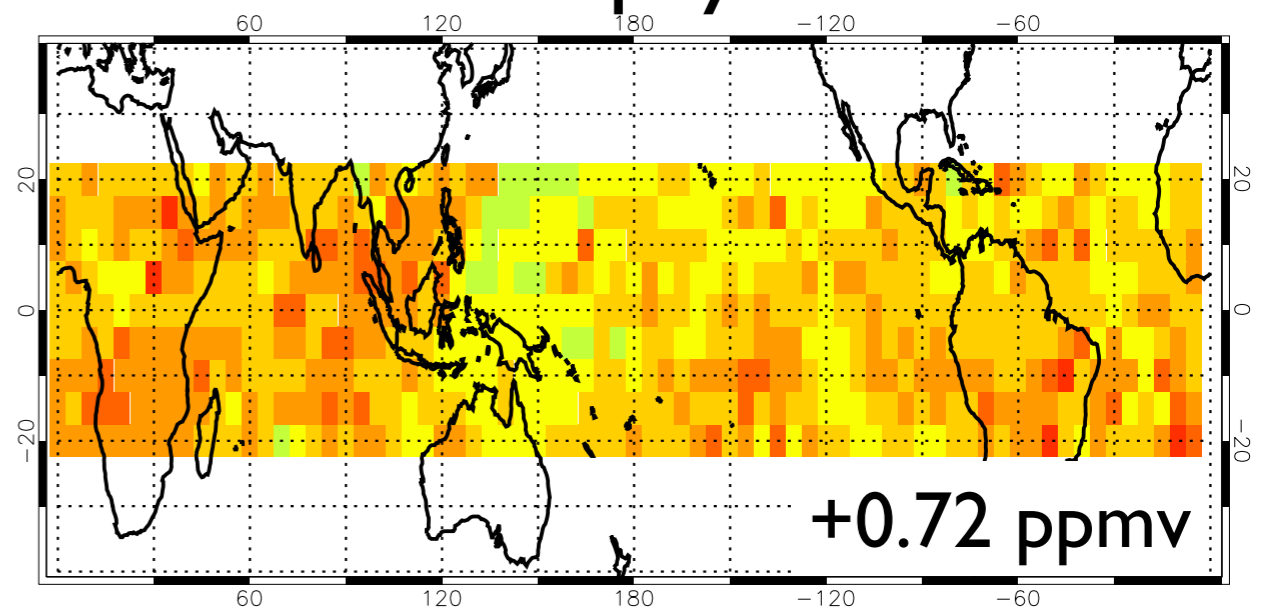
waves



convection



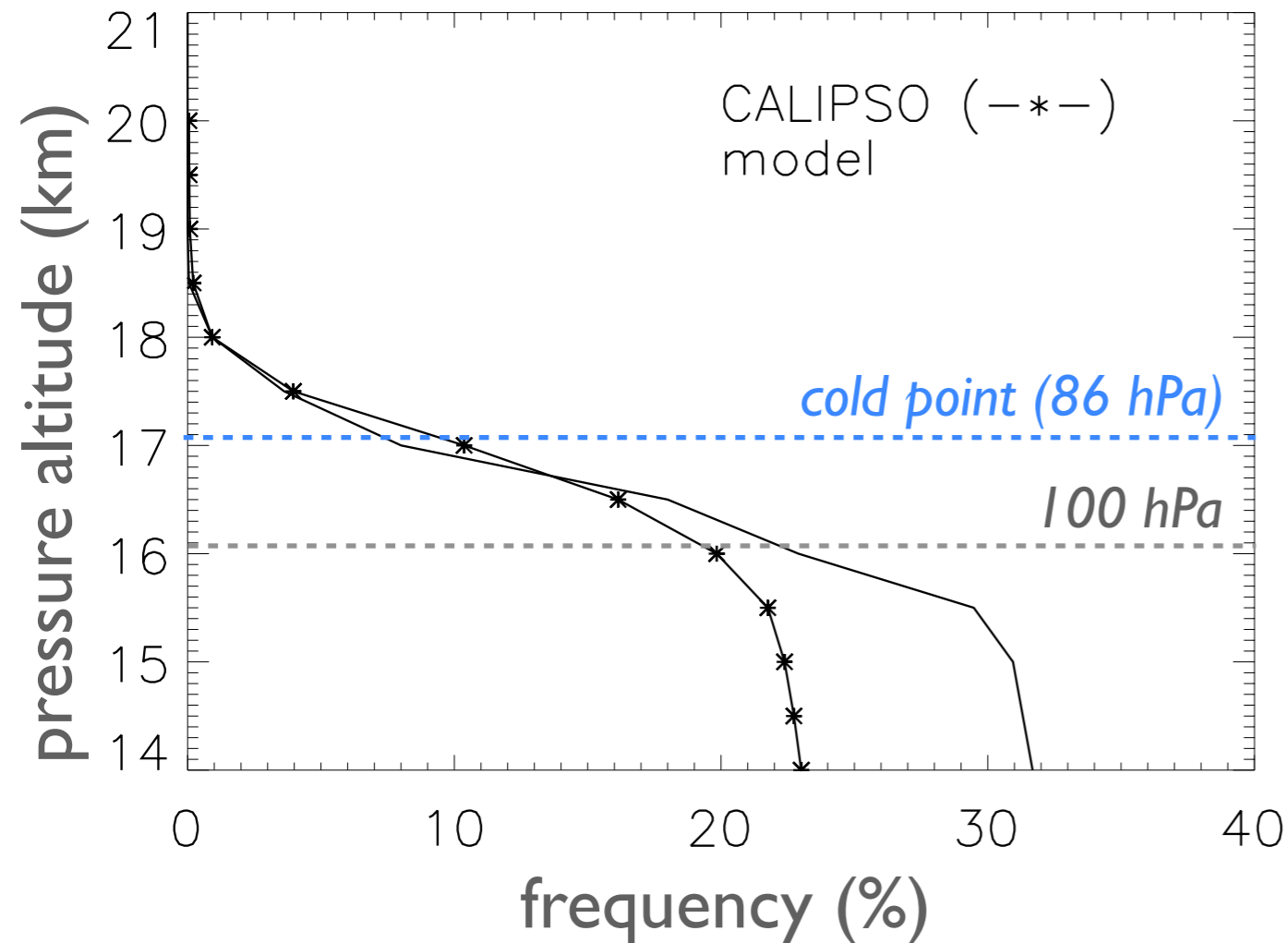
microphysics



# Cloud occurrence frequency

Jan 2007

20S - 20N mean

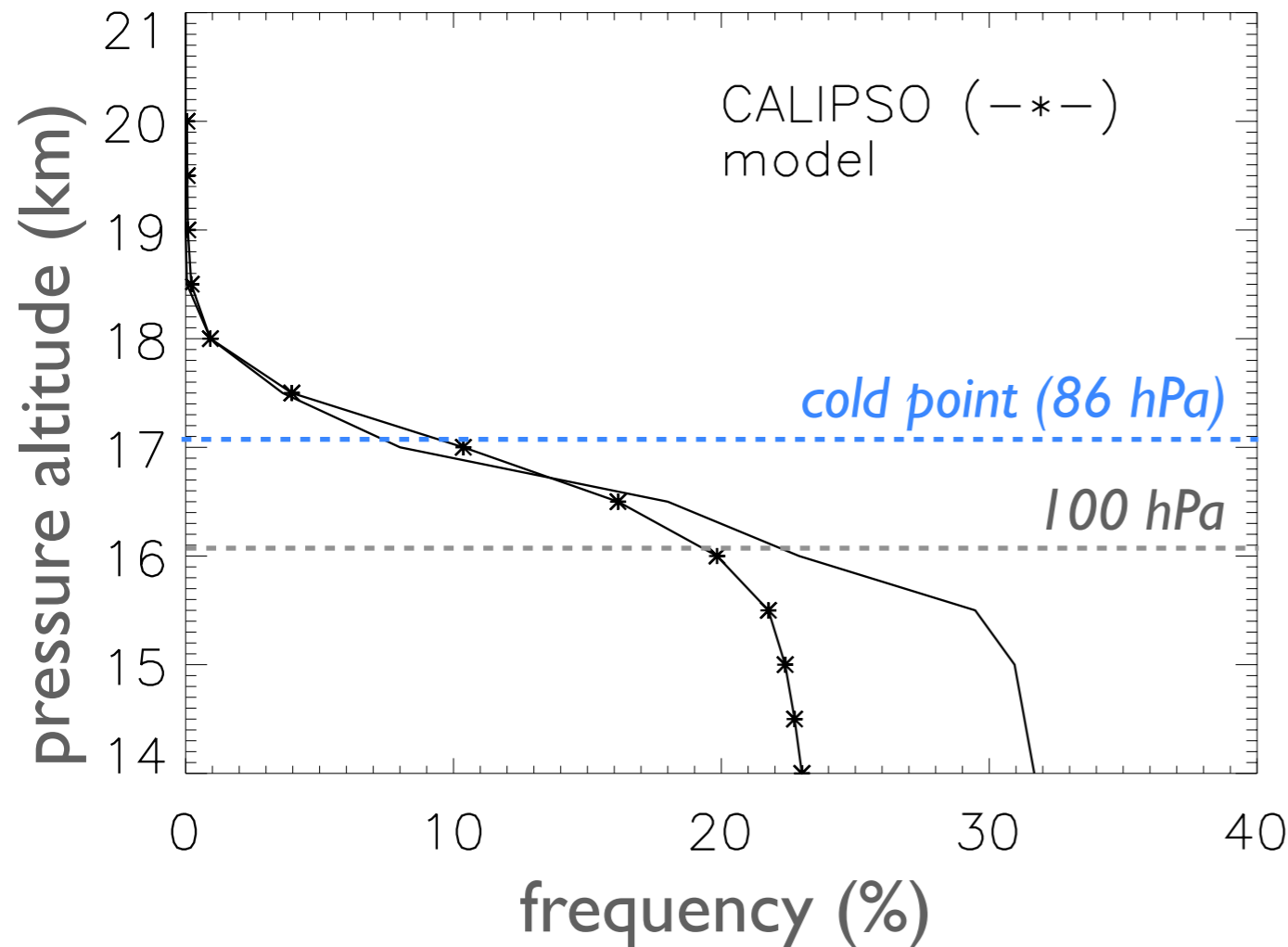


➤ Model produces ~10% more clouds than CALIPSO below ~100 hPa; excellent agreement in mid-upper TTL

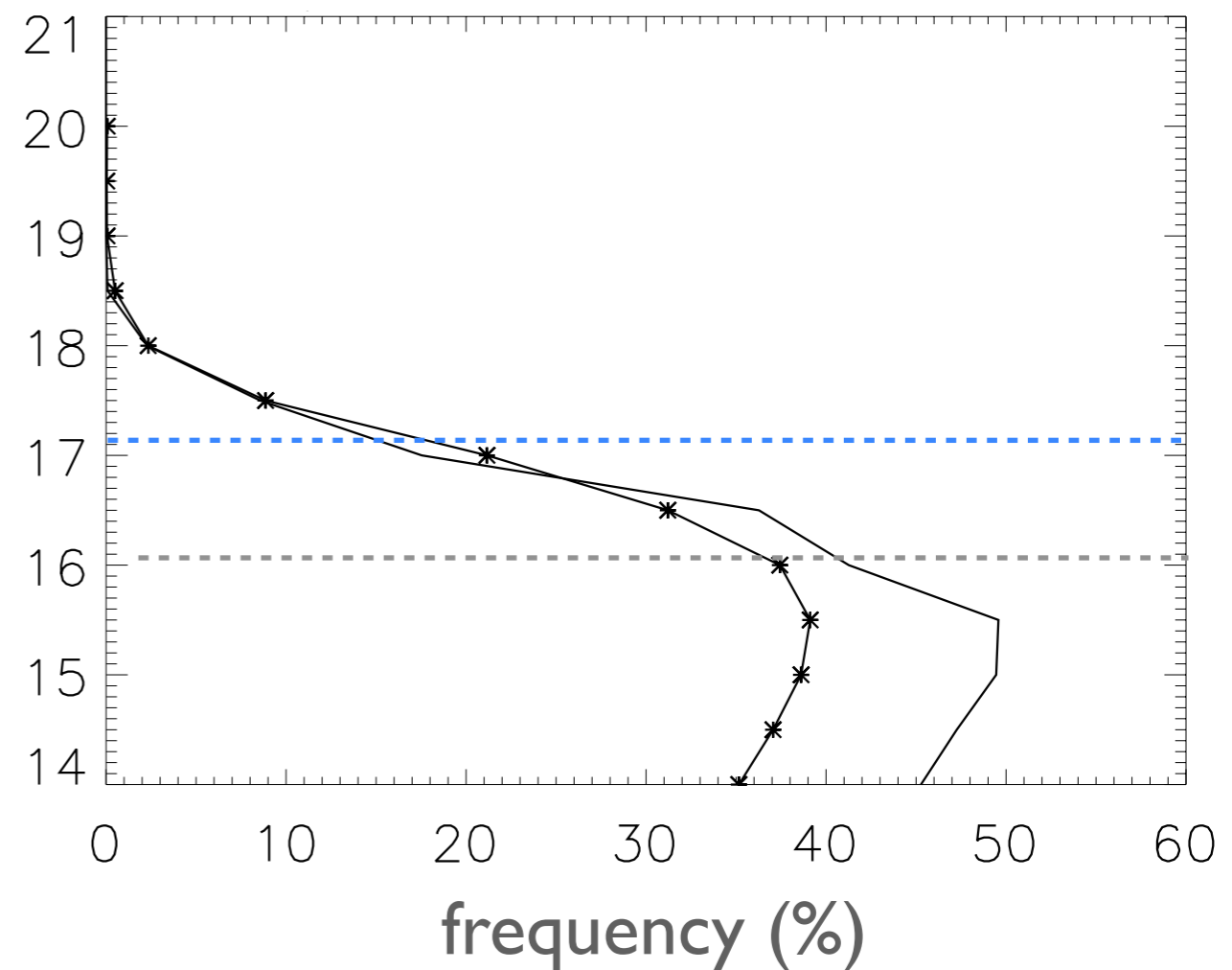
# Cloud occurrence frequency

Jan 2007

20S - 20N mean



w Pacific (20S-20N, 160E-140W)

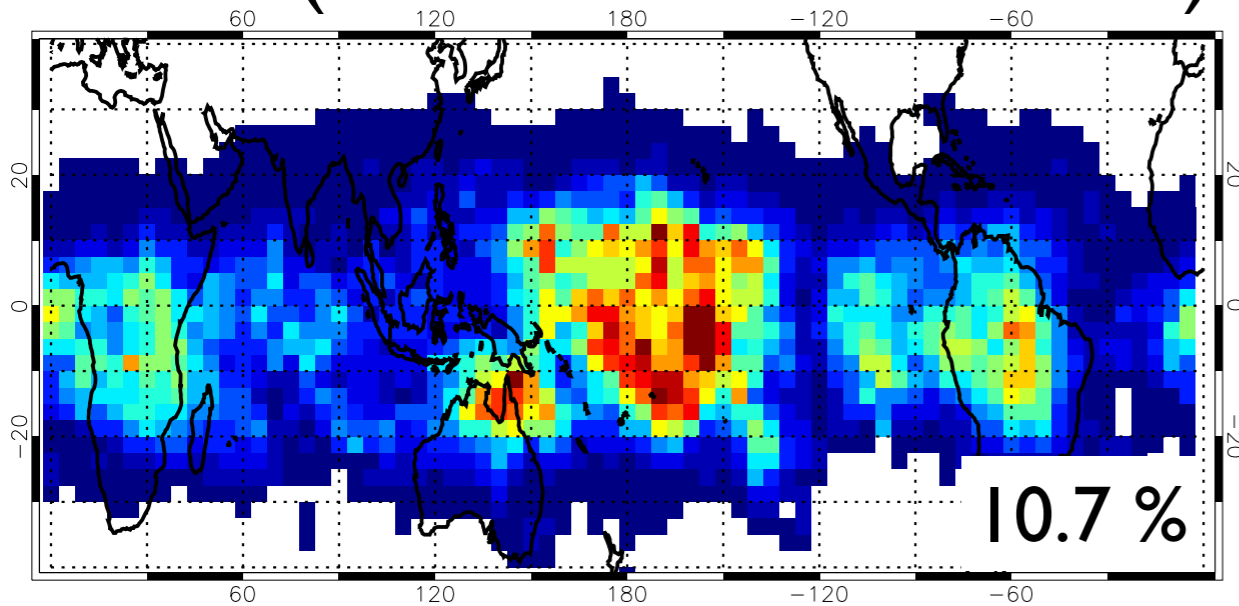


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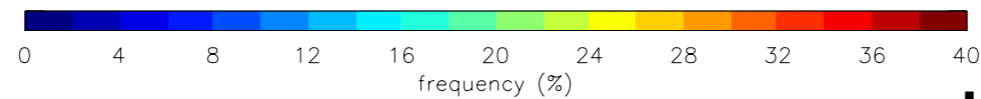
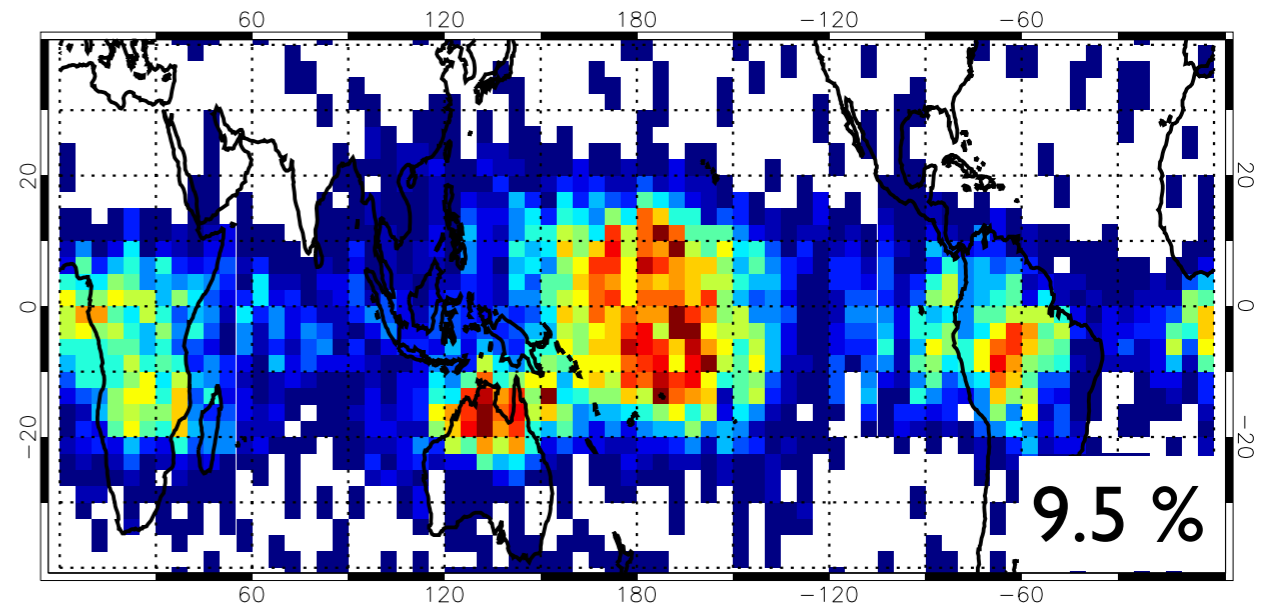
# Cloud occurrence frequency

16 – 18 km, Jan 2007

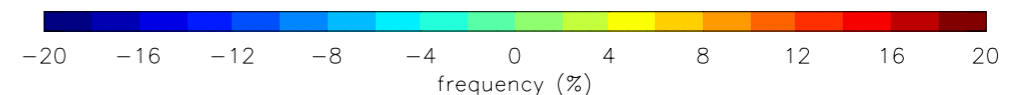
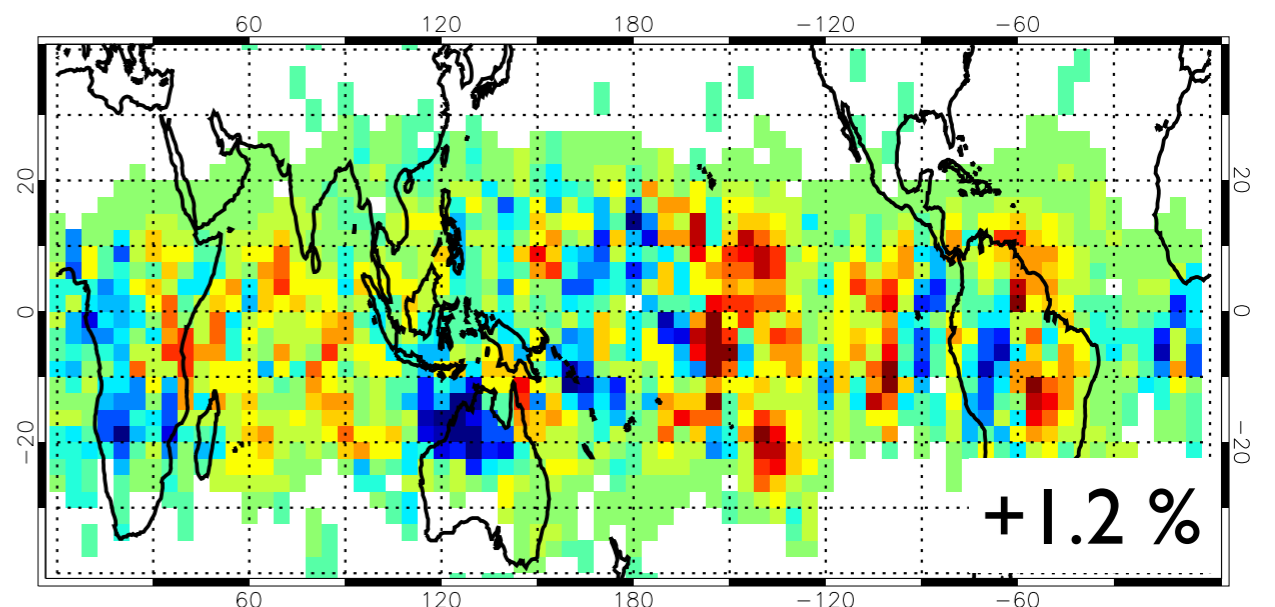
model (micro + conv + waves)



CALIPSO



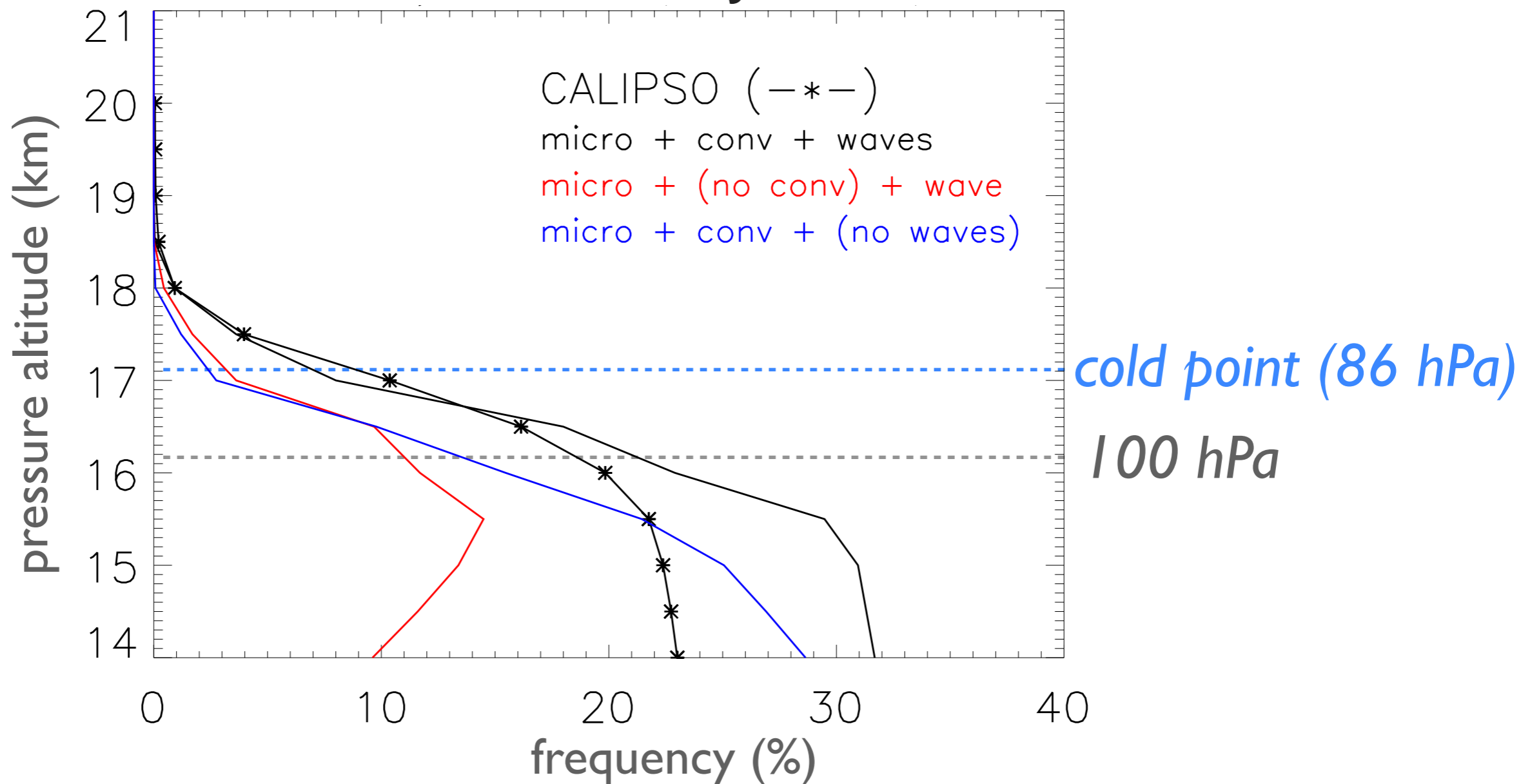
model – CALIPSO



- excellent agreement with CALIPSO ( $r = 0.79$ ,  $RMSE = 5.7\%$ )
- $\sim 10\%$  cloud occurrence (20S-20N mean)
- discrepancy may be due to CALIPSO sampling issues and uncertainty in cloud top heights

# Cloud occurrence frequency

20S - 20N mean, Jan 2007



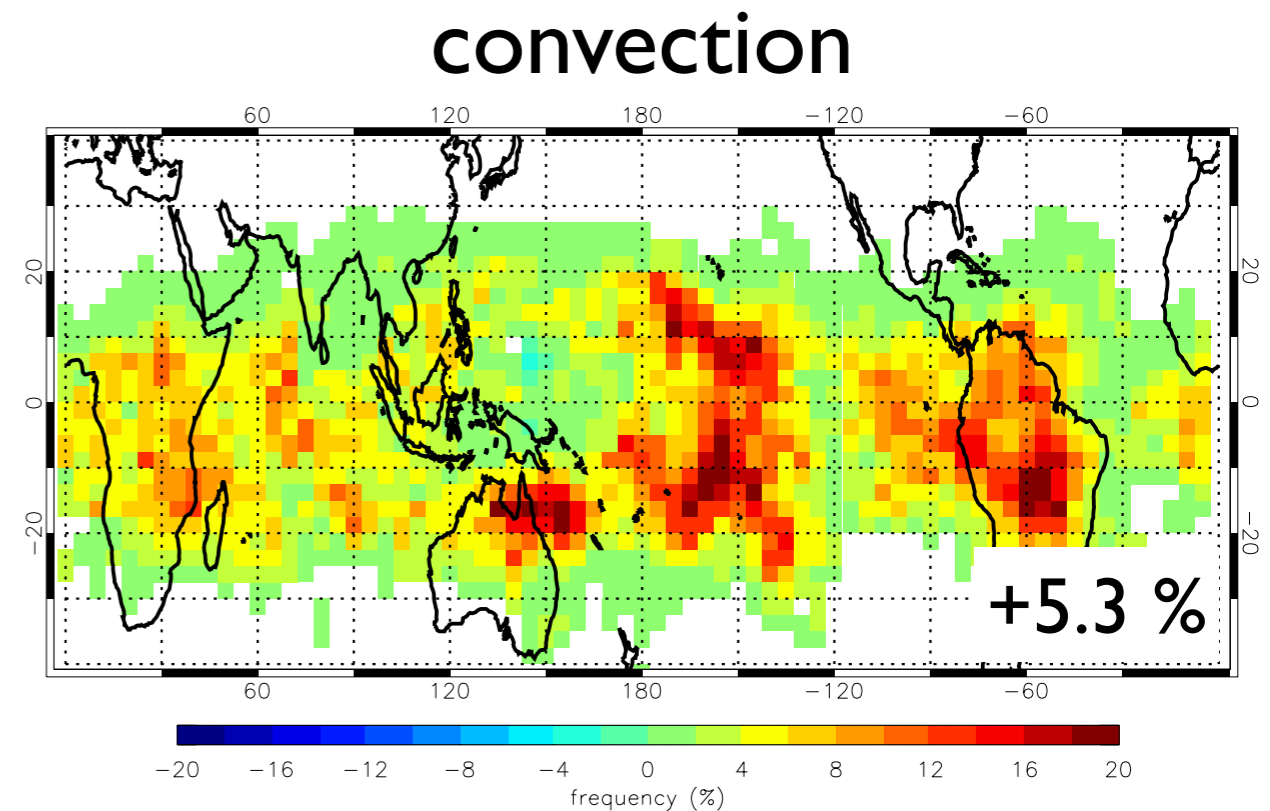
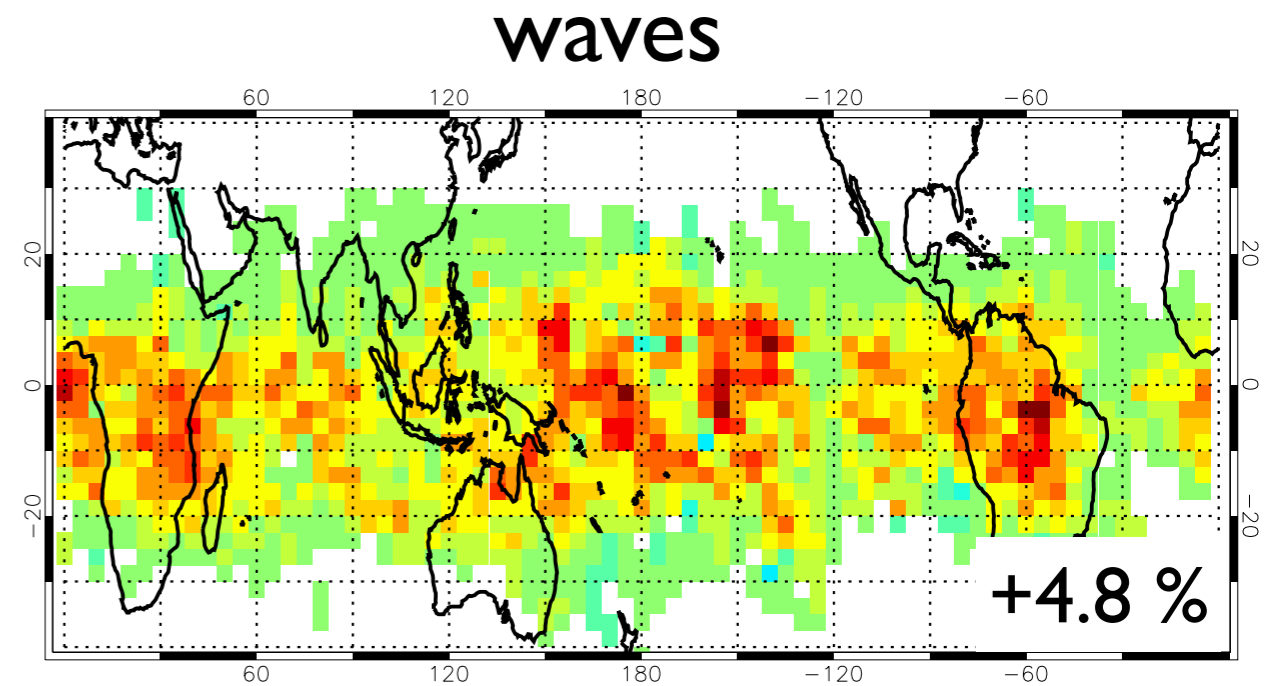
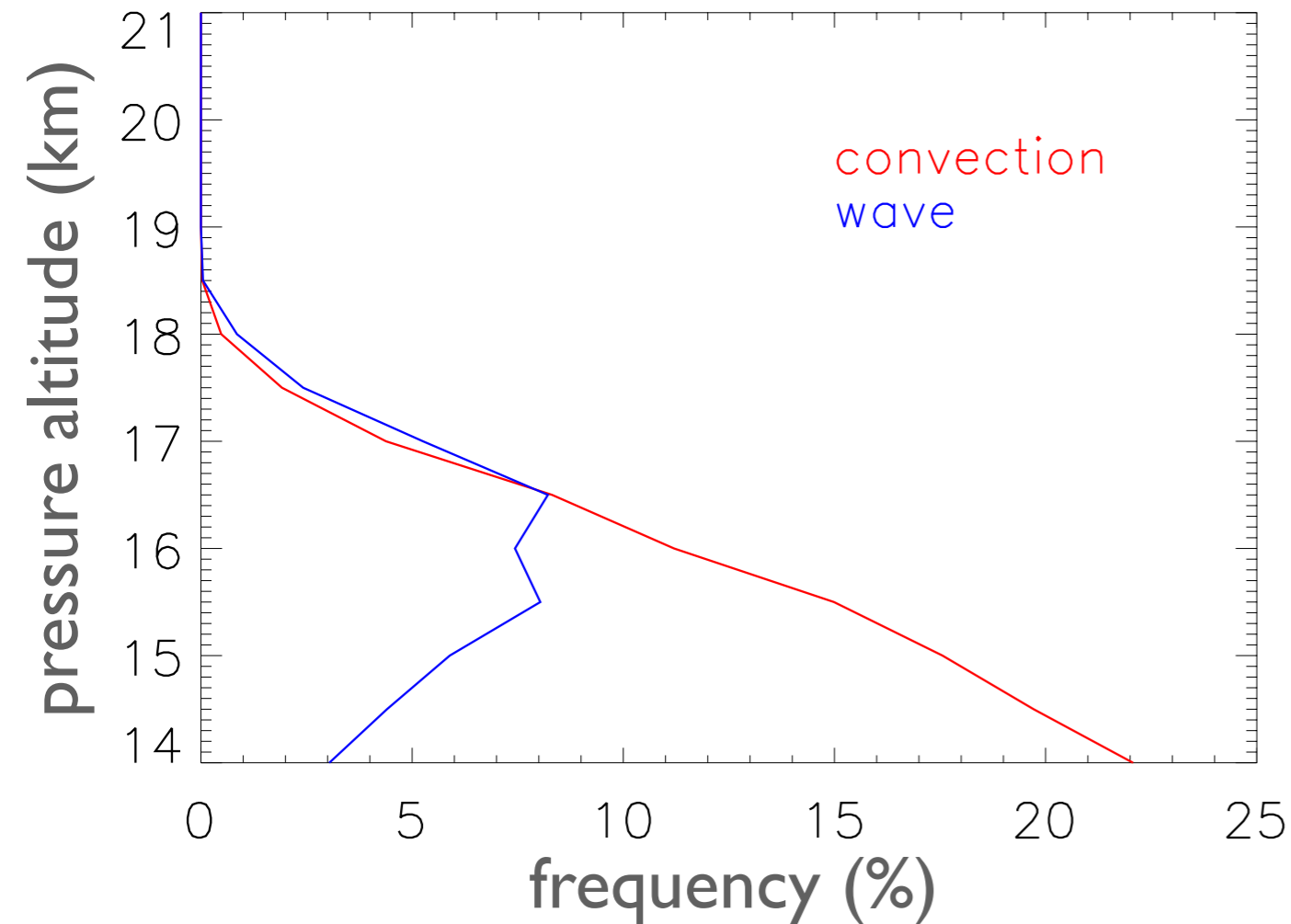
➤ Convection and waves both increase cloud occurrence throughout the TTL



# Impact on cloud occurrence

16 - 18 km

20S - 20N mean, Jan 2007



- enhancement by convection dominates in the lower TTL (10-20%)
- waves and convection increase cloud occurrence by ~5% in 16-18 km layer

# Summary

- Simulation of wintertime TTL (100 hPa) H<sub>2</sub>O with microphysics, convection and waves improves agreement with MLS, but is still 20% too dry
  - role of mixing? temporal variability of heating rates?

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  - waves -0.5 ppmv
  - convection +0.6 ppmv
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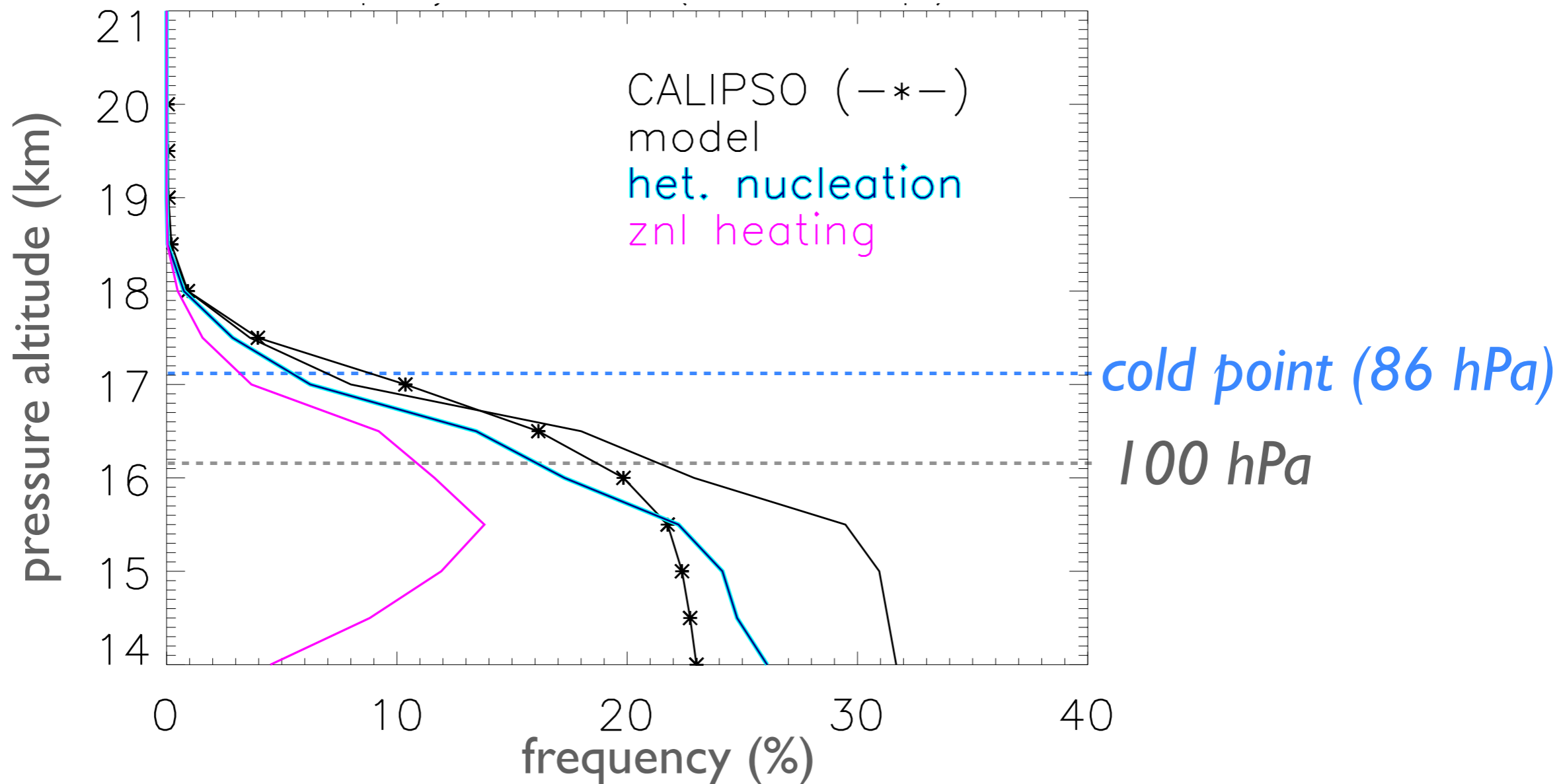
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- Simulated cloud occurrence frequency in the mid-upper TTL agrees remarkably well with CALIPSO estimates (~10% frequency,  $r = 0.8$  spatial correlation), with equal contributions of ~5% from waves and convection
- The model overestimates cloud occurrence by 10% in the lower TTL where convection enhances tropical mean frequencies by up to 20%

**extra slides**

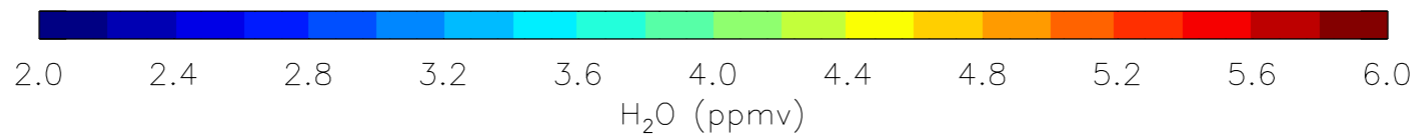
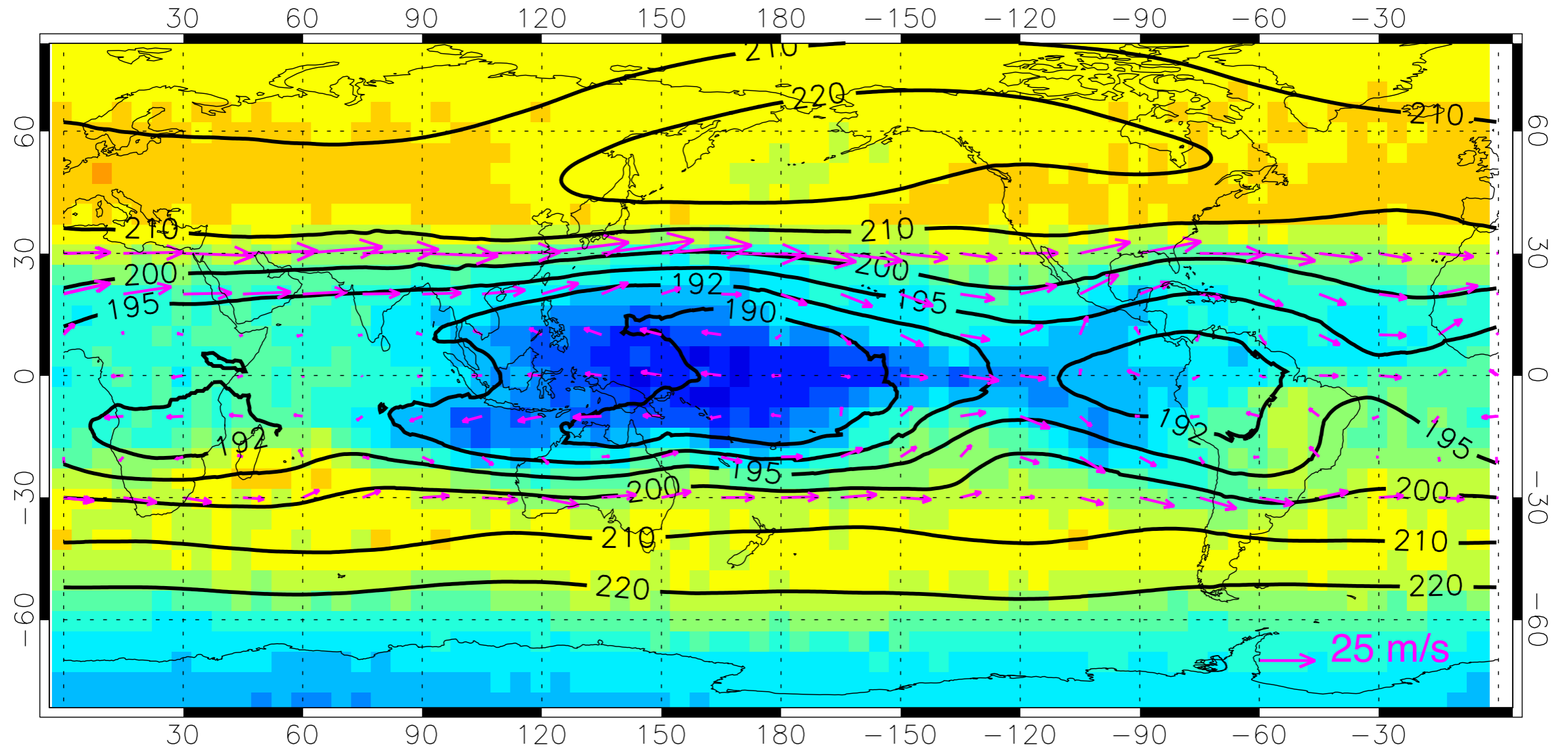
# Cloud occurrence frequency

20S - 20N mean, Jan 2007



- Model produces ~10% more clouds than CALIPSO below ~100 hPa; excellent agreement in mid-upper TTL

# H<sub>2</sub>O (color), T (contours) at 100 hPa Dec-Jan-Feb 2006-07

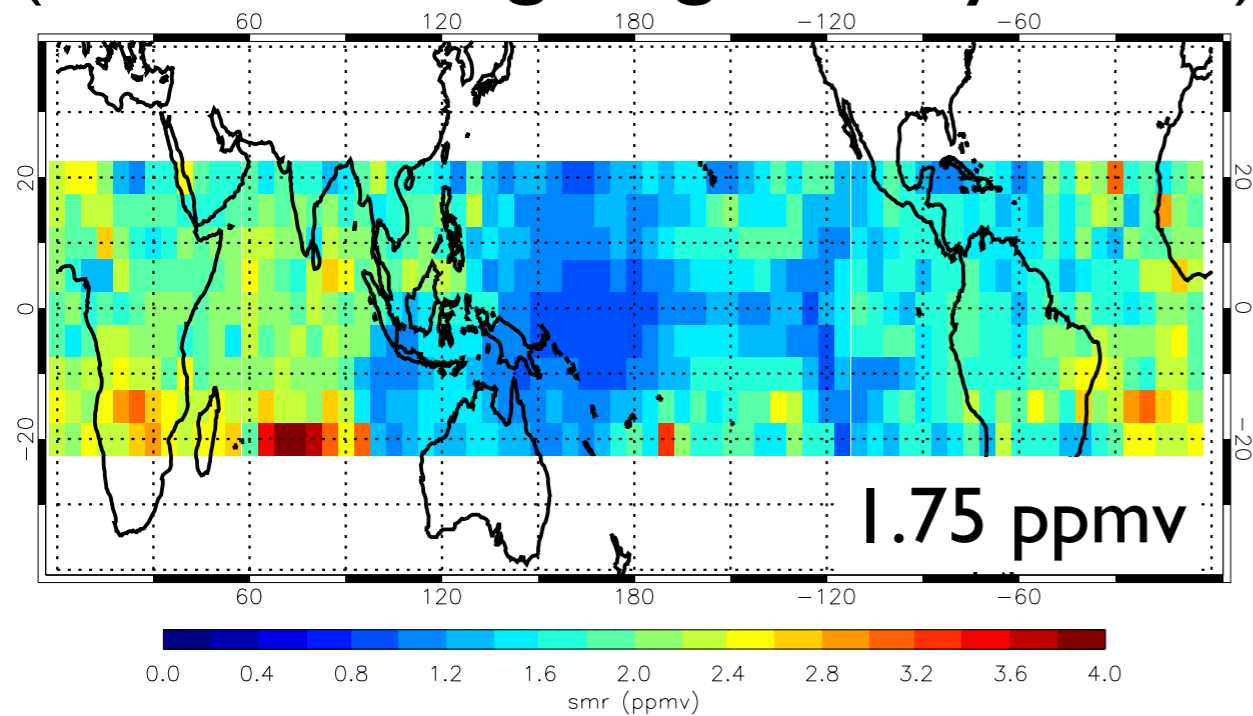


source:  
MLS  
ERA-Interim



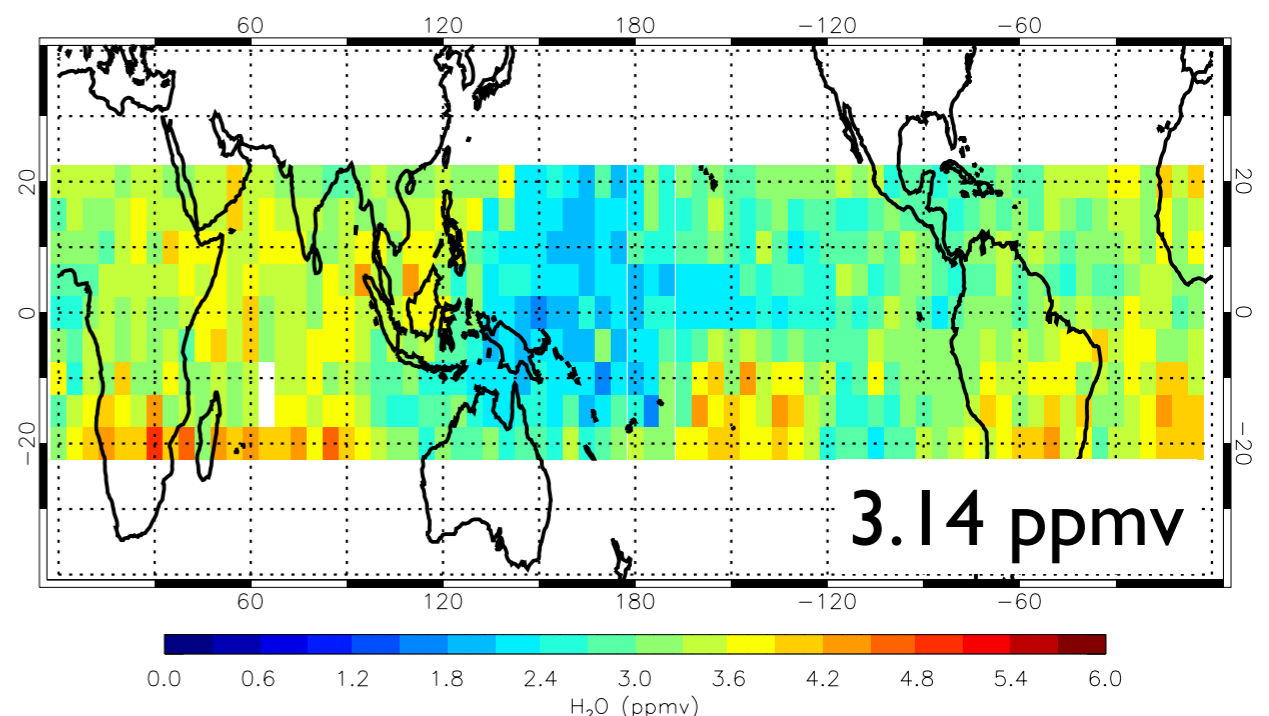
# 100 hPa H<sub>2</sub>O (1 Feb 2007)

min saturation mixing ratio  
(based on Lagrangian Dry Point)



(0 - 4 ppmv)

MLS

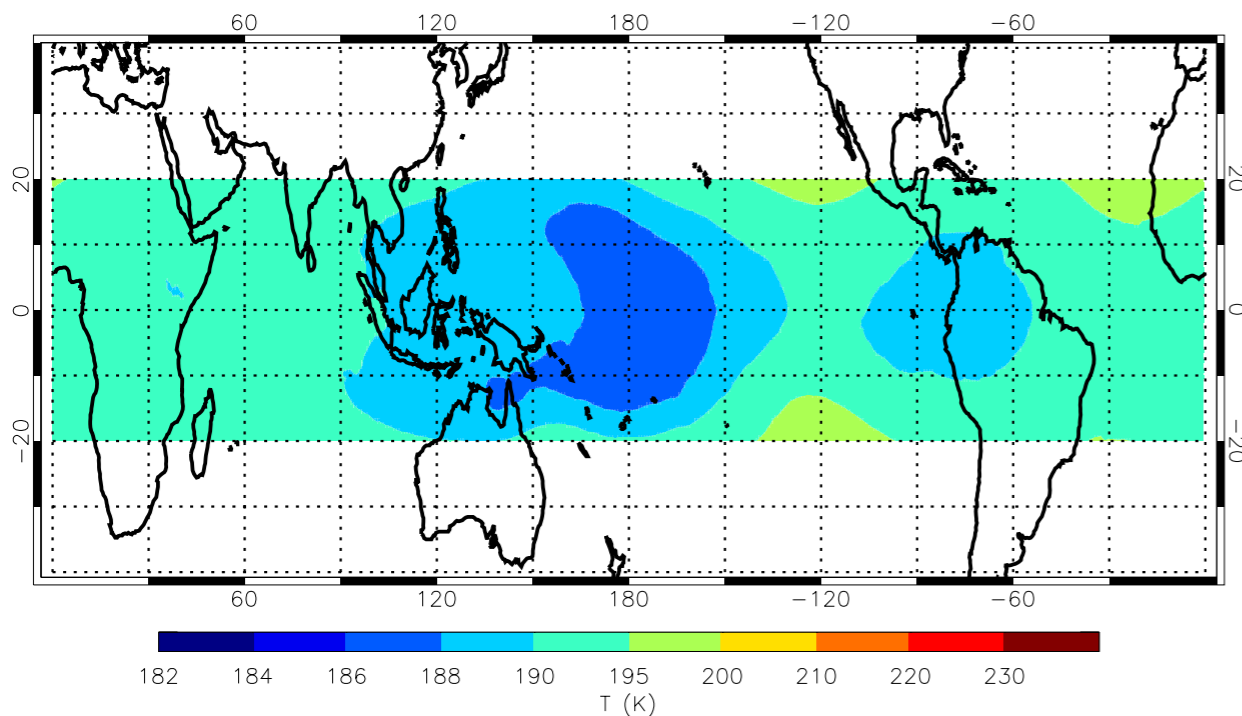


(0 - 6 ppmv)

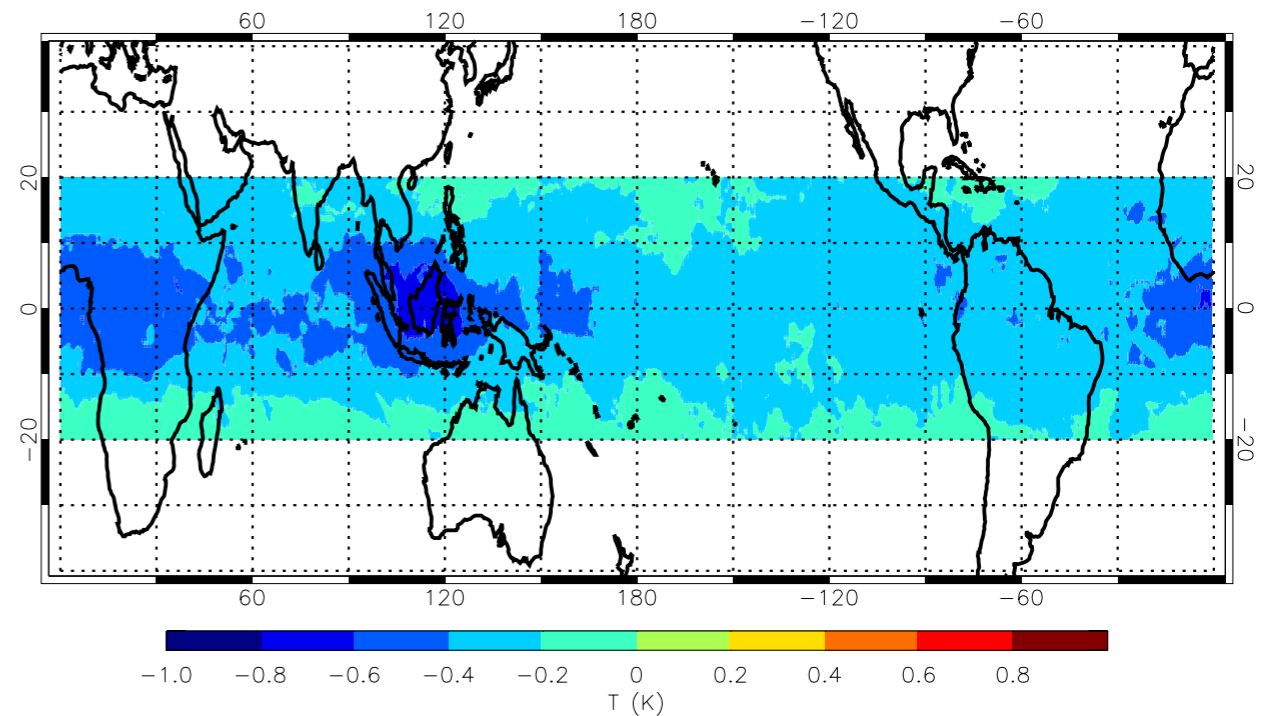
➤ LDP of the trajectories (no cloud simulation)  
underestimates TTL humidity by ~45%

# ERA-Interim data (DJF 2006-07) with *Kim and Alexander 2013* wave scheme

cold point temperature (CPT)  
with waves



CPT difference  
(waves – no waves)

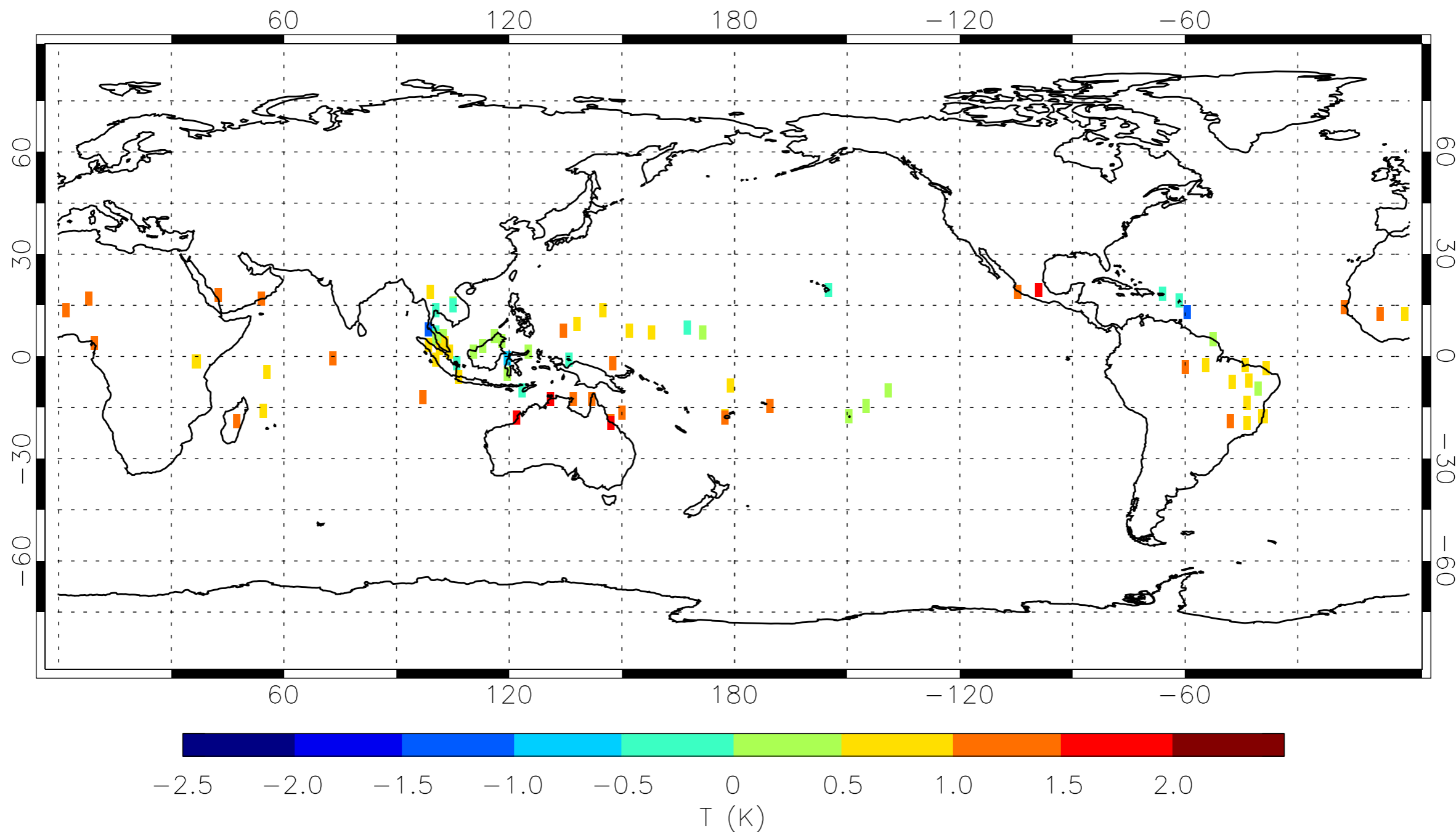


➤ Waves decrease CPT everywhere in the tropics  
(min  $-0.8$  K over Indonesia)

# CPT difference

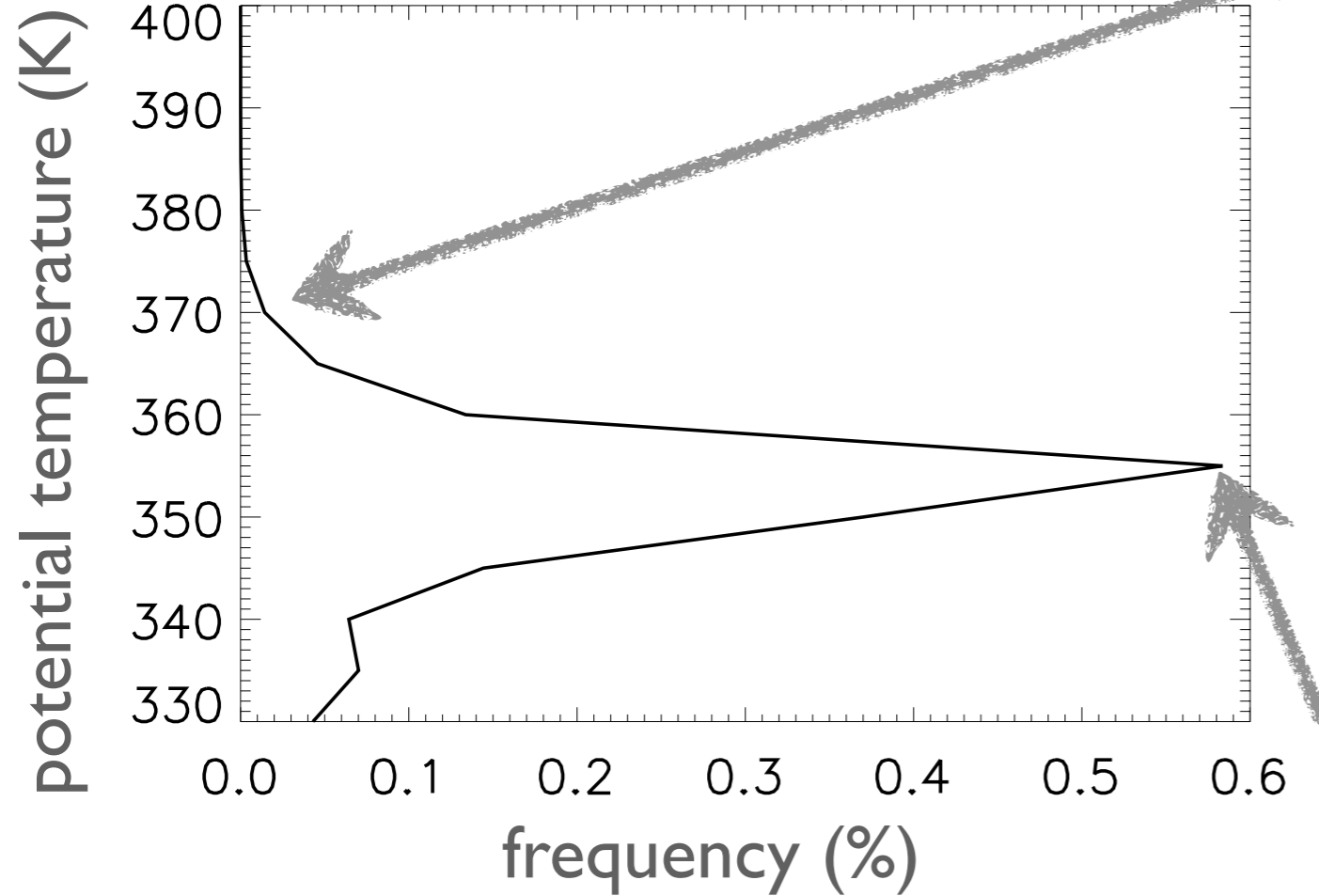
(Dec-Jan-Feb 2006-07)

mean difference (ERA – radiosonde) = +0.34 K

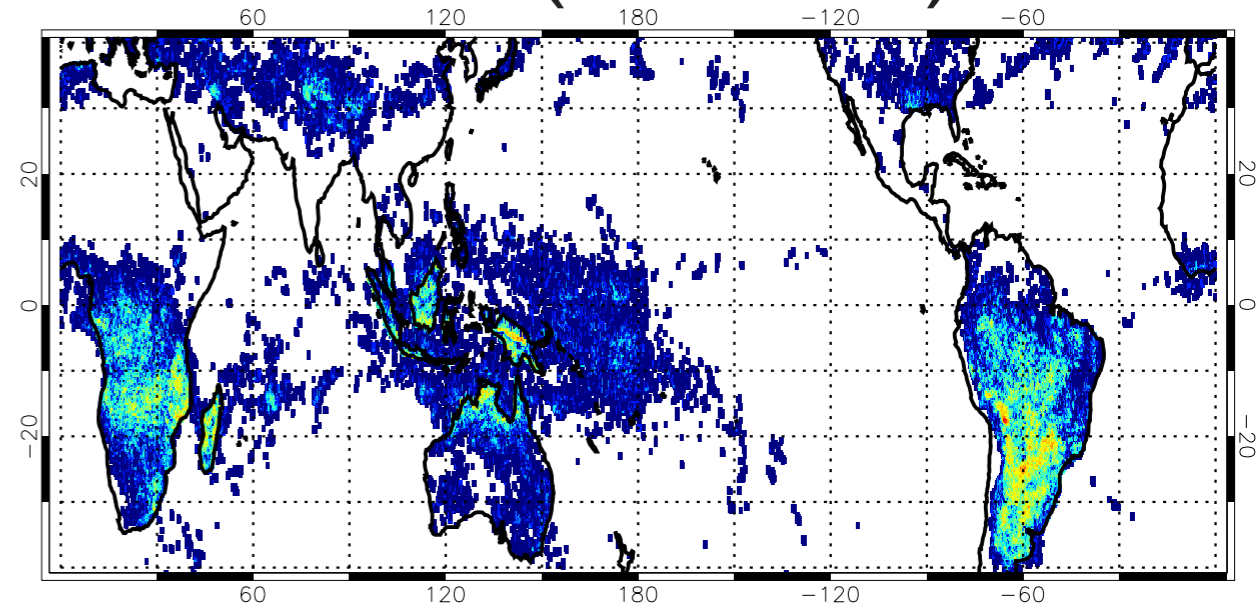


# Convective cloud top distribution

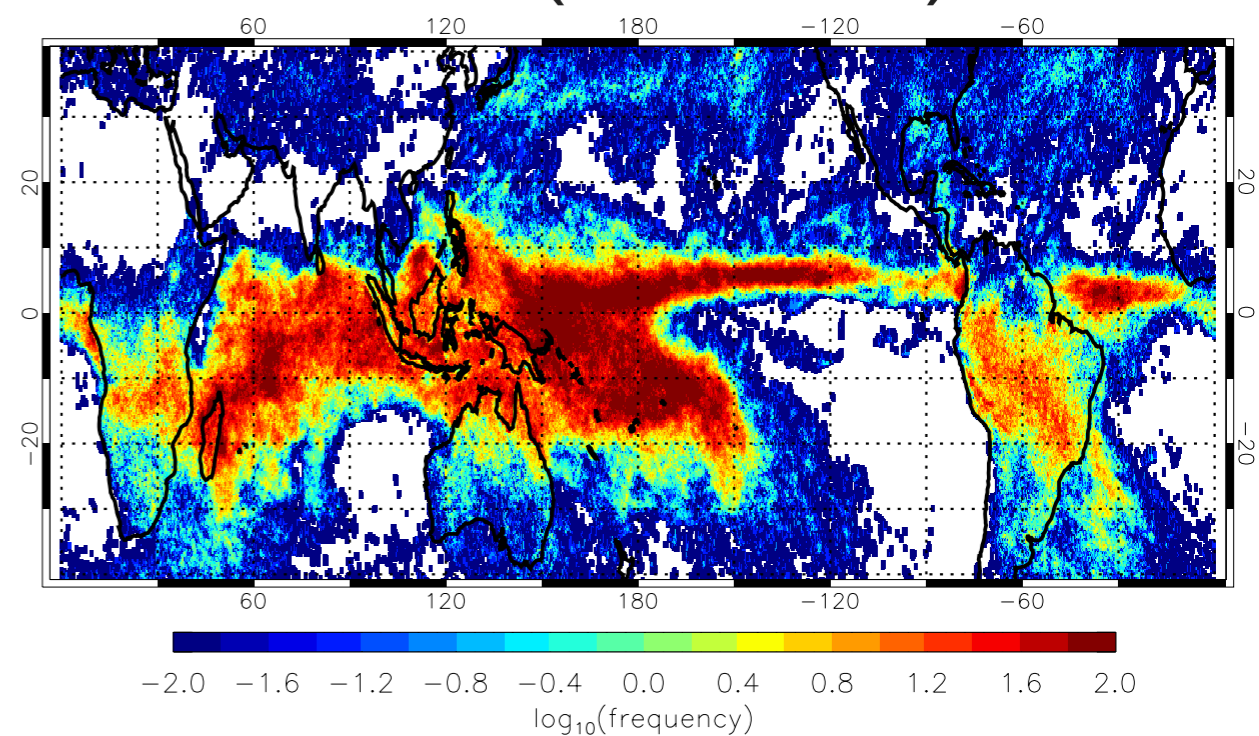
Dec-Jan-Feb 2006-07  
20S - 20N mean profile



370 K (100 hPa)

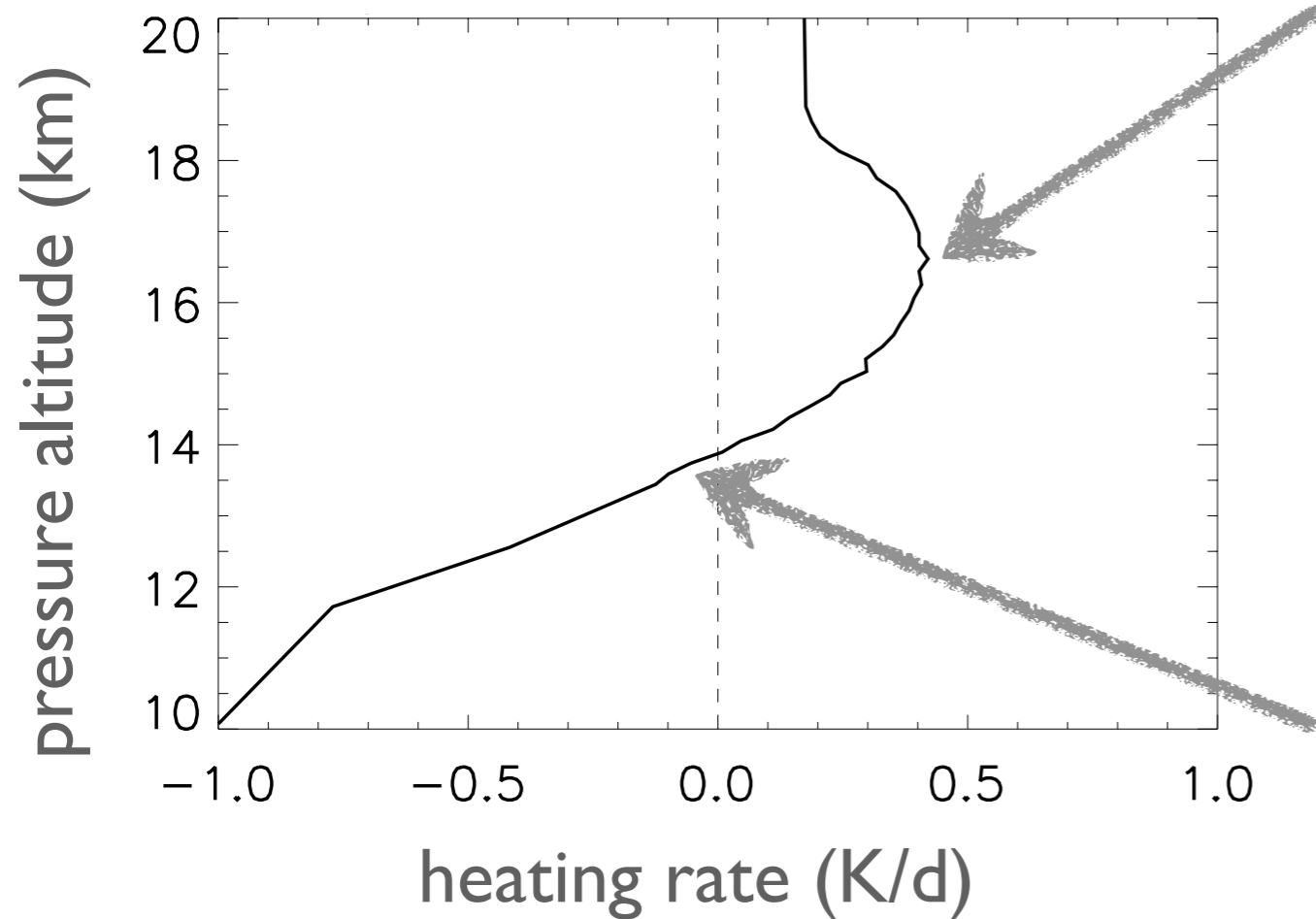


355 K (150 hPa)

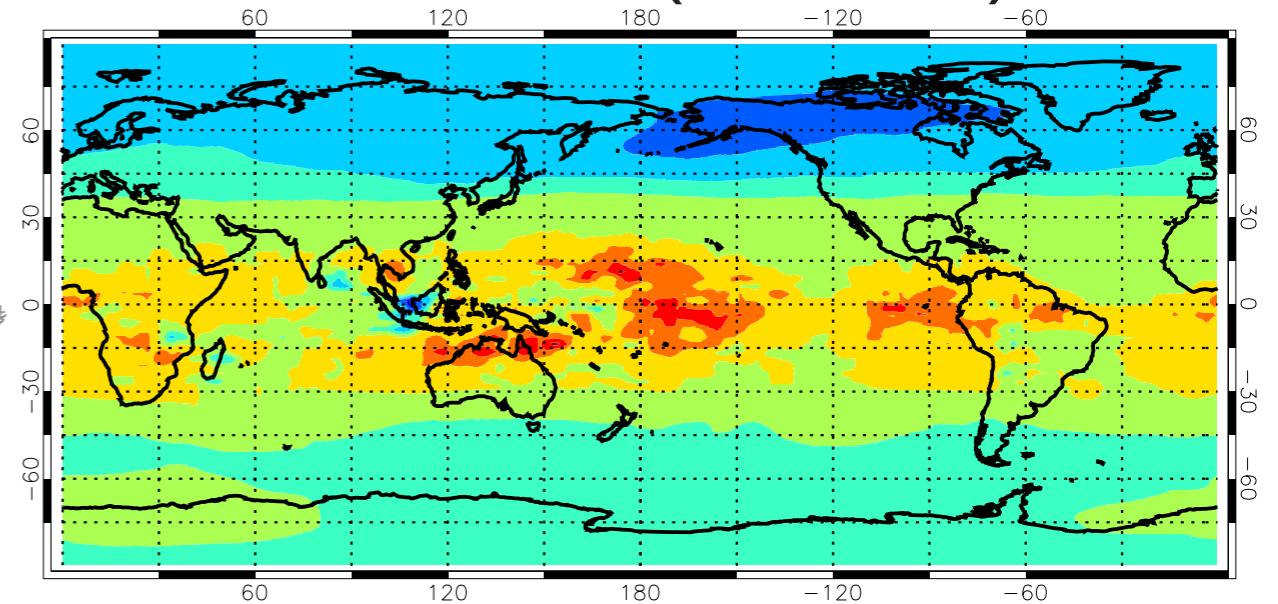


# Yang et al. (2010) + MERRA heating rates

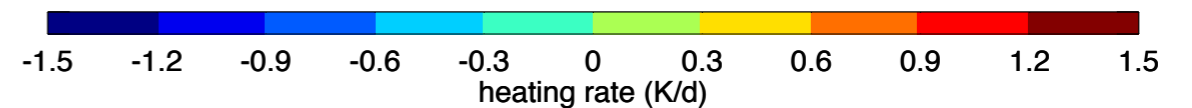
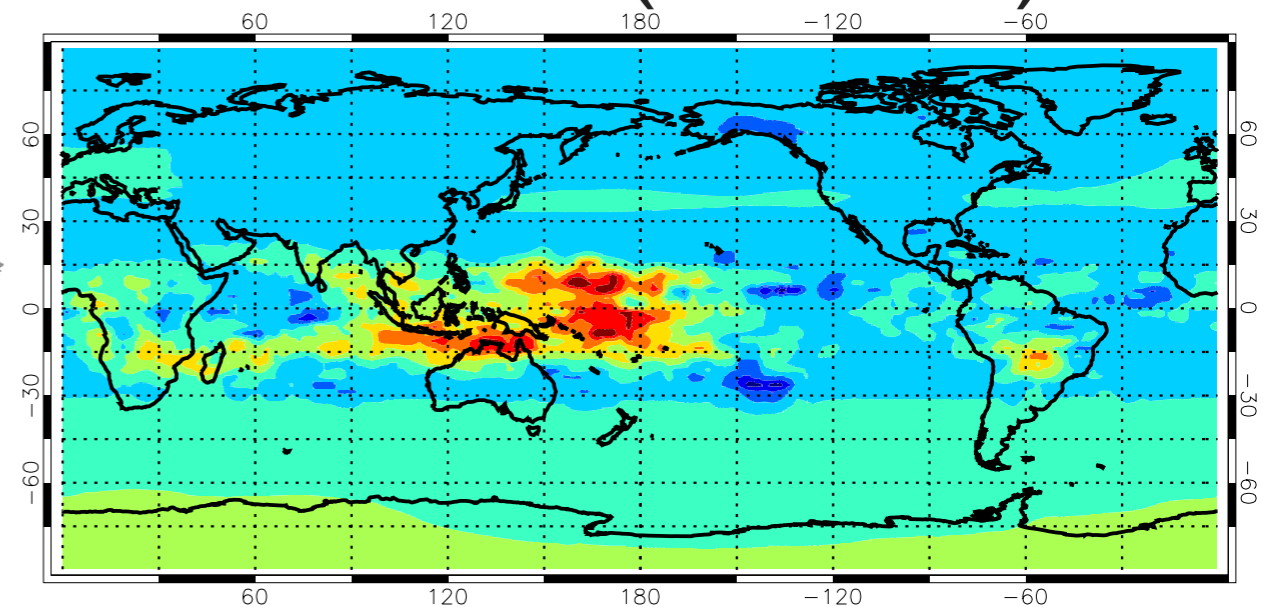
Dec-Jan-Feb 2006-07  
20S - 20N mean profile



16.2 km (99 hPa)

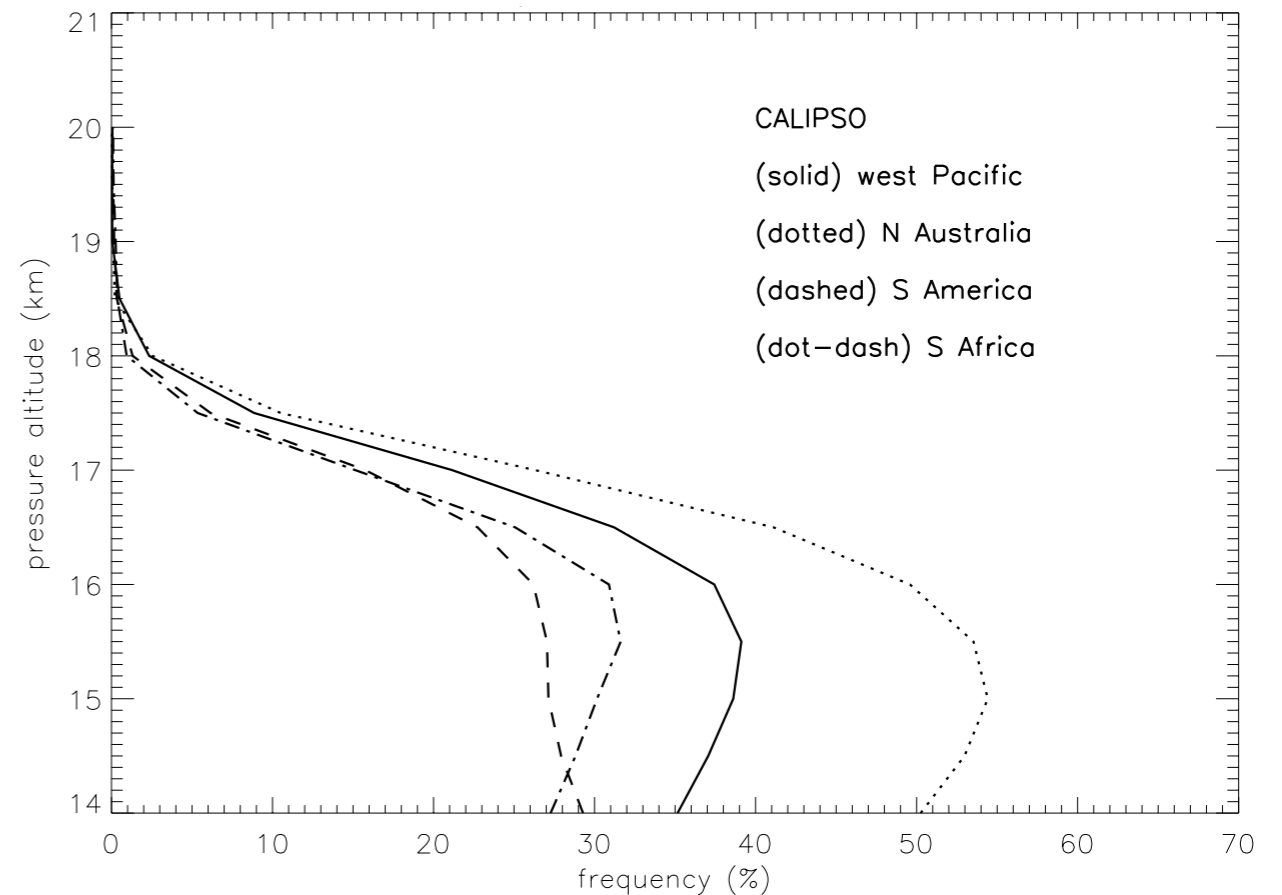
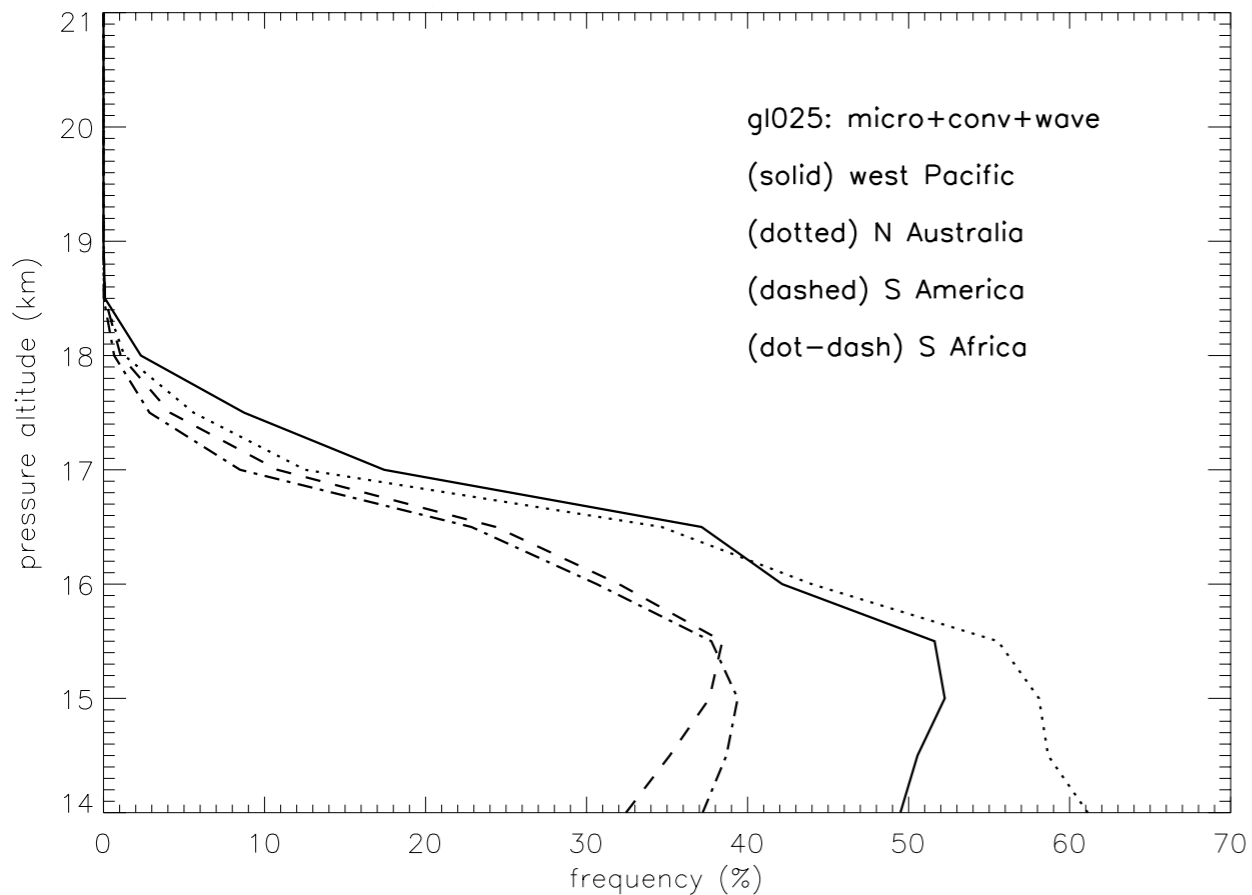
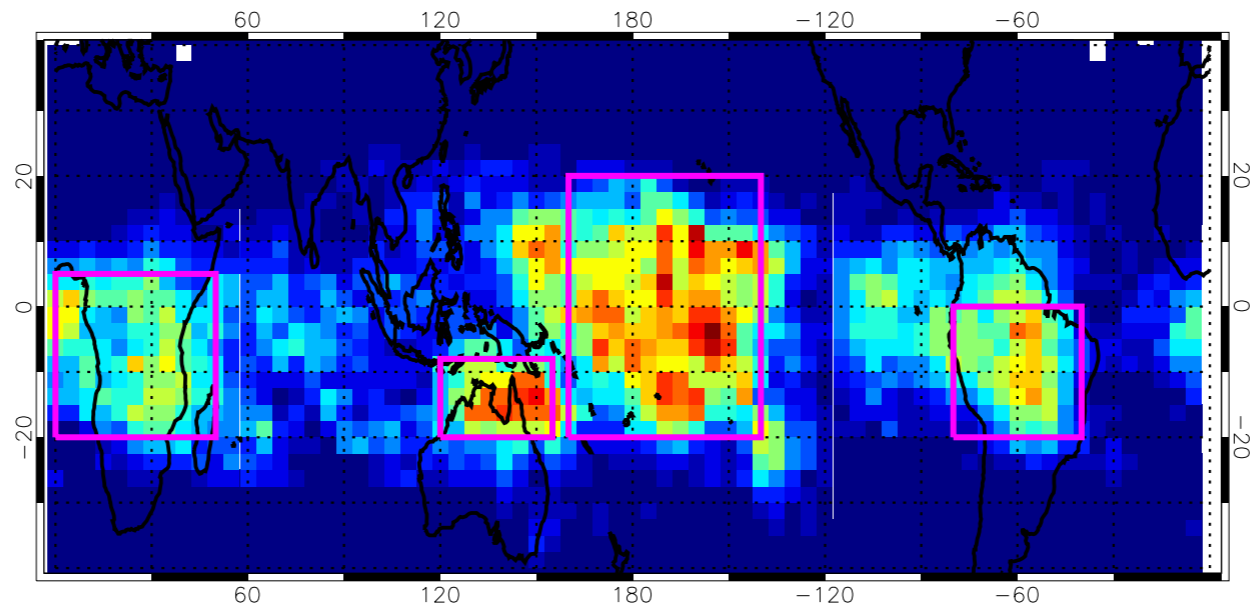


13.5 km (150 hPa)



# cloud occurrence frequency

(20S-20N, Jan 2007, regional means)





# Sensitivity test results

simulation types	100 hPa H <sub>2</sub> O difference (ppmv)	comments
reduced aerosols (heterogeneous nucleation)	-0.09	fewer ice crystals grow faster and fall out
convection parameters	+/- 0.1	(within uncertainty of CloudSat and CALIPSO measurements)
high clouds (top >370K)	+0.17	dehydrate over western Pacific
30-d trajectories	+0.14	some parcels have not gone through the cold point in 30-d
large-scale upwelling (zonally-asymm heating rates)	+0.09	weaker ascent in wet regions vs. less time spent in dry regions